

## Working Party on GATS Rules

### LIST OF PUBLICATIONS FROM INTERNATIONAL ORGANIZATIONS RELATING TO SUBSIDIES IN SERVICES

Note by the Secretariat<sup>1</sup>

#### I. INTRODUCTION

1. At its meeting of 1 October 2003, Members asked the Secretariat to compile a list of studies published by other international organizations that contain information on subsidies in services sectors.

2. In the absence of a definition of subsidies, the following list includes studies that contain potentially relevant information, without regard to trade effects. Thus, it should not be implied that the Secretariat considers the studies listed below as necessarily being of direct relevance to Members' discussions pursuant to the negotiating mandate under Article XV. Most studies do not tend to focus on trade.

3. The complication consists of studies prepared by the well-known international organizations, i.e., IMF, ITU, OECD, UNCTAD, World Bank. Further updates to the list may be provided as additional information becomes available.

#### II. LIST OF STUDIES

##### A. INTERNATIONAL MONETARY FUND.

- IMF Working Paper no. 95/91 by Benedict J. Clements, Rejane Hugounenq, and Gerd Schwartz (1995), *Government Subsidies: Concepts, International Trends, and Reform Options*, Washington.  
<http://www.imf.org/external/pubs/cat/longres.cfm?sk=1359.0>
- IMF Working Paper no. 98/166 by Benedict Clements, Hugo Rodriguez, and Gerd Schwartz (1998), *Economic Determinants of Government Subsidies*, Washington.  
<http://www.imf.org/external/pubs/cat/longres.cfm?sk=2836.0>

##### B. INTERNATIONAL TELECOMMUNICATION UNION

- ITU (2003), *Trends in Telecommunication Reform 2003: Promoting Universal Access to ICTs – Practical Tools for Regulators, Chapter 5: Minimum-Subsidy Auctions for Public Telecommunications Access in Rural Areas*, Geneva.  
<http://www.itu.int/publications/docs/trends2003.html>

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<sup>1</sup> This document has been prepared under the Secretariat's own responsibility and without prejudice to the positions of Members and to their rights and obligations under the WTO.

C. ORGANIZATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT<sup>2</sup>

- OECD, Maritime Transport Committee (1996), *Treatment of Support Measures; 1996 Inventory on Support Measures and Arrangements Provided to International Shipping*, Paris.
- OECD, Maritime Transport Committee (1997), *Treatment of Support Measures; Updated 1996 Inventory on Support Measures and Arrangements Provided to Shipping*, Paris.
- OECD, Maritime Transport Committee (1998), *Treatment of Support Measures; Support Measures Granted by Member States and Selected non-Member Countries to their Shipping and Shipping Related Industries – February 1998 Update*, Paris.
- OECD (1998), *Spotlight on Public Support to Industry*, Paris.  
<http://www1.oecd.org/media/publish/pb98-35a.htm>
- OECD (1998-1999), *Improving the Environment through Subsidies*, Paris.  
<http://oecdpublications.gfi-nb.com/cgi-bin/oecdbookshop.storefront>
- OECD, Development Centre Study by Charles Oman (2000), *Policy Competition for Foreign Direct Investment: A Study of Competition Among Governments to Attract FDI*, Paris.  
<http://oecdpublications.gfi-nb.com/cgi-bin/oecdbookshop.storefront>
- OECD (2001) *Competition Policy, Subsidies and State Aid*, Paris.  
[http://www.ois.oecd.org/ois/2001doc.nsf/LinkTo/daffe-clp\(2001\)24](http://www.ois.oecd.org/ois/2001doc.nsf/LinkTo/daffe-clp(2001)24)
- OECD (2003), *Environmentally Harmful Subsidies: Policy Issues and Challenges*, Paris.  
<http://oecdpublications.gfi-nb.com/cgi-bin/oecdbookshop.storefront>  
(The documents made available for the related workshop are available on-line at [http://www1.oecd.org/agr/ehsw/meeting\\_doc.htm](http://www1.oecd.org/agr/ehsw/meeting_doc.htm))
- OECD (2003), *Export Credit Financing Systems in OECD Member and non-Member Countries, 2002 Supplement*, Paris.  
<http://oecdpublications.gfi-nb.com/cgi-bin/oecdbookshop.storefront>  
(Various information is available from the OECD website on the issue of export credits including the text of the Arrangement on Guidelines for Officially Supported Export Credits: [http://www.oecd.org/topic/0,2686,en\\_2649\\_34169\\_1\\_1\\_1\\_1\\_37431,00.html](http://www.oecd.org/topic/0,2686,en_2649_34169_1_1_1_1_37431,00.html).)
- OECD, Development Centre Technical Paper no. 203 by Andrew Charlton (2003), *Incentive Bidding for Mobile Investment: Economic Consequences and Potential Responses*, OECD Development Centre, Paris.  
<http://www.oecd.org/dataoecd/39/63/2492289.pdf>  
(An overview of OECD work in this area is available at: [http://www.oecd.org/document/37/0,2340,en\\_2649\\_33725\\_11082405\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/37/0,2340,en_2649_33725_11082405_1_1_1_1,00.html))

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<sup>2</sup> The OECD Secretariat informed us that the three first studies listed below could be distributed to WTO Members as working papers. Since these studies are not otherwise available (e.g., through the internet), the Secretariat will distribute electronic versions to delegations on request.

D. UNCTAD<sup>3</sup>

- UNCTAD (1993) *The Impact of Subsidies on Trade in Services: Background note by the UNCTAD Secretariat*, New York and Geneva.
- UNCTAD (1996), *Incentives and Foreign Direct Investment*, New York and Geneva.  
<http://www.unctad.org/Templates/Webflyer.asp?docID=3597&intItemID=2068&lang=1>
- UNCTAD (2000), *Tax Incentives and Foreign Direct Investment: A Global Survey*, New York and Geneva.  
[http://www.unctad.org/en/docs/iteipcmisc3\\_en.pdf](http://www.unctad.org/en/docs/iteipcmisc3_en.pdf)
- UNCTAD Study Series on Policy Issues in International Trade and Commodities, by Erich Supper (2001), *Is There Effectively a Level Playing Field for Developing Country Exports?*, New York and Geneva.  
[http://www.unctad.org/en/docs/itcdtab2\\_en.pdf](http://www.unctad.org/en/docs/itcdtab2_en.pdf)

E. WORLD BANK

- World Bank Working Paper no. 1868 by Mansoor Dailami and Michael Klein (1998), *Government Support to Private Infrastructure Projects in Emerging Markets*, Washington.  
<http://econ.worldbank.org/view.php?type=5&id=574>
- World Bank Working Paper no. 37 by Pablo Serra (2000), *Subsidies in Chilean Public Utilities*, World Bank Institute, Washington.  
[http://rru.worldbank.org/HTML/document\\_37.html](http://rru.worldbank.org/HTML/document_37.html)
- World Bank Working Paper no. 2276 by Arvind Panagariya (2000), *Evaluating the Case for Export Subsidies*, Washington.  
<http://econ.worldbank.org/view.php?type=5&id=1030>
- World Bank Working Paper no. 2473 by Luc Laeven (2000), *Banking Risks around the World; The Implicit Safety Net Subsidy Approach*, Washington.  
<http://econ.worldbank.org/view.php?type=5&id=1283>
- World Bank (2001), *Development Finance Institutions; Measuring their Subsidy*, Washington.  
[http://publications.worldbank.org/ecommerce/catalog/product?item\\_id=356079](http://publications.worldbank.org/ecommerce/catalog/product?item_id=356079)

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<sup>3</sup> The UNCTAD Secretariat informed us that some of reports of experts meetings in given services sectors (e.g., audiovisual services, construction services), as well as notes prepared by the UNCTAD Secretariat in that context, contain some information on subsidies and government support. See [www.unctad.org](http://www.unctad.org)