

28 February 2019

(19-1159)

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**Committee on Government Procurement** 

## ACCESSION OF THE UNITED KINGDOM TO THE AGREEMENT ON GOVERNMENT PROCUREMENT IN ITS OWN RIGHT

Decision of the Committee on Government Procurement of 27 February 2019

The Committee on Government Procurement,

Having regard to Article XXIV:2 of the Agreement on Government Procurement done at Marrakesh on 15 April 1994 (the "1994 Agreement"), and Article XXII:2 of the 1994 Agreement on Government Procurement as amended by the Protocol Amending the Agreement on Government Procurement, done at Geneva on 30 March 2012 (the "amended Agreement")<sup>1</sup>;

Having regard to the Decision of the Committee on Government Procurement (the "Committee") on adoption of the text of the Protocol Amending the Agreement on Government Procurement, taken on 30 March 2012 (Appendix 1 to GPA/113 of 2 April 2012), and in particular its paragraphs 3 and 4;

*Considering* the United Kingdom's application for accession to the Agreement on Government Procurement in its own right, contained in document GPA/146 of 5 June 2018, the consultations held with the Parties to the Agreement on Government Procurement, and the additional documentation submitted by the United Kingdom and Parties in pursuance thereof;

#### Decides as follows:

1. It is acknowledged that the United Kingdom is covered by the Agreement on Government Procurement, as a member State of the European Union, until the date of its withdrawal from the European Union or, if the European Union and the United Kingdom conclude an agreement that provides for a transition period during which European Union law would apply to and in the United Kingdom, until the date of expiry of that transition period. The United Kingdom shall notify the Committee of the date of expiry of any such transition period during which the United Kingdom is treated as a member State of the European Union.

2. In accordance with the provisions of Article XXIV:2 of the 1994 Agreement and Article XXII:2 of the amended Agreement, the United Kingdom may accede to the Agreement on Government Procurement in its own right on the terms of this Decision including its attachments.

3. In the absence of an agreement between the United Kingdom and the European Union on a transition period as referred to in paragraph 1, the United Kingdom shall be allowed to submit its instrument of accession provided it does so:

- a. no earlier than thirty days before the date on which the United Kingdom ceases to be a member State of the European Union; and
- b. within six months of the date of this Decision, unless the period for submission of the instrument is extended by the Committee.

4. The Agreement on Government Procurement shall enter into force for the United Kingdom in its own right on the thirtieth day following the date of its accession, i.e. the date on which

<sup>&</sup>lt;sup>1</sup> The 1994 Agreement and the amended Agreement are hereafter referred to together as the "Agreement on Government Procurement".

the United Kingdom's instrument of accession reproducing the terms of this Decision, including its Attachment A, has been received by the Director-General of the WTO. The deposit of the United Kingdom's instrument of accession with the Director-General shall be deemed not to have taken place within the meaning of Article XXIV:2 of the 1994 Agreement and Article XXII:2 of the amended Agreement if, within the thirty days following the deposit, the European Union notifies the Committee that the United Kingdom continues to be covered by the Agreement on Government Procurement as set out in paragraph 1.

5. Pursuant to paragraph 4 of the Decision of the Committee on adoption of the text of the Protocol Amending the Agreement on Government Procurement, taken on 30 March 2012 (Appendix 1 to GPA/113 of 2 April 2012), the United Kingdom shall be bound by the said Protocol in its own right from the date of entry into force of the Agreement on Government Procurement for it.

6. The United Kingdom shall provide access to all Parties to the Agreement on Government Procurement to the procurement that it covers under Appendix I as set out in Attachment A to this Decision, from the date of entry into force of the Agreement on Government Procurement for the United Kingdom in its own right. From such date, the terms set out in Attachment A shall become an integral part of the Agreement on Government Procurement.

7. Pursuant to Article XIX of the amended Agreement, the United Kingdom shall notify to the Committee proposed rectifications to the lists of entities in its Annexes 1 to 3 of Appendix I, within three months of the date on which the Agreement on Government Procurement shall enter into force for the United Kingdom in its own right.

8. The Appendix I Annexes of the European Union and Montenegro under the amended Agreement will apply subject to the terms set out in Attachment B to this Decision, from the date of entry into force of the Agreement on Government Procurement for the United Kingdom. From such date, the terms set out in Attachment B shall become an integral part of the Agreement on Government Procurement.

9. Switzerland's Appendix I Annexes to the 1994 Agreement shall apply as between the United Kingdom and Switzerland from the date of entry into force of the Agreement on Government Procurement for the United Kingdom in its own right until the Protocol Amending the Agreement on Government Procurement, done at Geneva on 30 March 2012, has entered into force for Switzerland. The Appendix I Annexes of Switzerland under the amended Agreement will apply subject to the terms set out in Attachment C to this Decision, from the date on which the Agreement on Government Procurement has entered into force for the United Kingdom in its own right and, in addition, the said Protocol has entered into force for Switzerland. From such date, the terms set out in Attachment C shall become an integral part of the Agreement on Government Procurement.

10. If there is an agreement between the United Kingdom and the European Union on a transition period as referred to in paragraph 1, the United Kingdom shall submit an updated set of Replies to the Checklist of Issues (GPA/132 of 14 October 2015) no later than three months prior to the expiration of transition period. The Committee will review the United Kingdom's updated Replies to the Checklist of Issues and consider an appropriate decision at that time.

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## ATTACHMENT A

## TERMS OF THE UNITED KINGDOM'S ACCESSION TO THE REVISED AGREEMENT ON GOVERNMENT PROCUREMENT IN ITS OWN RIGHT

#### **APPENDIX I\***

(Authentic in the English Language)

#### **ANNEX 1**

#### Thresholds:

Goods	SDR 130,000
Services	SDR 130,000
Construction Services	SDR 5,000,000

## List of entities<sup>1</sup>:

## **1** THE CENTRAL GOVERNMENT CONTRACTING AUTHORITIES OF THE UNITED KINGDOM

1. For the goods, services, suppliers and service providers of the European Union, Liechtenstein, Switzerland, Iceland, Norway, the Kingdom of the Netherlands with respect to Aruba, procurement by all central government contracting authorities of the United Kingdom. The list below is indicative.

2. For the goods, services, suppliers and service providers of Israel; Montenegro; the Republic of Moldova; Ukraine; the United States; Canada; Japan; Hong Kong, China; Singapore; Korea; Armenia; the Separate Customs Territory of Taiwan, Penghu, Kinmen and Matsu; and New Zealand, procurement by the following central government contracting authorities.

## **2 LIST OF CENTRAL GOVERNMENT CONTRACTING AUTHORITIES**

- 2.1. Cabinet Office:
  - 2.1.1. Office of the Parliamentary Counsel.
- 2.2. Central Office of Information;
- 2.3. Charity Commission;
- 2.4. Crown Estate Commissioners Vote Expenditure Only;
- 2.5. Crown Prosecution Service;
- 2.6. Department for Business, Energy and Regulatory Reform:
  - 2.6.1. Competition Commission;
    - 2.6.2. Gas and Electricity Consumers' Council;
    - 2.6.3. Office of Manpower Economics.
- 2.7. Department for Children, Schools and Families;
- 2.8. Department of Communities and Local Government:
  - 2.8.1. Rent Assessment Panels.
- 2.9. Department for Culture, Media and Sport:
- 2.9.1. British Library;

<sup>\*</sup> In English only.

<sup>&</sup>lt;sup>1</sup> Where the functions of an entity listed in this Annex have been or are transferred to another entity, which is itself a contracting authority, the successor entity shall be deemed to be included in this Annex (for subcentral contracting authorities, only for those functions).

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		KINGDOM		
	2.9.2. British Muse	um; for Architecture and the B	uilt Environment	
	2.9.4. The Gamblir		unt environment;	
			mission for England - Eng	lich Heritage:
	2.9.6. Imperial Wa			iish hentage,
		braries and Archives Coun	cil:	
	2.9.8. National Gal			
	2.9.9. National Ma			
	2.9.10. National Po			
	2.9.11. Natural His			
	2.9.12. Science Mu			
	2.9.13. Tate Galler	y;		
	2.9.14. Victoria an	d Albert Museum;		
	2.9.15. Wallace Co			
2.10.		vironment, Food and Rura		
		Dwelling House Advisory	Committees;	
	2.10.2. Agricultura			
		Wages Board and Commi	ttees;	
	2.10.4. Cattle Bree			
	2.10.5. Countrysid			
	2.10.6. Plant Varie 2.10.7. Royal Bota			
		mission on Environmental	Pollution	
2 1 1	Department of Hea		Foliation.	
2.11.	2.11.1. Dentist Pra			
		ealth Service Strategic Hea	Ith Authorities:	
	2.11.3. NHS Trusts		iner / denorreles,	
	2.11.4. Prescriptio			
2.12.		novation, Universities and	Skills:	
		cation Funding Council for		
		eights and Measures Labor		
	2.12.3. Patent Offi			
		ernational Development;		
2.14.	Department of the	Procurator General and Tr	reasury Solicitor:	
	2 1/ 1 Legal Secr	stariat to the Law Officers		

- 2.14.1. Legal Secretariat to the Law Officers. 2.15. Department for Transport:
  - 2.15.1. Maritime and Coastguard Agency.
- 2.16. Department for Work and Pensions:
  - 2.16.1. Disability Living Allowance Advisory Board;
    - 2.16.2. Independent Tribunal Service;
    - 2.16.3. Medical Boards and Examining Medical Officers War Pensions;
    - 2.16.4. Occupational Pensions Regulatory Authority;
    - 2.16.5. Regional Medical Service;
    - 2.16.6. Social Security Advisory Committee.
- 2.17. Export Credits Guarantee Department;
- 2.18. Foreign and Commonwealth Office:
  - 2.18.1. Wilton Park Conference Centre.
- 2.19. Government Actuary's Department;
- 2.20. Government Communications Headquarters;
- 2.21. Home Office:
  - 2.21.1. HM Inspectorate of Constabulary.
- 2.22. House of Commons;
- 2.23. House of Lords;
- 2.24. Ministry of Defence:
  - 2.24.1. Defence Equipment & Support;
  - 2.24.2. Meteorological Office.
- 2.25. Ministry of Justice: 2.25.1. Boundary Commission for England;

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	2.25.2. Combined	Tax Tribunal:		
	2.25.3. Council on	•		
	2.25.4. Court of Ap			
		nt Appeals Tribunal;		
	2.25.6. Employme	nt Tribunals;		
			ombined Courts - England and Wales;	
		on Appellate Authorities;		
	2.25.9. Immigration			
		ion Appeals Tribunal;		
	2.25.11. Lands Tri			
	2.25.12. Law Com			
		Fund - England and Wales the Social Security Commis		
		ard and Local Review Com		
	2.25.16. Pensions		initices,	
	2.25.17. Public Tru			
		Court Group - England and	d Wales;	
	2.25.19. Transport			
2.26.	The National Archi	ves;		
	National Audit Offi			
	National Savings a			
	National School of			
		ssembly Commission;		
2.31.	Northern Ireland C 2.31.1. Coroners C			
	2.31.2. County Co			
		opeal and High Court of Ju	stice in Northern Ireland:	
	2.31.4. Crown Cou			
		nt of Judgements Office;		
	2.31.6. Legal Aid F			
	2.31.7. Magistrate			
	2.31.8. Pensions A			
		Department for Employme		
		Department for Regional D		
		Department for Social Dev		
		Department of Agriculture		
		Department of Culture, Art Department of Education;	s and Leisure;	
		Department of Enterprise,	Trade and Investment:	
		Department of the Environ		
		Department of Finance and		

- 2.40. Northern Ireland, Department of Finance and Personnel;2.41. Northern Ireland, Department of Health, Social Services and Public Safety;
- 2.42. Northern Ireland, Office of the First Minister and Deputy First Minister;
- 2.43. Northern Ireland Office:
  - 2.43.1. Crown Solicitor's Office;
  - 2.43.2. Department of the Director of Public Prosecutions for Northern Ireland;
  - 2.43.3. Forensic Science Laboratory of Northern Ireland;
  - 2.43.4. Office of the Chief Electoral Officer for Northern Ireland;
  - 2.43.5. Police Service of Northern Ireland;
  - 2.43.6. Probation Board for Northern Ireland;
  - 2.43.7. State Pathologist Service.
- 2.44. Office of Fair Trading;
- 2.45. Office for National Statistics:
  - 2.45.1. National Health Service Central Register.
- 2.46. Office of the Parliamentary Commissioner for Administration and Health Service Commissioners;
- 2.47. Paymaster General's Office;
- 2.48. Postal Business of the Post Office;

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2.49. Privy Council Office	;		
2.50. Public Record Office	2;		
2.51. HM Revenue and Cu			
	e and Customs Prosecutio	ons Office.	
2.52. Royal Hospital, Che 2.53. Royal Mint;	isea;		
2.55. Rural Payments Age	ancy:		
2.55. Scotland, Auditor-G			
2.56. Scotland, Crown Of		Service:	
2.57. Scotland, General R			
2.58. Scotland, Queen's a		embrancer;	
2.59. Scotland, Registers	of Scotland;		
2.60. The Scotland Office	•		
2.61. The Scottish Ministe			
	and Design Scotland;		
2.61.2. Crofters Cor 2.61.3. Deer Comm			
2.61.3. Deer Comm 2.61.4. Lands Tribu			
2.61.5. National Ga			
2.61.6. National Lib			
2.61.7. National Mu			
	ic Garden, Edinburgh;		
2.61.9. Royal Comn	nission on the Ancient and	d Historical Monuments of Scotl	and;
	Irther and Higher Educati	on Funding Council;	
2.61.11. Scottish La			
	y Health Partnerships;		
2.61.13. Special He 2.61.14. Health Boa			
	of the Accountant of Cou	rt ·	
2.61.16. High Court		, ,	
2.61.17. Court of Se			
	torate of Constabulary;		
2.61.19. Parole Boa	rd for Scotland;		
2.61.20. Pensions A			
2.61.21. Scottish La	-		
2.61.22. Sheriff Cou			
	olice Services Authority;		
	e Social Security Commis	nd Private Rented Housing Com	mittoos
	the Records of Scotland.	na Filvate Kented Housing Con	minuces,
2.62. The Scottish Parliar			
2.63. HM Treasury:	, 202, 00.po.aco,		
	vernment Commerce;		
	dom Debt Management O		
2.64. The Wales Office - 0		State for Wales;	
2.65. The Welsh Ministers			
	ation Funding Council for		
	nment Boundary Commis	sion for wales; t and Historical Monuments of \	Malaci
2.65.4. Valuation Tr			vales,
		and Local Health Boards;	
2.65.6. Welsh Rent			

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## Notes to Annex 1

- 1. The following shall not be considered as covered procurement:
  - a. procurement by procuring entities covered under this Annex of air traffic control equipment in regard of suppliers and service providers from the United States;
  - b. procurement by procuring entities covered under this Annex of good or service components of procurement which are not themselves covered by this Agreement in regard of suppliers and services providers from the United States and Canada;

until such time as the United Kingdom has accepted that the Parties concerned provide satisfactory reciprocal access for the United Kingdom goods, suppliers, services and service providers to their own procurement markets.

2. The provisions of Article XVIII shall not apply to suppliers and service providers of Japan, Korea and the US in contesting the award of contracts to a supplier or service provider of Parties other than those mentioned, which are small or medium sized enterprises as defined in Regulation 112(4) of the Public Contracts Regulations 2015, until such time as the United Kingdom accepts that they no longer operate discriminatory measures in favour of certain domestic small and minority businesses.

3. "Contracting authorities of the United Kingdom" covers also any subordinated entity of any contracting authority of the United Kingdom provided it does not have separate legal personality.

4. As far as procurement by entities in the field of defence and security is concerned, only non-sensitive and non-warlike materials contained in the list attached to Annex 4 are covered.

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## ANNEX 2

## SUB-CENTRAL GOVERNMENT ENTITIES

## Thresholds:

Goods	SDR 200,000
Services	SDR 200,000
Construction Services	SDR 5,000,000

## List of Entities:

- 1. All regional or local contracting authorities.
  - a. All contracting authorities of the administrative units falling under Territorial Units 1, 2 and 3 as described in Note 3 of the General Notes in Annex 7.
  - b. For the purposes of the Agreement, "Regional contracting authorities" shall be understood as contracting authorities of the administrative units falling under Territorial Units 1 and 2 as described in Note 3 of the General Notes in Annex 7.
  - c. For the purposes of the Agreement, "Local contracting authorities" shall be understood as contracting authorities of the administrative units falling under Territorial Unit 3 as described in Note 3 of the General Notes in Annex 7 and smaller administrative units.

2. All contracting authorities which are bodies governed by public law, for England, Wales and Northern Ireland, as defined by the Public Contracts Regulations 2015 and, for Scotland, the Public Contracts (Scotland) Regulations 2015.

- a. "Bodies governed by public law" as defined by the Public Contracts Regulations 2015 means any bodies that have all of the following characteristics:
  - i. they are established for the specific purpose of meeting needs in the general interest, not having an industrial or commercial character;
  - ii. they have legal personality; and
  - iii. they have any of the following characteristics:
    - a) they are financed, for the most part, by the State, regional or local authorities, or by other bodies governed by public law;
    - b) they are subject to management supervision by those authorities or bodies; or
    - c) they have an administrative, managerial or supervisory board; more than half of whose members are appointed by the State, regional or local authorities or by other bodies governed by public law.

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- b. "Body governed by public law" as defined by the Public Contracts (Scotland) Regulations 2015 means a body that has legal personality, is established for the specific purpose of meeting needs in the general interest, not having an industrial or commercial character and which:
  - i. is financed for the most part by the State, regional or local authorities, or by any other body governed by public law;
  - ii. is subject to management supervision by any such authority or body; or
  - iii. has an administrative, managerial or supervisory board more than half the members of which were appointed by any body referred to in sub-paragraph i.
- c. An indicative list of contracting authorities which are bodies governed by public law follows.

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#### THE UNITED KINGDOM

ANNEX 2

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## INDICATIVE LISTS OF CONTRACTING AUTHORITIES WHICH ARE BODIES GOVERNED BY PUBLIC LAW

## **Bodies:**

- 1. Design Council;
- 2. Health and Safety Executive;
- 3. National Research Development Corporation;
- 4. Public Health Laboratory Service Board;
- 5. Advisory, Conciliation and Arbitration Service;
- 6. Commission for the New Towns;
- 7. National Blood Authority;
- 8. National Rivers Authority;
- 9. Scottish Enterprise;
- 10. Ordnance Survey;
- 11. Financial Services Authority.

#### **Categories:**

- 1. Maintained schools;
- 2. Universities and colleges financed for the most part by other contracting authorities;
- 3. National Museums and Galleries;
- 4. Research Councils;
- 5. Fire Authorities;
- 6. National Health Service Strategic Health Authorities;
- 7. Police Authorities;
- 8. New Town Development Corporations;
- 9. Urban Development Corporations.

## Notes to Annex 2

- 1. The following shall not be considered as covered procurement:
  - a. procurement by procuring entities covered under this Annex in regard of suppliers, services and service providers from the United States;
  - b. procurement by procuring entities covered under this Annex of air traffic control equipment in regard of suppliers and service providers from the United States;
  - c. procurement by cities-regions listed under Territorial Units 1 and 2 as described in Note 3 of the General Notes in Annex 7, local procuring entities and bodies governed by public law covered under this Annex in regard of goods, services, suppliers and service providers from Canada;
  - d. procurement by procuring entities covered under this Annex of good or service components of procurement which are not themselves covered by this Agreement in regard of suppliers and service providers from the United States and Canada;
  - e. procurement between 200,000 SDR and 355,000 SDR by procuring entities covered under this Annex of goods and services for suppliers and service providers from Canada;
  - f. procurement by local contracting authorities (contracting authorities of administrative units listed under Territorial Unit 3 as described in Note 3 of the General Notes in Annex 7 and smaller administrative units) in regard of goods, services, suppliers and service providers from New Zealand;

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g. procurement by contracting authorities of administrative units listed under Territorial Units 1 and 2 as described in Note 3 of the General Notes in Annex 7, in regard of goods, services, suppliers and service providers from New Zealand, unless their procurement is covered under the United Kingdom Annex 3;

until such time as the United Kingdom has accepted that the Parties concerned provide satisfactory reciprocal access to United Kingdom goods, suppliers, services and service providers to their own procurement markets.

2. The provisions of Article XVIII shall not apply to suppliers and service providers of Japan, Korea and the US in contesting the award of contracts to a supplier or service provider of Parties other than those mentioned, which are small or medium sized enterprises as defined in Regulation 112(4) of the Public Contracts Regulations 2015, until such time as the United Kingdom accepts that they no longer operate discriminatory measures in favour of certain domestic small and minority businesses.

3. The provisions of Article XVIII shall not apply to Japan and Korea in contesting the award of contracts by entities listed under Annex 2 paragraph 2, until such time as the United Kingdom accepts that they have completed coverage of sub-central entities.

4. The provisions of Article XVIII shall not apply to Japan and Korea in contesting that award of contracts by United Kingdom entities, whose value is less than the threshold applied for the same category of contracts awarded by these Parties.

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ANNEX 3				

OTHER ENTITIES

## Thresholds:

Goods	SDR 400,000
Services	SDR 400,000
Construction Services	SDR 5,000,000

## List of Entities:

1. All utilities whose procurement is covered by the Utilities Contracts Regulations 2016 and the Utilities Contracts (Scotland) Regulations 2016 which are contracting authorities (e.g. those covered under Annex 1 and Annex 2) or public undertakings<sup>1</sup> and which have as one of their activities any of those referred to below or any combination thereof:

- the provision or operation of fixed networks intended to provide a service to the public in connection with the production, transport or distribution of drinking water or the supply of drinking water to such networks;
- b. the provision or operation of fixed networks intended to provide a service to the public in connection with the production, transport or distribution of electricity or the supply of electricity to such networks;
- c. the provision of airport or other terminal facilities to carriers by air;
- d. the provision of maritime or inland port or other terminal facilities to carriers by sea or inland waterway;
- e. the provision or operation of networks<sup>2</sup> providing a service to the public in the field of transport by urban railway, automated systems, tramway, trolley bus, bus or cable;

<sup>&</sup>lt;sup>1</sup> According to the Utilities Contracts Regulations 2016, a "public undertaking" means any undertaking over which contracting authorities may exercise directly or indirectly a dominant influence by virtue of:

a) their ownership of that undertaking;

b) their financial participation in that undertaking; or

c) the rules which govern that undertaking.

According to the Utilities Contracts (Scotland) Regulations 2016, a "public undertaking" means a person over which one or more contracting authorities are able to exercise, directly or indirectly, a dominant influence by virtue of one or more of the following:

a) their ownership of that person;

b) their financial participation in that person;

c) the rights accorded to them by the rules which govern that person.

According to both the Utilities Contracts Regulations 2016 and the Utilities Contracts (Scotland) Regulations 2016, a dominant influence on the part of contracting authorities is presumed in any of the following cases in which those authorities, directly or indirectly:

a) hold the majority of the undertaking's subscribed capital;

b) control the majority of the votes attaching to shares issued by the undertaking;

c) can appoint more than half of the undertaking's administrative, management or supervisory body.

<sup>&</sup>lt;sup>2</sup> As regards transport services, a network shall be considered to exist where the service is provided under operating conditions laid down by a competent authority of the United Kingdom such as conditions on the routes to be served, the capacity to be made available or the frequency of the service.

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f. the provision or operation of networks providing a service to the public in the field of transport by railways.  $^{3}$ 

2. Indicative lists of contracting authorities and public undertakings fulfilling the criteria set out above follow.

<sup>&</sup>lt;sup>3</sup> E.g. the provision or operation of networks (within the meaning of footnote 2) providing a service to the public in the field of transport by high-speed or conventional trains.

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## INDICATIVE LISTS OF CONTRACTING AUTHORITIES AND PUBLIC UNDERTAKINGS FULFILLING THE CRITERIA LAID DOWN UNDER ANNEX 3

## A. PRODUCTION, TRANSPORT OR DISTRIBUTION OF DRINKING WATER

1. A company holding an appointment as a water undertaker or a sewerage undertaker under the Water Industry Act. 1991;

2. A water and sewerage authority established by section 62 of the Local Government etc (Scotland) Act. 1994;

3. The Department for Regional Development (Northern Ireland).

## **B. PRODUCTION, TRANSPORT OR DISTRIBUTION OF ELECTRICITY**

- 1. A person licensed under section 6 of the Electricity Act 1989;
- 2. A person licensed under Article 10(1) of the Electricity (Northern Ireland) Order 1992;
- 3. National Grid Electricity Transmission plc;
- 4. System Operation Northern Ireland Ltd;
- 5. Scottish & Southern Energy plc;
- 6. SPTransmission plc.

## C. AIRPORT INSTALLATIONS

1. A local authority which exploits a geographical area for the purpose of providing airport or other terminal facilities to carriers by air;

2. An airport operator within the meaning of the Airports Act 1986 who has the management of an airport subject to economic regulation under Part IV of that Act;

- 3. Highland and Islands Airports Limited;
- 4. An airport operator within the meaning of the Airports (Northern Ireland) Order 1994;
- 5. BAA Ltd.

## D. MARITIME OR INLAND PORT OR OTHER TERMINAL FACILITIES

1. A local authority which exploits a geographical area for the purpose of providing maritime or inland port or other terminal facilities to carriers by sea or inland waterway;

- 2. A harbour authority within the meaning of section 57 of the Harbours Act 1964;
- 3. British Waterways Board;

4. A harbour authority as defined by section 38(1) of the Harbours Act (Northern Ireland) 1970.

# E. UTILITIES IN THE FIELD OF URBAN RAILWAY, TRAMWAY, TROLLEYBUS OR BUS SERVICES

- 1. London Regional Transport;
- 2. London Underground Limited;
- 3. Transport for London;

4. A subsidiary of Transport for London within the meaning of section 424(1) of the Greater London Authority Act 1999;

- 5. Strathclyde Passenger Transport Executive;
- 6. Greater Manchester Passenger Transport Executive;
- 7. Tyne and Wear Passenger Transport Executive;
- 8. Brighton Borough Council;
- 9. South Yorkshire Passenger Transport Executive;
- 10. South Yorkshire Supertram Limited;
- 11. Blackpool Transport Services Limited;
- 12. Conwy County Borough Council;

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13. A person who provides a London local service as defined in section 179(1) of the Greater London Authority Act 1999 (a bus service) in pursuance of an agreement entered into by Transport for London under section 156(2) of that Act or in pursuance of a transport subsidiary's agreement as defined in section 169 of that Act;

14. Northern Ireland Transport Holding Company;

15. A person who holds a road service licence under section 4(1) of the Transport Act (Northern Ireland) 1967 which authorises him to provide a regular service within the meaning of that licence.

# F. UTILITIES IN THE FIELD OF RAIL SERVICES

- 1. Network Rail plc;
- 2. Eurotunnel plc;

3. Northern Ireland Transport Holding Company;

4. Northern Ireland Railways Company Limited;

5. Providers of rail services which operate on the basis of special or exclusive rights granted by the Department of Transport or any other competent authority.

## Notes to Annex 3

1. Procurement for the pursuit of an activity listed above when exposed to competitive forces in the market concerned are not covered by this Agreement.

- 2. This Agreement does not cover procurement by procuring entities included in this Annex:
  - a. for the purchase of water and for the supply of energy or of fuels for the production of energy;
  - b. for purposes other than the pursuit of their activities as listed in this Annex or for the pursuit of such activities outside of the United Kingdom;
  - c. for purposes of re-sale or hire to third parties, provided that the procuring entity enjoys no special or exclusive right to sell or hire the subject of such contracts and other entities are free to sell or hire it under the same conditions as the procuring entity.

3. The supply of drinking water or electricity to networks which provide a service to the public by a procuring entity other than a contracting authority shall not be considered as an activity within the meaning of paragraphs (a) or (b) of this Annex where:

- a. the production of drinking water or electricity by the entity concerned takes place because its consumption is necessary for carrying out an activity other than that referred to in paragraphs (a) to (f) of this Annex; and
- b. supply to the public network depends only on the entity's own consumption and has not exceeded 30 per cent of the entity's total production of drinking water or energy, having regard to the average for the preceding three years, including the current year.

4. I. Provided that the conditions in paragraph II are met, this Agreement does not cover procurement:

a. by a procuring entity to an affiliated undertaking<sup>4</sup>; or

<sup>&</sup>lt;sup>4</sup> "affiliated undertaking" means any undertaking over which the procuring entity may exercise, directly or indirectly, a dominant influence, or which may exercise a dominant influence over the procuring entity, or which, in common with the procuring entity, is subject to the dominant influence of another undertaking by virtue of ownership, financial participation, or the rules which govern it.

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b. by a joint venture, formed exclusively by a number of procuring entities for the purpose of carrying out activities within the meaning of paragraphs (a) to (f) of this Annex, to an undertaking which is affiliated with one of these procuring entities.

II. Paragraph I shall apply to services or supplies contracts provided that at least 80 per cent of the average turnover of the affiliated undertaking with respect to services or supplies for the preceding three years derives respectively from the provision of such services or supplies to undertakings with which it is affiliated.<sup>5</sup>

- 5. This Agreement does not cover procurement:
  - a. by a joint venture, formed exclusively by a number of procuring entities for the purposes of carrying out activities within the meaning of paragraphs (a) to (f) of this Annex, to one of these procuring entities; or
  - b. by a procuring entity to such a joint venture of which it forms part, provided that the joint venture has been set up to carry out the activity concerned over a period of at least three years and the instrument setting up the joint venture stipulates that the procuring entities, which form it, will be part thereof for at least the same period.
- 6. The following shall not be considered as covered procurement:
  - a. procurement by procuring entities operating in the fields of:
    - i. production, transport or distribution of drinking water covered under this Annex;
    - ii. production, transport or distribution of electricity covered under this Annex;
    - iii. airport facilities covered under this Annex;
    - iv. maritime or inland port or other terminal facilities covered under this Annex; and
    - v. urban railway, tramway, trolley bus or bus services covered under this Annex in regard of supplies, services, suppliers and service providers from Canada.
  - procurement by procuring entities operating in the field of production, transport or distribution of drinking water covered under this Annex in regard of suppliers and service providers from the United States;
  - c. procurement by procuring entities operating in the field of maritime or inland port or other terminal facilities covered under this Annex of dredging services or related to shipbuilding in regard of suppliers and service providers from the United States;
  - d. procurement by procuring entities covered under this Annex of air traffic control equipment in regard of suppliers and service providers from the United States;
  - e. procurement by procuring entities operating in the field of airport facilities covered under this Annex in regard of suppliers and service providers from the United States and Korea;
  - f. procurement by procuring entities operating in the field of urban railway, tramway, trolleybus or bus services covered under this Annex in regard of suppliers and service providers from the United States;

<sup>&</sup>lt;sup>5</sup> When, because of the date on which an affiliated undertaking was created or commenced activities, the turnover is not available for the preceding three years, it will be sufficient for that undertaking to show that the turnover referred to in this paragraph is credible, in particular by means of business projections.

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- g. procurement by procuring entities operating in the field of urban railway covered under this Annex in regard of suppliers and service providers from Japan;
- h. procurement by procuring entities operating in the field of railways covered under this Annex in regard of goods, suppliers, services and service providers from Armenia; Canada; Japan; the United States; Hong Kong, China; Singapore and the Separate Customs Territory of Taiwan, Penghu, Kinmen and Matsu;
- i. procurement by procuring entities operating in the field of high-speed railways and highspeed railways infrastructure in regard of goods, suppliers, services and service providers from Korea;
- j. procurement by procuring entities covered under this Annex of good or service components of procurement which are not themselves covered procurement in regard of suppliers and service providers from the United States;
- k. procurement by procuring entities operating in the field of production, transport or distribution of electricity covered under this Annex in regard of suppliers and services providers from Japan;
- procurement by procuring entities operating in the field of production, transport and distribution of electricity covered under this Annex of HS Nos. 8504, 8535, 8537 and 8544 (electrical transformers, plugs, switches and insulated cables) in regard of suppliers from Korea;
- m. procurement by procuring entities operating in the field of production, transport and distribution of electricity covered under this Annex of HS Nos. 85012099, 85015299, 85015199, 85015290, 85014099, 85015390, 8504, 8535, 8536, 8537, and 8544 in regard of suppliers from Israel;
- n. procurement by procuring entities operating in the field of bus services covered under this Annex in regard of suppliers and service providers from Israel;
- procurement by procuring entities operating in the field of production, transport or distribution of drinking water covered under this Annex in regard of supplies, services and service providers from New Zealand;
- p. procurement by procuring entities operating in the field of airport facilities covered under this Annex in regard of supplies, services, and service providers from New Zealand;
- procurement by procuring entities operating in the field of the provision of maritime or inland port or other terminal facilities covered under this Annex in regard of supplies, services, and service providers from New Zealand;
- r. procurement by regional or local contracting authorities operating in the fields covered by this Annex, in regard of supplies, services, and service providers from New Zealand, with the exception of procurement by contracting authorities of administrative units listed under Territorial Units 1 and 2 as described in Note 3 of the General Notes in Annex 7 operating in the field of transport by urban railway, automatic systems, tramway, trolley bus, bus and cable;

until such time, the United Kingdom has accepted that the Parties concerned provide satisfactory reciprocal access to United Kingdom goods, suppliers, services and service providers to their own procurement markets.

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7. The provisions of Article XVIII shall not apply to suppliers and service providers of Japan, Korea and the US in contesting the award of contracts to a supplier or service provider of Parties other than those mentioned, which are small or medium sized enterprises as defined in Regulation 112(4) of the Public Contracts Regulations 2015, until such time as the United Kingdom accepts that they no longer operate discriminatory measures in favour of certain domestic small and minority businesses. The provisions of Article XVIII shall not apply to Japan and Korea in contesting that award of contracts by United Kingdom entities, whose value is less than the threshold applied for the same category of contracts awarded by these Parties.

- 8. The following shall not be considered as covered procurement:
  - a. procurement by procuring entities operating in the field of urban transport covered under this Annex of the following goods and services:
    - i. H.S. 44.06 Railway or tramway sleepers of (cross-ties) wood;
    - ii. H.S. 68.10 Railway or tramway sleepers of concrete and concrete guide-track sections for hovertrains;
    - iii. H.S. 73.02 Railway or tramway track construction material of iron or steel, the following: rails, check-rails and rack rails, switch blades, crossing frogs, point rods and other crossing pieces, sleepers (cross-ties), fish-plates, chairs, chair wedges, sole plates (base plates), rail clips, bedplates, ties and other material specialized for jointing or fixing rails;
    - iv. H.S. 85.30.10 Electrical signalling, safety or traffic control equipment for railways, tramways;
    - v. H.S. Chapter 86 Railway or tramway locomotives, rolling-stock and parts thereof; railway or tramway track fixtures and fittings and parts thereof; mechanical (including electro-mechanical) traffic signalling equipment of all kinds;
    - vi. Construction work for civil engineering for railways falling under CPC prov. 51310 (Construction work for civil engineering for highways (except elevated highways), streets, roads, railways and airfield runways) (as contained in document MTN/GNS/W/120);
    - vii. Construction work for civil engineering for railway tunnels and subways falling under CPC prov. 51320 (Construction work for civil engineering for bridges, elevated highways, tunnels and subways) (as contained in document MTN/GNS/W/120);
    - viii. Repair and maintenance services of locomotives (including reconditioning), rolling stock (including reconditioning), railway tracks, traffic signals and installation services of railway engines falling under CPC prov. 88680 (Repair services of other transport equipment, on a fee or contract basis) (as contained in document MTN/GNS/W/120);

in regard of suppliers and service providers from Japan, until such time as the United Kingdom has accepted that Japan has fully open its procurement of urban transport to United Kingdom suppliers, supplies, service providers and services.

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## **ANNEX 4**

## GOODS

1. This Agreement covers the procurement of all goods procured by the entities listed in Annexes 1 through 3, unless otherwise specified in this Agreement.

2. This Agreement covers only the goods that are described in the Chapters of the 2017 Harmonized Commodity Description and Coding Systems (HS) specified below and that are procured by the Ministry of Defence and Agencies for defence or security activities in the United Kingdom:

	HS Chapter	Description
1.	Chapter 25:	Salt; sulphur; earths and stone; plastering materials, lime and cement;
2.	Chapter 26:	Metallic ores, slag and ash;
3.	Chapter 27:	Mineral fuels, mineral oils and products of their distillation; bituminous substances; mineral waxes, except:
a.	ex 27.10:	special engine fuels.
4.	Chapter 28:	Inorganic chemicals; organic and inorganic compounds of precious metals, of rare-earth metals, of radioactive elements or of isotopes, except:
a.	ex 28.09:	explosives;
b.		explosives;
C.		tear gas;
d.		explosives;
e. f.		explosives; explosives;
g.		toxic products;
h.		toxic products;
i.	ex 28.54	explosives.
5.	Chapter 29:	Organic chemicals, except:
a.		explosives;
b.		explosives;
с.		explosives;
d.		explosives;
e.		explosives;
f. g.		explosives; toxic products;
h.		toxic products;
i.	ex 29.15:	toxic products;
j.	ex 29.21:	toxic products;
k.		toxic products;
١.	ex 29.23:	toxic products;
m		explosives;
n.		toxic products;
0.		explosives.
6.	Chapter 30:	Pharmaceutical products;
7.	Chapter 31:	Fertilisers;
8.	Chapter 32:	Tanning and dyeing extracts; tannings and their derivatives; dyes, colours, paints and varnishes, putty, fillers and stoppings, inks;
9.	Chapter 33:	Essential oils and resinoids; perfumery, cosmetic or toilet preparations;

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HS Chapter	Description	
10. Chapter 34:	Soap, organic surface-active agents, was lubricating preparations, artificial waxes, polishing and scouring preparations, cand articles, modelling pastes and "dental wa	prepared waxes, lles and similar
11. Chapter 35:	Albuminoidal substances, glues, enzymes	;
12. Chapter 37:	Photographic and cinematographic goods	;
13. Chapter 38: a. ex 38.19:	Miscellaneous chemical products, except: toxic products.	
14. Chapter 39:	Artificial resins and plastic materials, celluesters, articles thereof except:	ulose esters and
a. ex 39.03:	explosives.	tists the second
<ul><li>15. Chapter 40:</li><li>a. ex 40.11:</li></ul>	Rubber, synthetic rubber, factices, and ar except: bullet-proof tyres.	ticles thereof
16. Chapter 41:	Raw hides and skins (other than fur skins	and leather:
17. Chapter 42:	Articles of leather, saddlery and harness, handbags and similar containers, articles (other than silkworm gut);	travel goods,
18. Chapter 43:	Furskins and artificial fur, manufactures t	hereof;
19. Chapter 44:	Wood and articles of wood, wood charcoa	l;
20. Chapter 45:	Cork and articles of cork;	
21. Chapter 46:	Manufactures of straw of esparto and of c materials, basket ware and wickerwork;	other plaiting
22. Chapter 47:	Paper-making material;	
23. Chapter 48:	Paper and paperboard; articles of paper p paperboard;	oulp, of paper or of
24. Chapter 49:	Printed books, newspapers, pictures and the printing industry, manuscripts, types	
25. Chapter 65:	Headgear and parts thereof;	
26. Chapter 66:	Umbrellas, sunshades, walking-sticks, wh and parts thereof;	
27. Chapter 67:	Prepared feathers and down and articles of down, artificial flowers, articles of hum	
28. Chapter 68:	Articles of stone, of plaster, of cement, of and of similar materials;	f asbestos, of mica
29. Chapter 69:	Ceramic products;	
30. Chapter 70:	Glass and glassware;	
31. Chapter 71:	Pearls, precious or semi-precious stones, rolled precious metals, and articles thereo jewellery;	
32. Chapter 73:	Iron and steel and articles thereof;	
33. Chapter 74:	Copper and articles thereof;	
34. Chapter 75:	Nickel and articles thereof;	
35. Chapter 76:	Aluminium and articles thereof;	
36. Chapter 77:	Magnesium and beryllium and articles the	ereof;
37. Chapter 78:	Lead and articles thereof;	
38. Chapter 79:	Zinc and articles thereof;	
39. Chapter 80:	Tin and articles thereof;	

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	APPENDIX I	THE UNITED     ANNEX 4     Page 3/       KINGDOM
	HS Chapter	Description
40.	Chapter 81:	Other base metals employed in metallurgy and articles thereof;
41.	Chapter 82:	Tools, implements, cutlery, spoons and forks, of base metal, parts thereof of base metal, except:
a. b.	ex 82.05: ex 82.07:	tools; tools, parts.
42.	Chapter 83:	Miscellaneous articles of base metal;
43.	Chapter 84:	Boilers, machinery and mechanical appliances, parts thereof, except:
a.	ex 84.06:	engines;
b.	ex 84.08:	other engines;
с.	ex 84.45:	machinery;
d.	ex 84.53:	automatic data-processing machines;
e.	ex 84.55:	parts of machines under heading No 84.53;
f.	ex 84.59	nuclear reactors.
44.	Chapter 85:	Electrical machinery and equipment, parts thereof, except:
a.	ex 85.13:	telecommunication equipment;
b. 45.	ex 85.15: Chapter 86:	transmission apparatus. Railway and tramway locomotives, rolling-stock and
a. b. c. e.	ex 86.02: ex 86.03: ex 86.05: ex 86.06: ex 86.07:	parts thereof; railway and tramway tracks fixtures and fittings traffic signalling equipment of all kinds (not electrically powered), except: armoured locomotives, electric; other armoured locomotives; armoured wagons; repair wagons; wagons.
46.	Chapter 87:	Vehicles, other than railway or tramway rolling-stock, and parts thereof, except:
a.	ex 87.08:	tanks and other armoured vehicles;
b.	ex 87.01:	tractors;
с.	ex 87.02:	military vehicles;
d.	ex 87.03:	breakdown lorries;
e.	ex 87.09:	motorcycles;
f.	ex 87.14:	trailers.
47. a.	Chapter 89: ex 89.01 A:	Ships, boats and floating structures, except: warships.
48.	Chapter 90:	Optical, photographic, cinematographic, measuring, checking precision, medical and surgical instruments and apparatus, parts and accessories thereof, except:
a.	ex 90.05:	binoculars;
b.	ex 90.13:	miscellaneous instruments, lasers;
C.	ex 90.14:	telemeters;
d.	ex 90.28:	electrical and electronic measuring instruments;
e. f.	ex 90.11:	microscopes;
ı. g.	ex 90.17: ex 90.18:	medical instruments; mechano-therapy appliances;
y. h.	ex 90.18:	orthopaedic appliances;
i.	ex 90.20:	X-ray apparatus.
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	HS Chapter	Description		
50.	Chapter 92:	television imag	nents, sound recorders or re le and sound recorders or re s of such articles;	
51.	Chapter 94:	•	parts thereof; bedding, mat ions and similar stuffed furr	-
a.	ex 94.01 A:	aircraft seats.		
52.	Chapter 95:	Articles and ma	anufactures of carving or me	oulding material;
53.	Chapter 96:	Brooms, brush	es, powder-puffs and sieves	5;
54.	Chapter 98:	Miscellaneous	manufactured articles.	

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	APPENDIX I	THE UNITED KINGDOM	ANNEX 5	Page 1/2
		AN	NEX 5	
		SER	VICES	
Nati			which are identified in accordar ation (CPC Prov.) as conta	
	Description		CPC Prov. Reference No.	
1.	Maintenance and rep	oair services	6112, 6122, 633, 886	
2.	Land transport servi including armoured services, and courie services, except tran of mail	car r	712 (except 71235), 7512, 87304	
3.	Air transport service passengers and freig except transport of	ght,	73 (except 7321)	
4.	Transport of mail by except rail, and by a		71235, 7321	
5.	Telecommunications	services	752	
5.	Financial services		ex 81	
	a. Insurance ser	vices	812, 814	
	b. Banking and i services <sup>2</sup>	nvestments		
7.	Computer and relate	ed services	84	
3.	Accounting, auditing bookkeeping service		862	
9.	Market research and polling services	l public opinion	864	
10.	Management consul and related services		865, 866 <sup>3</sup>	
11.	Architectural service engineering services and integrated engin services, urban plan and landscape archi services; related sci and technical consul services; technical t	s neering ning tectural entific ting esting	867	
12	and analysis service		871	

12. Advertising services 871

<sup>&</sup>lt;sup>1</sup> Except for services which entities have to procure from another entity pursuant to an exclusive right established by a published law, regulation or administrative provision.

<sup>&</sup>lt;sup>2</sup> Except for the procurement or acquisition of fiscal agency or depository services, liquidation, and management services for regulated financial institutions or services related to the sale, redemption and distribution of public debt, including loans and government bonds, notes and other securities. <sup>3</sup> Except arbitration and conciliation services.

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	APPENDIX I	THE UNITED KINGDOM	ANNEX 5	Page 2/2		
	Description		CPC Prov. Reference No.			
13.	Building-cleaning service property management s		874, 82201-82206			
14.	Publishing and printing s on a fee or contract basi		88442			
15.	Sewage and refuse disponsion sanitation and similar set		94			

## Note to Annex 5

Procurement by procuring entities covered under Annexes 1, 2 and 3 of any of the services covered under this Annex is a covered procurement in regard of a particular Party's provider of service only to the extent that such Party has covered that service under its Annex 5.

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## **ANNEX 6**

## CONSTRUCTION SERVICES AND WORKS CONCESSIONS

## List of Construction Services (Division 51, CPC Prov.):

#### **1 CONSTRUCTION SERVICES**

All services listed in Division 51, as contained in document MTN/GNS/W/120.

## **2 WORKS CONCESSIONS**

Works concessions contracts, when awarded by Annex 1 and 2 entities, are included under the national treatment regime for the construction service providers of the European Union, Iceland, Liechtenstein, Norway, the Kingdom of the Netherlands with respect to Aruba, Switzerland and Montenegro, provided their value equals or exceeds 5,000,000 SDR and for the construction service providers of Korea; provided their value equals or exceeds 15,000,000 SDR.

#### Note to Annex 6

Procurement by procuring entities covered under Annexes 1, 2 and 3 of any of the construction services covered under this Annex is a covered procurement in regard of a particular Party's provider of service only to the extent that such Party has covered that service under its Annex 6.

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ANNEX 6

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# LIST OF DIVISION 51, CPC PROV.

Group	Class	Subclass	Title	Corresponding ISCI
SECTION 5	5		CONSTRUCTION WORK AND CONSTRUCTIONS:	
DIVISION	51		CONSTRUCTION WORK	
511			Pre-erection work at construction sites	
011	5111	51110	Site investigation work	4510
	5112	51120	Demolition work	4510
	5113	51130	Site formation and clearance work	4510
	5114	51140	Excavating and earthmoving work	4510
	5115	51150	Site preparation work for mining	4510
	5116	51160	Scaffolding work	4520
512	5110	51100	Construction work for buildings	1520
512	5121	51210	For one- and two-dwelling buildings	4520
	5122	51220	For multi-dwelling buildings	4520
	5123	51230	For warehouses and industrial buildings	4520
	5123	51240	For commercial buildings	4520
	5125	51250	For public entertainment buildings	4520
	5125	51260	For hotel, restaurant and similar buildings	4520
	5120	51200	For educational buildings	4520
	5127	51280	For health buildings	4520
	5128	51280		4520
513	5129	51290	For other buildings	4520
513	E121	E1210	Construction work for civil engineering	4520
	5131	51310	For highways (except elevated highways), street, roads, railways and airfield runways	4520
	5132	51320	For bridges, elevated highways, tunnels and subways	4520
	5132	51330		4520
	5135	51330	For waterways, harbours, dams and other water works	4520
	5134	51340	For long distance pipelines, communication and power lines (cables)	4520
	5135	51350	For local pipelines and cables; ancillary works	4520
	5136	51360	For constructions for mining and manufacturing	4520
	5137		For constructions for sport and recreation	
		51371	For stadia and sports grounds	4520
		51372	For other sport and recreation installations (e.g.	4520
			swimming pools, tennis courts, golf courses)	
	5139	51390	For engineering works n.e.c.	4520
514	5140	51400	Assembly and erection of prefabricated constructions	4520
515			Special trade construction work	
	5151	51510	Foundation work, including pile driving	4520
	5152	51520	Water well drilling	4520
	5153	51530	Roofing and water proofing	4520
	5154	51540	Concrete work	4520
	5155	51550	Steel bending and erection (including welding)	4520
	5156	51560	Masonry work	4520
	5159	51590	Other special trade construction work	4520
516			Installation work	
	5161	51610	Heating, ventilation and air conditioning work	4530
	5162	51620	Water plumbing and drain laying work	4530
	5163	51630	Gas fitting construction work	4530
	5164	31000	Electrical work	
		51641	Electrical wiring and fitting work	4530
		51642	Fire alarm construction work	4530
		51643	Burglar alarm system construction work	4530
		51644	Residential antenna construction work	4530
		51649	Other electrical construction work	4530
	5165	51650	Insulation work (electrical wiring, water, heat,	4530
	5166	51660	sound) Fencing and railing construction work	4530
	5169	51000	Other installation work	-330
	5105	51691	Lift and escalator construction work	4530
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Group	Class	Subclass	Title	Corresponding ISCI
		51699	Other installation work n.e.c.	4530
517			Building completion and finishing work	
	5171	51710	Glazing work and window glass installation work	4540
	5172	51720	Plastering work	4540
	5173	51730	Painting work	4540
	5174	51740	Floor and wall tiling work	4540
	5175	51750	Other floor laying, wall covering and wall papering work	4540
	5176	51760	Wood and metal joinery and carpentry work	4540
	5177	51770	Interior fitting decoration work	4540
	5178	51780	Ornamentation fitting work	4540
	5179	51790	Other building completion and finishing work	4540
518	5180	51800	Renting services related to equipment for construction or demolition of buildings or civil engineering works, with operator	4550

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## ANNEX 7

## **GENERAL NOTES**

- 1. This Agreement does not cover:
  - a. procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes (e.g. food aid including urgent relief aid); and
  - b. procurement for the acquisition, development, production or co-production of programme material by broadcasters and contracts for broadcasting time.

2. Procurement by procuring entities covered under Annexes 1 and 2 in connection with activities in the fields of drinking water, energy, transport and the postal sector are not covered by this Agreement, unless covered under Annex 3.

3. The Territorial Units for the purpose of paragraph 1 in Annex 2, Note 1 in the Notes to Annex 2 and Note 6 to the Notes in Annex 3 follow.

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LIST OF TERRITORIAL UNITS					
Territorial Unit 1	Territorial Unit 2	Territorial Unit 3			
1. North East (England)	1.1. Tees Valley and Durham	1.1.1. Hartlepool and Stockton-on-Tees 1.1.2. South Teeside 1.1.3. Darlington 1.1.4. Durham County Council			
	1.2. Northumberland and Tyne and Wear	1.2.1. Northumberland 1.2.2. Tyneside 1.2.3. Sunderland			
2. North West (England)	2.1. Cumbria	2.1.1. West Cumbria 2.1.2. East Cumbria			
	2.2. Cheshire	2.2.1. Warrington 2.2.2. Cheshire East 2.2.3. Cheshire West and Chester			
	2.3. Greater Manchester	<ul><li>2.3.1. Greater Manchester South East</li><li>2.3.2. Greater Manchester South West</li><li>2.3.3. Greater Manchester North East</li><li>2.3.4. Greater Manchester North West</li><li>2.3.5. Manchester</li></ul>			
	2.4. Lancashire	<ul> <li>2.4.1. Blackburn with Darwen</li> <li>2.4.2. Blackpool</li> <li>2.4.3. Chorley and West Lancashire</li> <li>2.4.4. East Lancashire</li> <li>2.4.5. Mid Lancashire</li> <li>2.4.6. Lancaster and Wyre</li> </ul>			
	2.5. Merseyside	2.5.1. East Merseyside 2.5.2. Liverpool 2.5.3. Sefton 2.5.4. Wirral			
3. Yorkshire and the					
Humber	3.1. East Yorkshire and Northern Lincolnshire	3.1.1. City of Kingston upon Hull 3.1.2. East Riding of Yorkshire 3.1.3. North and North East Lincolnshire			
	3.2. North Yorkshire	3.2.1. York 3.2.2. North Yorkshire County Council			
	3.3. South Yorkshire	3.3.1. Barnsley, Doncaster and Rotherham 3.3.2. Sheffield			
	3.4. West Yorkshire	3.4.1. Bradford 3.4.2. Leeds 3.4.3. Calderdale and Kirklees 3.4.4. Wakefield			

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Territorial Unit 1	Territorial Unit 2	Territorial Unit 3	
4. East Midlands (England)	4.1. Derbyshire and Nottinghamshire	<ul> <li>4.1.1. Derby</li> <li>4.1.2. East Derbyshire</li> <li>4.1.3. South and West Derbyshire</li> <li>4.1.4. Nottingham</li> <li>4.1.5. North Nottinghamshire</li> <li>4.1.6. South Nottinghamshire</li> </ul>	
	4.2. Leicestershire, Rutland and Northamptonshire	<ul> <li>4.2.1. Leicester</li> <li>4.2.2. Leicestershire County Council an Rutland</li> <li>4.2.3. West Northamptonshire</li> <li>4.2.4. North Northamptonshire</li> </ul>	d
	4.3. Lincolnshire	4.3.1. Lincolnshire	
5. West Midlands (England)	5.1. Herefordshire, Worcestershire and Warwickshire	5.1.1. County of Herefordshire 5.1.2. Worcestershire 5.1.3. Warwickshire	
	5.2. Shropshire and Staffordshire	5.2.1. Telford and Wrekin 5.2.2. Shropshire County Council 5.2.3. Stoke-on-Trent 5.2.4. Staffordshire County Council	
	5.3. West Midlands	<ul> <li>5.3.1. Birmingham</li> <li>5.3.2. Solihull</li> <li>5.3.3. Coventry</li> <li>5.3.4. Dudley</li> <li>5.3.5. Walsall</li> <li>5.3.6. Sandwell</li> <li>5.3.7. Wolverhampton</li> </ul>	
6. East of England	6.1. East Anglia	<ul> <li>6.1.1. Norwich and East Norfolk</li> <li>6.1.2. North and West Norfolk</li> <li>6.1.3. Breckland and South Norfolk</li> <li>6.1.4. Peterborough</li> <li>6.1.5. Suffolk</li> <li>6.1.6. Cambridgeshire County Council</li> </ul>	
	6.2. Bedfordshire and Hertfordshire	6.2.1. Luton 6.2.2. Bedford 6.2.3. Central Bedfordshire 6.2.4. Hertfordshire	
	6.3. Essex	<ul> <li>6.3.1. Heart of Essex</li> <li>6.3.2. Essex Thames Gateway</li> <li>6.3.3. Essex Haven Gateway</li> <li>6.3.4. West Essex</li> <li>6.3.5. Southend-on-Sea</li> <li>6.3.6. Thurrock</li> </ul>	

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APPENDIX I	THE UNITED KINGDOM	ANNEX 7 Page 4/6
Territorial Unit 1	Territorial Unit 2	Territorial Unit 3
7. London	7.1. Inner London - West	7.1.1. Camden and City of London 7.1.2. Kensington & Chelsea and Hammersmith & Fulham 7.1.3. Wandsworth 7.1.4. Westminster
	7.2. Inner London - East	7.2.1. Haringey and Islington 7.2.2. Hackney and Newham 7.2.3. Lambeth 7.2.4. Lewisham and Southwark
	7.3. Outer London – East and North East	7.3.1. Tower Hamlets 7.3.2. Bexley and Greenwich 7.3.3. Enfield 7.3.4. Redbridge and Waltham Forest
	7.4. Outer London - South	7.4.1. Bromley 7.4.2. Croydon 7.4.3. Merton, Kingston upon Thames and Sutton
	7.5. Outer London – West and North West	7.5.1. Barnet 7.5.2. Brent 7.5.3. Ealing 7.5.4. Harrow and Hillingdon 7.5.5. Hounslow and Richmond upon Thames
8. South East (England)	8.1. Berkshire, Buckinghamshire and Oxfordshire	8.1.1. Berkshire 8.1.2. Milton Keynes 8.1.3. Buckinghamshire County Council 8.1.4. Oxfordshire
	8.2. Surrey, East and West Sussex	<ul> <li>8.2.1. Brighton and Hove</li> <li>8.2.2. East Surrey</li> <li>8.2.3. East Sussex County Council</li> <li>8.2.4. West Surrey</li> <li>8.2.5. West Sussex (North East)</li> <li>8.2.6. West Sussex (South West)</li> </ul>
	8.3. Hampshire and Isle of Wight	<ul><li>8.3.1. Portsmouth</li><li>8.3.2. Southampton</li><li>8.3.3. Isle of Wight</li><li>8.3.4. Central Hampshire</li><li>8.3.5. South Hampshire</li><li>8.3.6. North Hampshire</li></ul>
	8.4. Kent	8.4.1. Kent Thames Gateway 8.4.2. Mid Kent 8.4.3. West Kent 8.4.4. East Kent 8.4.5. Medway

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Territorial Unit 1	L	Territorial Unit 2	Territorial Unit 3	
9. South N (England)	West	9.1. Gloucestershire, Wiltshire and Bristol/Bath area	<ul> <li>9.1.1. City of Bristol</li> <li>9.1.2. Bath and North East Some</li> <li>South Gloucestershire</li> <li>9.1.3. Gloucestershire</li> <li>9.1.4. Swindon</li> <li>9.1.5. Wiltshire County Council</li> </ul>	erset and
		9.2. Dorset and Somerset	9.2.1. Bournemouth and Poole 9.2.2. Dorset County Council 9.2.3. Somerset	
		9.3. Cornwall and Isles of Scilly	9.3.1. Cornwall and Isles of Scill	У
		9.4. Devon	9.4.1. Plymouth 9.4.2. Torbay 9.4.3. Devon County Council	
10. Scotland		10.1. Eastern Scotland	10.1.1. Angus and Dundee City 10.1.2. Clackmannanshire and F 10.1.3. East Lothian and Midloth 10.1.4. Scottish Borders 10.1.5. City of Edinburgh 10.1.6. Falkirk 10.1.7. Perth & Kinross and Stirl 10.1.8. West Lothian	lian
		10.2. Highlands and Islands	10.2.1. Caithness & Sutherland & & Cromarty 10.2.2. Inverness & Nairn and M Badenoch & Strathspey 10.2.3. Lochaber, Skye & Loacha & Cumbrae and Argyll & Bute 10.2.4. Eilean Siar (Western Isle 10.2.5. Orkney Islands 10.2.6. Shetland Islands	loray, als, Arran
		10.3. North Eastern Scotland	10.3.1. Aberdeen City and Abero	deenshire
		10.4. Western Scotland	10.4.1. East Dunbartonshire, We Dunbartonshire and Helensburgh Lomond 10.4.2. Glasgow City 10.4.3. Inverclyde, East Renfrew Renfrewshire 10.4.4. North Lanarkshire	n &
		10.5. Southern Scotland	10.5.1. Dumfries & Galloway 10.5.2. East Ayrshire and North mainland 10.5.3. South Ayrshire 10.5.4. South Lanarkshire	Ayrshire

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Territorial Unit 1	Territorial Unit 2	Territorial Unit 3	
11. Wales	11.1. West Wales	11.1.1. Isle of Anglesey 11.1.2. Gwynedd 11.1.3. Conwy and Denbighshi 11.1.4. South West Wales 11.1.5. Central Valleys 11.1.6. Gwent Valleys 11.1.7. Bridgend and Neath Po 11.1.8. Swansea	
	11.2. East Wales	11.2.1. Monmouthshire and Ne 11.2.2. Cardiff and Vale of Glar 11.2.3. Flintshire and Wrexhan 11.2.4. Powys	norgan
12. Northern Ireland	12.1. Northern Ireland	12.1.1. Belfast 12.1.2. Armagh City, Banbridg Craigavon 12.1.3. Newry, Mourne and Do 12.1.4. Ards and North Down 12.1.5. Derry City and Straban 12.1.6. Mid Ulster 12.1.7. Causeway Coast and G 12.1.8. Antrim and Newtonabb 12.1.9. Lisburn and Castelreag 12.1 10. Mid and East Antrim 12.1.11. Fermanagh and Omag	wn e lens ey h

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## **APPENDIX II**

ELECTRONIC OR PAPER MEDIA UTILIZED BY PARTIES FOR THE PUBLICATION OF LAWS, REGULATIONS, JUDICIAL DECISIONS, ADMINISTRATIVE RULINGS OF GENERAL APPLICATION, STANDARD CONTRACT CLAUSES, AND PROCEDURES REGARDING GOVERNMENT PROCUREMENT COVERED BY THIS AGREEMENT PURSUANT TO ARTICLE VI

UNITED KINGDOM

[To be provided]

## **APPENDIX III**

ELECTRONIC OR PAPER MEDIA UTILIZED BY PARTIES FOR THE PUBLICATION OF NOTICES REQUIRED BY ARTICLES VII, IX:7 AND XVI:2 PURSUANT TO ARTICLE VI

UNITED KINGDOM

[To be provided]

## **APPENDIX IV**

WEBSITE ADDRESS OR ADDRESSES WHERE PARTIES PUBLISH PROCUREMENT STATISTICS PURSUANT TO ARTICLE XVI:5 AND NOTICES CONCERNING AWARDED CONTRACTS PURSUANT TO ARTICLE XVI:6

UNITED KINGDOM

[To be provided]

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## ATTACHMENT B

## PART 1

# EUROPEAN UNION'S TERMS OF UNITED KINGDOM'S ACCESSION TO THE AGREEMENT ON GOVERNMENT PROCUREMENT IN ITS OWN RIGHT

Upon the entry into force of the Agreement on Government Procurement for the United Kingdom in its own right:

1. Point 1 of Section 2 ("The Central Government Contracting Authorities of EU member States") of Annex 1 to Appendix I of the European Union under the revised Agreement shall read as follows:

"1. For the goods, services, suppliers and service providers of Liechtenstein, Switzerland, Iceland, Norway, the Netherlands with respect to Aruba and the United Kingdom, procurement by all central government contracting authorities of EU member States. The list below is indicative".

2. Section 2 of Annex 6 to Appendix I of the European Union under the revised Agreement shall read as follows:

"Works concessions contracts, when awarded by Annex 1 and 2 entities, are included under the national treatment regime for the construction service providers of Iceland, Liechtenstein, Norway, the Netherlands on behalf of Aruba, Switzerland, Montenegro and the United Kingdom, provided their value equals or exceeds 5,000,000 SDR and for the construction service providers of Korea; provided their value equals or exceeds 15,000,000 SDR".

3. Footnote to the title "The European Union" to Appendix I Annexes of the European Union under the revised Agreement and to the title "European Union" under the 1994 Agreement shall include the following footnote:

"All the references to the contracting authorities and contracting entities of the United Kingdom currently contained in the European Union's Appendix I Annexes are obsolete".

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## PART 2

## MONTENEGRO'S TERMS OF UNITED KINGDOM'S ACCESSION TO THE AGREEMENT ON GOVERNMENT PROCUREMENT IN ITS OWN RIGHT

Upon the entry into force of the Agreement on Government Procurement for the United Kingdom in its own right:

1. Section 2 of Annex 6 to Appendix I of Montenegro under the revised Agreement shall read as follows:

"Works concessions contracts, when awarded by Annex 1 and 2 entities, are included under the national treatment regime for the construction service providers of the EU, the United Kingdom, Iceland, Liechtenstein, Norway, the Netherlands on behalf of Aruba and Switzerland, provided their value equals or exceeds SDR 5,000,000 and for the construction service providers of Korea; provided their value equals or exceeds SDR 15,000,000."

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## ATTACHMENT C

# SWITZERLAND'S TERMS OF THE UNITED KINGDOM'S ACCESSION TO THE AGREEMENT ON GOVERNMENT IN ITS OWN RIGHT<sup>1</sup>

- Upon the United Kingdom's accession to the Agreement on Government Procurement in its own right and, in addition, the entry into force for Switzerland of the Protocol Amending the Agreement on Government Procurement, done at Geneva on 30 March 2012, line 1 of Note 1 of section A ("Dérogations spécifiques par pays") of Annex 7 to Appendix I of Switzerland under the amended Agreement shall read as follows<sup>2</sup>:
  - "- en ce qui concerne les marchés passés par les entités mentionnées au chiffre 2 de l'Annexe 2 à tous les membres de l'AMP, à l'exception de ceux de l'Union Européenne, des membres de l'Association Européenne de Libre-Echange (AELE), de l'Arménie et du Royaume-Uni. "

<sup>&</sup>lt;sup>1</sup> Switzerland's terms of the United Kingdom's accession to the Agreement on Government Procurement in its own right are subject to Switzerland's relevant internal procedures. In case the Government Procurement Agreement enters into force for the United Kingdom before the Protocol Amending the Agreement on Government Procurement, done at Geneva on 30 March 2012, enters into force for Switzerland, Switzerland's Appendix I Annexes to the 1994 Agreement shall apply as between the United Kingdom and Switzerland. For purposes of clarity, Switzerland will extend the benefits of the provisions of the Government Procurement Agreement to the United Kingdom for procurement by authorities and bodies governed by public law at the district and communal level.

<sup>&</sup>lt;sup>2</sup> In French only.