**REPLIES TO QUESTIONNAIRE ON IMPORT LICENSING PROCEDURES[[1]](#footnote-1)**

Notification under Article 7.3 of the Agreement   
on Import Licensing Procedures (2020)

Kazakhstan

The following communication, dated 30 September 2020, is being circulated at the request of the delegation of Kazakhstan.

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Kazakhstan has introduced two new import licensing procedures (for hot-rolled steel and steel pipes) to its existing import licensing regime in 2020. Other modifications and additions introduced in this document are insignificant. The modifications and additions have been highlighted in bold in the text for easy reference for other WTO members.**

[1   ozone depleting substances 2](#_Toc54001514)

[2   plant protection chemicals 5](#_Toc54001515)

[3   hazardous waste 7](#_Toc54001516)

[4   narcotics substances, psychotropic substances and their precursors 11](#_Toc54001517)

[5   toxic substances which are not precursors of narcotic and psychotropic substances 13](#_Toc54001518)

[6   radio-electronic means and/or high-frequency devices of civil use, including those built-in or coming with other commodities 16](#_Toc54001519)

[7   special technical means intended for covert obtainment of information 19](#_Toc54001520)

[8   encryption (cryptographic) means 22](#_Toc54001521)

[9   human organs and tissues, blood and its components, samples of human biological materials 26](#_Toc54001522)

[10   service and civil weapons, its main parts (components) and cartridges thereto 29](#_Toc54001523)

[11   certain types of Agricultural products 31](#_Toc54001524)

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# ozone depleting substances

Outline of System

1. Kazakhstan manages its obligations for ozone depleting substances controlled by the Montreal Protocol on Substances that Deplete the Ozone Layer. The import, export and manufacture of the ozone depleting substances is prohibited under the Montreal Protocol, except where an essential or critical use exemption has been granted by the Parties to the Montreal Protocol. Kazakhstan's Montreal Protocol obligations are implemented through a system of licensing.

Purposes and coverage of licensing

2. The requirements of the licensing systems are:

* import or export of ozone depleting substances;
* pre-charged equipment containing ozone-depleting substances.

Strict conditions and reporting requirements apply in relation to all licences issued.

|  |  |  |
| --- | --- | --- |
| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description | |
| of 2903 79 300 0 | fluorodichloroethane | CHFCl2 |
| of 2903 71 000 0 | chlorodifluoromethane | CHF2Cl |
| of 2903 79 300 0 | chlorofluoromethane | CH2FCl |
| of 2903 79 300 0 | tetrachlorofluoroethane | C2HFCl4 |
| of 2903 79 300 0 | trichlorodifluoroethane | C2HF2Cl3 |
| of 2903 72 000 0 | dichlorotrifluoroethane | C2HF3Cl2 |
| of 2903 72 000 0 | dichlorotrifluoroethane | CHCl2CF3 |
| of 2903 79 300 0 | tetrafluorochloroethane | C2HF4Cl |
| of 2903 79 300 0 | tetrafluorochloroethane | CHFClCF3 |
| of 2903 79 300 0 | fluorotrichloroethane | C2H2FCl3 |
| of 2903 79 300 0 | difluorodichloroethane | C2H2F2Cl2 |
| of 2903 79 300 0 | trifluorochloroethane | C2H2F3Cl |
| of 2903 73 000 0 | 1-fluoro-2,2-dichloroethane | C2H3FCl2 |
| of 2903 73 000 0 | 1,1,1-fluorodichloroethane | CH3CFCl2 |
| of 2903 74 000 0 | 1-chloro, 2,2-difluoroethane | C2H3F2Cl |
| of 2903 74 000 0 | 1,1,1-difluorochloroethane | CH3CF2Cl |
| of 2903 79 300 0 | fluorochloroethane | C2H4FCl |
| of 2903 79 300 0 | fluorohexachloropropane | C3HFCl6 |
| of 2903 79 300 0 | difluoropentachloropropane | C3HF2Cl5 |
| of 2903 79 300 0 | trifluorotetrachloropropane | C3HF3Cl4 |
| of 2903 79 300 0 | tetrafluorotrichloropropane | C3HF4Cl3 |
| of 2903 75 000 0 | pentafluorodichloropropane | C3HF5Cl2 |
| of 2903 75 000 0 | 1-trifluoro,  2-difluoro, 3-dichloropropane | CF3CF2CHCl2 |
| of 2903 75 000 0 | 1,1-difluorochloro,  2-difluoro, 3-dichloropropane | CF2ClCF2CHClF |
| of 2903 79 300 0 | hexafluorochloropropane | C3HF6Cl |
| of 2903 79 300 0 | fluoropentachloropropane | C3H2FCl5 |
| of 2903 79 300 0 | difluorotetrachloropropane | C3H2F2Cl4 |
| of 2903 79 300 0 | trifluorotrichloropropane | C3H2F3Cl3 |
| of 2903 79 300 0 | tetrafluorodichloropropane | C3H2F4Cl2 |
| of 2903 79 300 0 | pentafluorochloropropane | C3H2F5Cl |
| of 2903 79 300 0 | fluorotetrachloropropane | C3H3FCl4 |
| of 2903 79 300 0 | difluorotrichloropropane | C3H3F2Cl3 |
| of 2903 79 300 0 | trifluorodichloropropane | C3H3F3Cl2 |
| of 2903 79 300 0 | tetrafluorochloropropane | C3H3F4Cl |
| of 2903 79 300 0 | fluorotrichloropropane | C3H4FCl3 |
| of 2903 79 300 0 | difluorodichloropropane | C3H4F2Cl2 |
| of 2903 79 300 0 | trifluorochloropropane | C3H4F3Cl |
| of 2903 79 300 0 | fluorodichloropropane | C3H5FCl2 |
| of 2903 79 300 0 | difluorochloropropane | C3H5F2Cl |
| of 2903 79 300 0 | fluorochloropropane | C3H6FCl |

3. The system applies to goods from all countries-parties to the Montreal Protocol.

4. The licensing system implements Kazakhstan's legal obligations under the Montreal Protocol. As well as limits on production and consumption of ozone depleting substances leading to eventual phase-out, the Protocol requires the establishment of a licensing system.

5. The legislation under which licences are maintained includes:

* Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;
* Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;
* Agreement on Movement of Ozone-depleting Substances and Products Containing Them and Accounting for Ozone-depleting Substances in Mutual Trade of the Member States of the Eurasian Economic Union of 29 May 2015;
* Annex No. 2 to Decision No. 30 of the Board of the Eurasian Economic Commission dd. 21.04.2015;
* Regulation on the importation/exportation of ozone-depleting substances and products containing ozone-depleting substances into/from the customs territory of the Eurasian Economic Union (Annex No. 20 to Decision No. 30 of the Board of the Eurasian Economic Commission dd. 21.04.2015);
* Environmental Code of the Republic of Kazakhstan No. 212 of 9 January 2007;
* Law of the Republic of Kazakhstan No. 176 "On the Accession of the Republic of Kazakhstan to the Montreal Protocol on Substances, Depleting the Ozone Layer" of 30 October 1997;
* Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;
* Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;
* Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;
* Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

Licensing is a legislative requirement. It is an offence to import, export or manufacture the mentioned substance without a licence. It is not possible to abolish this system without legislative approval. The legislation does not leave designation of products to administrative discretion. All substances that require licences are specified in a schedule to the legislation. No other substances require licensing under this legislation.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country:

a) Application should be filed in advance of arrival of the goods. The maximum processing time for licence is 8 working days.

b)No.

c)No.

d)Yes, a licence application is considered by a single administrative body – the Ministry of Ecology, Geology and Natural Resources of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011229#z155>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of application;

- An electronic copy of a foreign trade contract;

- A copy of document on registration on tax authority or a copy of document on the state registration;

- A copy of the licence to carry out the licenced type of activity or information on the availability of a licence to carry out the licenced type of activity if such activity is related to the turnover of the goods for which licensing has been introduced;

- A copy of the contract for supplying of intermediary services (in the case if an intermediary is the applicant);

- Certificate of conformity or written notice of the manufacturer that the ozone-depleting substances and / or products containing ozone-depleting substances produced by it meet the requirements of the documents according to which they are manufactured;

- A copy of a valid certificate for cargo insurance.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices[[2]](#footnote-2).

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period and cannot be extended.

15. There is no penalty for the non-utilisation of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. Licensees are not permitted to trade in ozone depleting substances with non-Parties to the Montreal Protocol. Conditions may also apply to the purpose to which the imported substance is to be applied if its consumption has been approved for a specific purpose through the Montreal Protocol.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# plant protection chemicals

Outline of System

1. Import of unregistered plant protection means samples for the registration and production tests and researches, as well as limited number of unregistered plant protection means for elimination of newly detected quarantine pest hotbeds is carried out without a licence by presentation of the conclusion of Kazakhstan’s executive authority, exercising state registration of plant protection means, on the appropriateness of import with indication of plant protection means names, quantity, preparation form, rate of application, concentration, packaging, factory name and country of origin (the Common List of Goods that are Subject to Non-tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No.30 of 21 April 2015, Annex 2.2).

Purposes and coverage of licensing

2. Imports of unregistered plant protection means are subject to licensing.

|  |  |
| --- | --- |
| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| of 3808 (except for 3808 94) | Plant protection products (pesticides), excluding any sticky tape to protect trees from insects |

3. The system applies to goods originating in and coming from all countries.

4. Import licensing is for the purpose of administering import restrictions maintained to protect human, animal or plant life or health.

5. The legislation under which licences are maintained includes:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission (EEC) No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015;

- Law of the Republic of Kazakhstan No. 331 "On Plant Protection" of 3 July 2002;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country:

a) Application should be filed in advance of arrival of the goods. The maximum processing time for licence is 5 working days.

b) No.

c) No.

d) Importer has to apply to one administrative body - **the State Inspection Committee in the Agroindustrial Complex of the Ministry of Agriculture of the Republic of Kazakhstan.**

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1800017898#z91>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- A digital copy of application form;

- A copy of a contract;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- A copy of the licence for production (formulation) of pesticides (toxic chemicals), the sale of pesticides (toxic chemicals), the use of pesticides (toxic chemicals) in aerosol or fumigant ways;

- A copy of the contract (agreement) which sets out the procedure for return of defective plant protection products to the exporter;

- A copy of the passport on chemical products safety;

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# hazardous waste

Outline of System

1. The Republic of Kazakhstan manages its obligations for hazardous waste controlled by the Basel Convention for the Control of Trans-Boundary Movements of Hazardous Waste and their Disposal (Basel Convention) through the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries (Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.3).

Purposes and coverage of licensing

2. In accordance with the Kazakhstan's obligations under the Basel Convention, the licensing system applies to hazardous wastes as listed in the Schedules to the Basel Convention.

| Tariff line code(s) affected,  based on HS (2012) | Detailed Product Description |
| --- | --- |
| 2618 00 000 0 | Granulated slag (slag sand), obtained in the process of production of ferrous metals |
| 2619 00 | Slag, dross (except for granulated slag), scales and other waste of production of ferrous metals |
| of 2620 30 000 0 | Scale containing copper oxide |
| 2620 | Slag, ash and residues (other than those generated in the production of ferrous metals) containing metals, arsenic or their compounds, including: |
| 2620 11 000 0 | hard zinc |
| of 2620 21 000 0  of 2620 29 000 0 | lead output, lead ashes, lead slurry with lead content of 30% or more |
| of 2620 40 000 0 | aluminium dross |
| of 2620 99 950 9 | salt slag containing magnesium |
| of 2620 99 950 9 | light metal output containing magnesium |
| of 2620 | spent catalysts suitable only for metal recovery or for the production of chemical reagents |
| of 2620  of 3825 | Wastes containing any of the following substances as a component or contaminant: |
|  | metal carbonyls |
| of 2620 91 000 0  of 3825 | hexavalent chromium compounds |
| of 2620 30 000 0 | Dust and residues of gas cleaning systems of copper smelting works |
| of 2620 30 000 0 | Wastes sludge from electrolytic separation operations and purification of copper (exclusive of anode sludge) |
| of 2620 30 000 0  of 3825 | Wastes containing copper chloride or copper cyanide |
| of 2619 00 900 0 | Slag generated in the production of iron and steel, used as a raw material for the production of titanium sponge and vanadium |
| of 7112 30 000 0 | Ashes from the incineration of printed circuit boards containing precious metal(s) or compounds of precious metal(s) |
| of 7112 30 000 0 | Ashes containing precious metal(s) or compounds of precious metal(s) generated from the burning of film |
| of 7112 99 000 0 | Film wastes containing silver halides and/or metallic silver |
| of 7112 99 000 0 | Film paper wastes containing silver halides and/or metallic silver |
| of 2520 10 000 0  of 3825 | Gypsum wastes generated in chemical industrial processes |
| of 3912 20 | Waste of nitrocellulose |
| of 2907  of 2908 | Waste phenols and phenolic compounds, including chlorphenol, in the form of liquids or sludges |
| of 3825 41 000 0  of 3825 49 000 0 | Halogenated or non-halogenated non-aqueous distillation residues generated during recovery (regeneration) of organic solvents |
| of 3825 61 000 0 | Waste from the production of aliphatic halogenated hydrocarbons (chloromethane, dichloroethane, allylchloride, epichlorohydrin), consisting of a 80-90% mixture of polychlorinated hydrocarbons |
|  | Wastes containing or contaminated with the following compounds: |
| of 2837  of 3825 | inorganic cyanides exclusive of waste containing precious metal(s) or precious metal(s) compounds in solid form with traces of inorganic cyanides |
| of 2926  of 2929  of 3825 | organic cyanides |
|  | Waste acid and alkaline solutions, which main component are the following substances: |
| of 2806 10 000 0 | hydrochloric acid pH <= 2 |
| of 2807 00 000 | sulfuric acid, fuming sulphuric acid |
| of 2808 00 000 0 | nitric acid pH <= 2 |
| of 2811 11 000 0 | hydrofluoric acid |
| of 2811 19 100 0 | hydrobromic acid |
| of 2814 20 000 0 | ammonia in aqueous solution |
| of 2815 12 000 0 | sodium hydroxide pH> = 11.5 |
| of 2815 20 000 0 | potassium hydroxide pH> = 11.5 |
| of 2620 30 000 0  of 2620 99 950 9 | Slag from copper production (except for chemically stabilized one having high iron content (above 20%) and processed in accordance with industry standards) |
| of 2620 11 000 0  of 2620 19 000 0  of 2620 99 950 9 | Slag from zinc production (except for chemically stabilized one having high iron content (above 20%) and processed in accordance with industry standards) |
| of 2620  of 2621  of 3825 | Slag and other ashes, including marine algae ash (kelp), including: |
|  | boiler ashes |
|  | residues of solid salt-containing and smoke-collecting devices of furnace units with traditional fuels (without reactive gypsum) |
|  | fly ashes and dust from combustion plants (except for fly ash and dust from waste incineration plants and pyrolysis plants) |
|  | neutralized red clay from alumina production |
|  | ash from coal-fired power plants (including fly-ash) |
| of 3802 | Spent activated carbon (except for that generated during the treatment of drinking water, in the food industry and in the production of vitamins) |
| of 28,  of 3824,  of 3825 | Wastes containing inorganic fluorine compounds in the form of liquids or sludges, except for calcium fluoride sludge |
| of 4004 00 000 0 | Waste, scraps and rubber scrap (excluding hard rubber) |
| 4012 20 000 1  4012 20 000 9 | Used tires and pneumatic tyres |
| 2307 00 | Wine sludge, argol |
| of 3504 00 | Tannery waste in the form of dust, ash, sludge, powder containing hexavalent chromium compounds and biocides |
| of 4115 10 000 0  of 4115 20 000 0 | Scraps and other wastes of leather or composite leather, unsuitable for the manufacture of leather goods, containing hexavalent chromium compounds and biocides |
| of 0511 99 100 0  of 4101 - of 4103  of 4301 | Waste of skins or down and fur raw materials containing hexavalent chromium compounds or biocides |
| of 5003 00 000 0  of 5103 20 000 0  of 5202 10 000 0  of 5505  of 5601 30 000 0 | Waste in the form of down from wool-spinning production |
| of 3206, of 3208  of 3212, of 3825 | Waste of pigments, dyes, paints and varnishes containing heavy metals and/or organic solvents |
| of 2805 40  of 7204  of 7404 00  of 7503 00  of 7602 00 | Wastes of metals and alloys that include any of the substances listed below (excluding scrap and alloys in the form of finished products: sheets, plates, beams, rods, pipes, etc.): |
| of 7802 00 000 0 | antimony |
| of 7902 00 000 0 | cadmium |
| of 8002 00 000 0 | selenium |
| of 8101 97 000 0 | tellurium |
| of 8102 97 000 0  of 8103 30 000 0  of 8104 20 000 0  of 8105 30 000 0  of 8106 00 100 0  of 8107 30 000 0  of 8108 30 000 0  of 8109 30 000 0  of 8110 20 000 0  of 8111 00 190 0  of 8112 13 000 0  of 8112 22 000 0  of 8112 52 000 0  of 8112 92 210 9  of 8113 00 400 0 | thallium |
| of 2620 29 000 0  of 2620 60 000 0  of 2620 91 000 0  of 2620 99 950 9 | Wastes containing any of the following substances as a component or pollutant (excluding metal junk in solid form): |
|  | antimony, antimony compounds |
|  | beryllium, beryllium compounds |
|  | cadmium, cadmium compounds |
|  | lead, lead compounds |
|  | selenium, selenium compounds |
|  | tellurium, tellurium compounds |
|  | thallium, thallium compounds |
| of 2620 19 000 0  of 2530 90 000 9 | Leach residues after treatment of zinc in the form of dust, sludge (jarosite, hematite, etc.) |
| of 7802 00 000 0  of 8548 10 910 0 | Spent lead-acid batteries, disassembled |
| of 8548 10 100 0  of 8548 10 210 0  of 8548 10 290 0 | Unsorted used batteries |
| of 85 | Scrap of electrical equipment or electrotechnical units, including galvanic cells, batteries, mercury switches, cathode-ray tube glass and other glass with an active coating, or contaminated with cadmium, mercury, lead, polychlorinated biphenyls at a concentration of 50 mg/kg and above |
| of 2710 | Spent oil products, including: |
|  | oil products in the form of aqueous emulsions or mixtures with water |
|  | oil products in the form of sludges from storage tanks |
|  | oil products unsuitable for further use as primary products |
| 2710 91 000 0 | spent oil products containing polychlorinated biphenyls (PCBs), polychlorinated terphenyls (PCTs) or polybrominated biphenyls (PBBs) |
| of 2710 91 000 0 | wastes of substances and articles containing or contaminated with: polychlorinated biphenyl (PCB), polychlorinated terphenyl (PCT), polychlorinated naphthalene (PCN) or polybrominated biphenyl (PBB), including any other polybrominated analogs of these compounds at a concentration level of 50 mg/kg and above |

3. The system applies to hazardous wastes originating in and coming from all countries that are Parties to the Basel Convention.

4. The licensing system ensures that Kazakhstan's commitments as a Party to the Basel Convention are upheld. To that effect, trans-boundary movements of hazardous wastes and other wastes is to be reduced to the minimum consistent with the environmentally sound and efficient management of such wastes and to be conducted in such a manner which will protect human health and the environment against the adverse effects which may result from such movement. The licensing system is not intended to restrict the quantity or value of imports.

Licensing is a statutory requirement for the import of any hazardous waste listed in Schedules to the Basel Convention. It is not possible to abolish the system without legislative approval.

5. The legislation under which licences are maintained includes:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision No. 30 of the Board of the Eurasian Economic Commission dd. 21.04.2015;

- Law of the Republic of Kazakhstan No. 389 "On Accession of the Republic of Kazakhstan to the Basel Convention for the Control of Trans-Boundary Movements of Hazardous Waste and Their Disposal" of 10 February 2003;

- Environmental Code of the Republic of Kazakhstan No. 212 of 9 January 2007;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) Application should be filed in advance of arrival of the goods. The maximum processing time for licence is 15 working days.

b) No.

c) No.

d)Yes, a licence application is considered by a single administrative body – the Ministry of Ecology, Geology and Natural Resources of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011229>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of application;

- An electronic copy of a foreign trade contract;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- A copy of the activity licence for the use of waste;

- A copy of contract (agreement) on transportation;

- A copy of the conclusion of the state ecological examination;

- A copy of the contract (agreement) between importer and person responsible for the disposal of waste, which sets out ecological safe use of this waste;

- Notification of transboundary transportation of hazardous waste in accordance with the Basel Convention;

- Information on the availability of technical (technological) possibilities for the use of hazardous waste;

- A copy of document certifying coverage by insurance, bond or other guarantee during the transboundary movement.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. There are no other administrative procedures, apart from import licensing required prior to importation.

# narcotics substances, psychotropic substances and their precursors

Outline of System

1. Licences are issued to control the import of specified narcotic drugs, substances with psychotropic effects and their precursors (the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.12).

Purposes and coverage of licensing

2. This system fulfils part of the Kazakhstan’s obligation under the Single Convention on Narcotic Drugs, 1961, the Convention on Psychotropic Substances, 1971, and Table I and Table II of the Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances, 1988.

3. The system applies to importers of controlled substances from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled substances imported. This is intended to prevent the over-supply and diversion of controlled substances and is one strategy adopted to address drug misuse. The system is based on the requirements of the international treaties. The system is not intended to restrict the quantity or volume of imports.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" of 21 April 2015;

- Law of the Republic of Kazakhstan No. 257 "On Accession of the Republic of Kazakhstan to the Single Convention on Narcotic Drugs, 1961 with Amendments in accordance with the Protocol 1972 on Amendments to the Single Convention on Narcotic Drugs, 1961" of 1 July 1998;

- Law of the Republic of Kazakhstan No. 249 "On Accession of the Republic of Kazakhstan to the Convention on Psychotropic Substances" of 29 June 1998;

- Law of the Republic of Kazakhstan No. 246 "On Accession of the Republic of Kazakhstan to UN Convention against Illicit Traffic in Narcotic Drugs and Psychotropic Substances" of 29 June 1998;

- Law of the Republic of Kazakhstan No. 279-I "On Narcotic Drugs, Psychotropic Substances and Their Substitutes, Precursors and Countermeasures on their Illegal Circulations and Misuse" of 10 July 1998;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) Application should be filed in advance of arrival of the goods. The maximum processing time for licence is 3 working days.

b) No.

c) No.

d)Yes, an application is considered by only administrative body - the Ministry of Internal Affairs of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011137#z32>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of the application;

- A copy of a foreign trade contract;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- A copy of the licence for activities related to the use of narcotic drugs, psychotropic substances and their precursors.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# toxic substances which are not precursors of narcotic and psychotropic substances

Outline of System

1. Licences are issued to control the import of toxic substances except for precursors of the drugs and substances with psychotropic effects.

Purposes and coverage of licensing

2. The licensing system permits to control the turnover of toxic substances of different origins for protection of human, animal or plant life or health.

| Tariff line code(s) affected,  based on HS (2012) | Detailed Product Description |
| --- | --- |
| of 1211 90 860 9 | Aconite |
| of 2939 79 000 0  of 2939 80 000 0 | Aconitine |
| of 2922 19 700 0 | Amisyl |
| of 2933 39 990 0 | Aceclydine |
| of 2837 19 000 0 | Barium cyanide |
| of 2939 79 000 0  of 2939 80 000 0 | Brucine |
| of 2939 79 000 0  of 2939 80 000 0 | Hyoscyamine (base) |
| of 2939 79 000 0  of 2939 80 000 0 | Hyoscyamine camphorate |
| of 2939 79 000 0  of 2939 80 000 0 | Hyoscyamine sulfate |
| of 2905 59 | Glyfluor |
| of 2837 19 000 0 | Cadmium cyanide |
| of 2837 19 000 0 | Calcium prussiate |
| of 2924 19 000 0 | Carbacholinum |
| of 2930 90 950 9 | Mercaptophos |
| 2905 11 000 0 | Methylic alcohol (methanol) |
| 2804 80 000 0 | Arsenic |
| of 2811 29 100 0 | Arsenous acid anhydride |
| of 2811 29 900 0 | Arsenic anhydride |
| of 2842 90 800 0 | Sodium arsenate |
| of 2939 79 000 0  of 2939 80 000 0 | Nicotine |
| of 2931 90 800 9 | Novarsenol |
| of 2852 90 000 7 | Chlormesodrine |
| 2805 40 100 0  2805 40 900 0 | Metal mercury |
| of 2852 10 000 8 | Mercury diiodide |
| of 2852 10 000 8 | Mercury dichloride |
| of 2852 10 000 8 | Mercury oxycyanide |
| of 2852 10 000 8 | Mercury salicylate |
| of 2852 10 000 8 | Mercury cyanide |
| of 2843 29 000 0 | Silver cyanide |
| of 2939 79 000 0  of 2939 80 000 0 | Scopolamine hydrobromide |
| of 2939 79 000 0  of 2939 80 000 0 | Strychnine nitrate |
| of 2939 79 000 0  of 2939 80 000 0 | The sum of alkaloids of demoiselle crane |
| of 8112 51 000 0 | Unprocessed thallium |
| of 2931 90 800 9 | Nickel tetracarbonyl |
| of 2931 10 000 0 | Tetraethyllead |
| of 2931 10 000 0 | Tetramethyl lead |
| of 1211 90 860 9 | Fresh grass of aconite of Dzungarian |
| of 2907 11 000 0 | Phenol |
| of 2853 90 900 0 | Zinc phosphide |
| of 2804 70 001 0 | Yellow phosphorus |
| of 2843 29 000 0 | Silver fluoride |
| of 2926 90 980 0 | O-chlorobenzylidenemalonodiny-tril 1 |
| of 2837 19 000 0 | Zinc cyanide |
| of 2939 20 000 0 | Cinchonine |
| of 1302 19 900 0 | Extract of nux vomica |
| of 2852 10 000 8 | Ethyl mercuric phosphate |
| of 2852 10 000 8 | Ethylmercurchloride |
| of 3001 90 980 0 | Snake venom |
| of 3001 90 980 0 | Purified bee poison |
| of 2837 11 000 0 | Sodium cyanide |
| of 2837 19 000 0 | Potassium cyanide |
| of 2837 19 000 0 | Copper cyanides |

3. The system applies to importers of controlled substances from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled substances imported. The system is not intended to restrict the quantity or volume of imports.

5. The legislation under which licences are maintained includes:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision No. 30 of the Board of the Eurasian Economic Commission dd. 21.04.2015;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) Application should be made in advance of arrival of the goods. The maximum processing time for licence is 5 working days.

b) No.

c) No.

d)Yes, an application is considered by only authorized body- the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011636#z163>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

An importer is required to submit the following documents:

- An electronic copy of application;

- A copy of a contract;

- A copy of document on registration on tax authority or a copy of document on the state registration;

- A copy of activity licence for production, processing, purchase, storage, sale, use and destruction of toxic substances;

- A copy of sanitary and epidemiological conclusion on storage of toxic substances;

- Passport of safety of toxic products;

- A copy of certificate of insurance.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period**.**

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# radio-electronic means and/or high-frequency devices of civil use, including those built-in or coming with other commodities

Outline of System

1. Licences are issued to regulate the import of civil radio-electronic and/or high-frequency means (REM and HFM) including built-in or forming part of other goods (the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-tariff Regulation" of 21 April 2015, Annex 2.16).

Purposes and coverage of licensing

2. The licensing system permits to regulate the turnover of civil radio-electronic and/or high‑frequency means (REM and HFM) including built-in or forming part of other goods.

| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| --- | --- |
| of 8419  of 8514  of 8540  of 8543  of 9018  of 9027 | High-frequency devices, equipment and appliances for industrial, scientific and medical purposes, including high-frequency generators |
| of 8470  of 8471  of 8517  of 8518  of 8519  of 8521  of 8525  of 8526  of 8527  of 8528  of 8531  of 90 | Multipurpose radioelectronic means for the transmission or reception of voice, image, data and/or other types of information |
| of 8526  of 8527 | Software and hardware of technical radio monitoring, receiving equipment intended for detection of radio electronic means, which are the source of electromagnetic radiation |

3. The system applies to importers of controlled means from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled means imported. The system is not intended to restrict the quantity or volume of imports.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" of 21 April 2015;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) There is no minimum advance notice required for a licence. The maximum processing time for licence is 8 working days.

b)No.

c)No.

d)Yes, an application is considered by only authorized body- the Telecommunication Committee of the Ministry of Digital Development, Innovations and Aerospace Industry of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1800018078#z910>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of application;

- A copy of a foreign trade contract;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- Data on imported civil radio-electronic and/or high-frequency means;

- A copy of the certificate of compliance;

- A copy of conclusion on technical examination with regard to designation of the imported goods to encryption good and special technical devises designed for conducting of operative-investigation activities issued by the National Security Committee of the Republic of Kazakhstan;

- A copy of conclusion with regard to designation (non-designation) of the imported to the civil radio-electronic and/or high frequency means.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period and cannot be extended.

15. There is no penalty for non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# special technical means intended for covert obtainment of information

Outline of System

1. Licences are issued to regulate the import of special devices intended for unauthorized obtaining of information (the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.17).

Purposes and coverage of licensing

2. The licensing system permits to regulate the turnover of special devices intended for unauthorized obtaining of information including built-in or forming part of other goods.

| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| --- | --- |
|  | Special technical means intended for covert obtainment of, and registration of acoustic information: |
| of 8517 61 000  of 8517 62 000  of 8517 69 390 0  of 8517 69 900 0 | wire communication systems intended for covert obtainment of, and/or recording acoustic information |
| of 8517 70 900 1  of 8518 30 950 0  of 8518 40  of 8523 49 450 0  of 8525 50 000 0  of 8525 60 000  of 8527  of 8529 10 390 0 | radio-electronic devices intended for covert obtainment of, and/or registration of acoustic information |
| of 8519 81 510 0  of 8519 81 550  of 8519 81 610  of 8519 81 650  of 8519 81 750  of 8519 81 850  of 8519 89 900  of 8523 51 | electronic devices intended for covert registration of acoustic information |
|  | Special technical means for covert visual observation and recording of video information: |
| of 9002 | "pin-hole" lenses |
| of 9006 51 000 0  of 9006 52 000 9  of 9006 53 100 0 | cameras having at least one of the following features: camouflaged for objects of other functional purpose; having "pin-hole" lenses |
| of 8525 80 | TV and video cameras with at least one of the following features: camouflaged for objects of other functional purpose; having "pin-hole" lenses |
| of 8517 61 000  of 8517 62 000 | wire communication systems intended for covert obtainment of, and/or recording video information |
| of 8517 69 390 0  of 8517 69 900 0  of 8523 49 450 0  of 8525 50 000 0  of 8525 60 000  of 8527  of 8529 10 390 0 | radio electronic devices intended for covert obtainment of, and/or registration of video information |
| of 8521  of 8523 51 | electronic devices designed for covert registration of video information |
|  | Special technical means for covert listening to telephone conversations: |
| of 8517 61 000  of 8517 62 000 | wire communication systems intended for covert listening to telephone conversations |
| of 8517 69 390 0  of 8517 69 900 0  of 8525 50 000 0  of 8525 60 000  of 8527  of 8529 10 390 0 | radio electronic devices intended for covert listening to telephone conversations |
| of 8519 81 510 0  of 8519 81 550  of 8519 81 610  of 8519 81 650  of 8519 81 750  of 8519 81 850  of 8523 51 | electronic devices designed for covert registration of information on telephone conversations |
| of 8471  of 8517 61 000  of 8517 62 000  of 8517 69 390 0  of 8517 69 900 0  of 8523 29 310 1  of 8523 29 310 2  of 8523 49 250 0  of 8523 49 910 1  of 8523 51 910 1  of 8523 59 910 1  of 8523 80 910 1  of 8527 | Special technical means for covert capturing of, and recording of information from technical communication channels |
| of 9022 19 000 0 | Special technical means for covert interception of mail messages and mailings |
| of 9022 19 000 0 | Special technical means for covert examination of objects and documents, including portable small-size fluoroscopic, X-ray television and radiographic equipment |
|  | Special technical means for covert penetration and examination of premises, vehicles and other objects: |
| of 8301 70 000 0 | means for opening the locking devices |
| of 9022 19 000 0 | portable small-size fluoroscopic, X-ray television and radiographic equipment |
| of 8526 10 000 9  of 8526 91 | Special technical means for covert penetration and examination of premises, vehicles and other facilities |
| of 8471  of 8505 90 200  of 8517 61 000  of 8517 62 000  of 8517 69 390 0  of 8517 69 900 0  of 8523 29 310 1  of 8523 29 310 2 | Special technical means for covert obtainment (change, destruction) of information from technical means of its storage, processing and transmission |
| of 8523 49 250 0  of 8523 49 910 1  of 8523 51 910 1 |  |
| of 8523 59 910 1  of 8523 80 910 1  of 8527 |  |
| of 9019 10 900 9 | Special technical means for covert personal identification |
| of 9019 10 900 9 | Special technical means for covert registration of psychophysiological human reactions |

3. The system applies to importers of controlled means from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled means imported. The system is not intended to restrict the quantity or volume of imports.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" of 21 April 2015;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) There is no minimum advance notice required for a licence. Goods arriving at the customs without a licence cannot be imported and retrospective permits cannot be issued. The maximum processing time for licence is 10 working days.

b) No.

c) No.

d)Yes, an application is considered by only authorized body- the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan, with the approval of the National Security Committee of the Republic of Kazakhstan upon issuing licences.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas); and (vi) in the case of refusal by a coordinating body to the approve an application for the issuance of a licence, as well as due to the results of the examination or technical research, confirming that the importation or exportation of special technical equipment may damage the national security of the Member State.

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011360#z4>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of application;

- A copy of a foreign trade contract;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- A copy of activity licence for development, production, repair and sale of special technical devises designed for conducting of operative-investigation activities;

- A copy of conclusion on technical examination with regard to designation of the imported goods to special technical devises designed for conducting of operative-investigation activities issued by the National Security Committee of the Republic of Kazakhstan.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# encryption (cryptographic) means

Outline of System

1. Licences are issued to regulate the import of encryption devices (the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No.30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.19).

Purposes and coverage of licensing

2. The licensing system permits to regulate the turnover of encryption devices including built-in or forming part of other goods.

| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| --- | --- |
| of 8443 31  of 8443 32 100 9  of 8443 32 300 0  of 8443 99 100 0 | Printers, copy and fax machines and their electronic modules that have encryption (cryptographic) functions |
| of 8470 10 000 0 | Pocket machines for recording, reproducing and visualizing data with computational functions, having the encryption (cryptographic) functions |
| of 8471 30 000 0 | Pocket computers that have encryption (cryptography) functions |
| of 8471 30 000 0  of 8471 41 000 0  of 8471 49 000 0  of 8471 50 000 0  of 8471 90 000 0  of 8473 30 200 8 | Computing machines and parts thereof that have encryption (cryptographic) functions |
| of 8471 70 500 0  of 8471 70 980 0  of 8471 80 000 0 | Devices of computers that have encryption (cryptographic) functions |
| of 8473 21 100 0  of 8473 21 900 0  of 8473 30 200 8  of 8473 30 800 0 | Electronic modules and parts of pocket computers that have encryption (cryptography) functions |
| of 8517 11 000 0  of 8517 12 000 0  of 8517 18 000 0 | Subscriber communication devices that have encryption (cryptographic) functions |
| of 8517 61 000 1  of 8517 61 000 2  of 8517 61 000 8 | Base stations that have encryption (cryptography) functions |
| of 8517 62 000  of 8517 69 390 0  of 8517 69 900 0  of 8517 70 900 | Telecommunication equipment and parts thereof that have encryption (cryptographic) functions |
| of 8523 29 310 1  of 8523 29 310 2  of 8523 29 330  of 8523 29 390  of 8523 49 250 0  of 8523 49 310 0  of 8523 49 390 0  of 8523 49 450 0  of 8523 49 910 1  of 8523 49 930 0  of 8523 51 910 1  of 8523 51 930 0  of 8523 52  of 8523 59 910 1  of 8523 59 930 0  of 8523 80 910 1  of 8523 80 930 0 | Software encryption (cryptographic) tools regardless of the information carrier |
| of 3704 00  of 3705 00  of 3706  of 4821 10  of 4901 10 000 0  of 4901 99 000 0  of 4911 99 000 0  of 8523 21 000 0  of 8523 29 310 1  of 8523 29 310 2  of 8523 29 330  of 8523 29 390  of 8523 49 250 0  of 8523 49 310 0  of 8523 49 390 0  of 8523 49 450 0  of 8523 49 910 1  of 8523 49 930 0  of 8523 51 910 1  of 8523 51 930 0  of 8523 52  of 8523 59 910 1  of 8523 59 930 0  of 8523 80 910 1  of 8523 80 930 0 | Key documents |
| of 8525 50 000 0  of 8525 60 000  of 8529 90 200 1  of 8529 90 650  of 8529 90 970 0 | Broadcasting or television equipment and parts thereof, which have the encryption (cryptography) functions |
| of 8526 91 200 0  of 8526 91 800 0  of 8526 92 000  of 8529 90 650  of 8529 90 970 0 | Radio navigation receivers, remote control equipment and parts thereof, with encryption (cryptography) functions |
| of 8517 62 000  of 8528 71 150 0  of 8529 90 650  of 8529 90 970 0 | The equipment with an access to the information and communication network "Internet" and television receivers with a communication function, parts thereof, that have encryption (cryptography) functions |
| of 8542 31 901 0  of 8542 31 909 0  of 8542 32 900 0 | Electronic integrated circuits, memory devices that have encryption (cryptography) functions or contain encryption (cryptographic) means |
| of 8543 70 900 0  of 8543 90 000 0 | Other electrical machines and apparatus having individual functions containing encryption (cryptographic) tools |
| of 3704 00  of 3705 00  of 3706  of 4821 10  of 4901 10 000 0  of 4901 99 000 0  of 4911 99 000 0  of 8523 29 310  of 8523 29 330  of 8523 29 390  of 8523 29 900 0  of 8523 49 450 0  of 8523 49 510 0  of 8523 49 590 0  of 8523 49 930 0  of 8523 49 990 0  of 8523 51 930 0  of 8523 51 990 0  of 8523 59 930 0  of 8523 59 990 0  of 8523 80 930 0  of 8523 80 990 0 | Normative, technical, design and operational documentation for encryption (cryptographic) facilities specified in the aforementioned paragraphs (on any media) |

3. The system applies to importers of controlled means from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled means imported. The system is not intended to restrict the quantity or volume of import.

5. The controls on the importation of goods specified in this category are statutory requirements

under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" of 21 April 2015;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) There is no minimum advance notice required for a licence. Goods arriving at the custom without a licence cannot be imported and retrospective permits cannot be issued. The maximum processing time for licence is 10 working days.

b) No.

c) No.

d) Yes, an application is considered by only authorized body-the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan, with the approval of the National Security Committee upon issuing licences.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas); and (vi) in a case of refusal by a coordinating body to issue a licence.

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011360#z5>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- Application;

- A copy of a foreign trade contract;

- Receipt of payment for licence;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- A Copy of activity licence for development and sale (including other types of transfer) of cryptographic devices of information protection;

- Notification of the applicant on the absence in the imported encryption devices of radio‑electronic and/or high-frequency means;

- A copy of conclusion on technical examination with regard to designation of the imported goods to special technical devises designed for conducting of operative-investigation activities issued by the National Security Committee of the Republic of Kazakhstan;

- A copy of technical documents on encryption devices;

- Samples of an encryption device (upon request of National Security Committee).

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# human organs and tissues, blood and its components, samples of human biological materials

Outline of System

1. Licences are issued to control the import of human organs and tissues, blood and its components (the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.21).

Purposes and coverage of licensing

2. The licensing system permits to control the turnover of human organs and tissues, blood and its components for protection of human life or health.

| Tariff line code(s) affected, based on  HS (2012) | Detailed Product Description |
| --- | --- |
| of 3001 90 200 0 | Allogeneic tissues (pancreas, thyroid, parathyroid glands, hypophysis and other endocrine tissues) |
| of 3001 90 200 0 | Hematopoietic stem cells |
| of 3001 90 200 0 | Phacocyst petrous |
| of 3001 90 200 0 | Skin |
| of 3001 90 200 0 | Heart and lungs |
| of 3001 90 200 0 | Conjunctiva |
| of 3001 90 200 0 | Bone marrow |
| of 3002 12 000 3  of 3002 12 000 4  of 3002 12 000 5  of 3002 13 000 0  of 3002 14 000 0  of 3002 90 100 0 | Human blood and its components |
| of 3001 90 200 0 | Multivisceral complexes (liver – kidney, liver – kidney – adrenal glands – area of gastrointestinal tract, kidney – pancreas) |
| of 3001 90 200 0 | Liver and its parts |
| of 3001 90 200 0 | The pancreas alone or in combination with other organs |
| of 3001 90 200 0 | Kidneys |
| of 3001 90 200 0 | Cornea |
| of 3001 90 200 0 | Heart |
| of 3001 90 200 0 | Sclerae |
| of 3001 90 200 0 | Fragments of the intestine |
| of 3001 90 200 0 | Bones, fragments of bones with a cortical layer |
| of 3001 90 200 0 | Cartilage tissue |
| of 3001 90 200 0 | Upper extremities and its fragments |
| of 3001 90 200 0 | Heart valves |
| of 3001 90 200 0 | Calvarial bones |
| of 3001 90 200 0 | Lung |
| of 3001 90 200 0 | Lower extremities and its fragments |
| of 3001 90 200 0 | Vessels and areas of vascular bed |
| of 3001 90 200 0 | Tendons |
| of 3001 90 200 0 | Dura mater |
| of 3001 90 200 0 | Trachea |
| of 3001 90 200 0 | Sex cells and embryos |
| of 3001 90 200 0 | Trachea |
| of 0511 99 853 9  of 0511 99 859 9  of 3002 12 000 5  of 3002 13 000 0  of 3002 14 000 0  of 3002 90 100 0 | Samples of human biological materials (samples of cells, tissues, biological fluids, secrets, products of human vital activity, physiological and pathological excreta, smears, washings, scrapings) |

3. The system applies to importers of controlled substances from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled substances imported. The system is not intended to restrict the quantity or volume of imports.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" of 21 April 2015;

- Code of the Republic of Kazakhstan No. 193-IV "On Public Health and Healthcare System" of 18 September 2009;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) There is no minimum advance notice required for a licence. The maximum processing time for licence for human organs is 1 working day; for licence for human tissues and its components – 3 working days, for licence for blood and its components- 3 working days.

b) No.

c) No.

d) Yes, an application is considered by only authorized body- the Control Committee of Medical and Pharmaceutical Activity of the Ministry of Public Health and Social Development of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas).

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. Only legal persons.

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011356#z219>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of application;

- A copy of a foreign trade contract;

- A copy of document on registration with tax authority or a copy of document on the state registration;

- A copy of licence for medical activity;

- Permission of authorities of third countries responsible for taking decision on possibility of import/export of human organs and tissues, blood and its components.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period and cannot be extended.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# service and civil weapons, its main parts (components) and cartridges thereto

Outline of System

1. Licences are issued to regulate the import of service and civil weapons (the Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.22).

Purposes and coverage of licensing

2. The system permits to regulate the turnover of service and civil weapon including its main parts and cartridges.

| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| --- | --- |
| of 9302 00 000 0 | Gas pistols and revolvers, including the possibility of firing cartridges with a rubber bullet |
|  |  |
| of 9302 00 000 0 | Sport pistols and revolvers with a rifled barrel |
| of 9302 00 000 0 | Service pistols and revolvers with a rifled barrel |
| of 9302 00 000 0 | Fire tuneless weapons (revolvers and pistols, including those with traumatic action cartridges) |
| of 9303 20 | Smoothbore sports guns |
| of 9303 30 000 0 | Sports rifles with a rifled barrel |
| of 9303 20 100 0 | Hunting long single-barreled smooth-bore guns |
| of 9303 20 950 0  of 9303 30 000 0 | Hunting dual-barreled or combined guns |
| of 9303 20 950 0 | Hunting double-barreled smooth-bore guns, including those with different caliber barrels |
| of 9303 30 000 0  of 9303 20 950 0 | Hunting shotguns with a rifled barrel |
| of 9304 00 000 0 | Hunting pneumatic weapon with a muzzle energy no more than 25 J |
| of 9304 00 000 0 | Sports pneumatic rifles and shotguns with muzzle energy more than 3 J |
| of 9304 00 000 0 | Sports pneumatic pistols and revolvers with muzzle energy more than 3 J |
| of 9305 10 000 0 | Main (composite) parts of sports pistols and revolvers (barrel, bolt, drum, frame, receiver, trigger and parts and accessories thereto) |
| of 9305 10 000 0 | Main (composite) parts of duty pistols and revolvers (barrel, bolt, drum, frame, receiver, trigger and parts and accessories thereto) |
| of 9305 20 000 1 | Trunks of hunting and sports smooth-bore rifles and carbines |
| of 9305 20 000 1 | Trunks of rifled hunting and sports rifles and carbines |
| of 9305 20 000 9 | Other main (component) parts of hunting and sports smooth-bore rifles (bolt, drum, frame, receiver (shoe), fore-end, shock-trigger mechanism and parts and accessories thereto) |
| of 9305 20,000 | Main (integral) parts of sports rifles, hunting carbines, rifles with a rifled barrel (barrel, drum, frame, receiver (shoe), fore-end, shock-trigger mechanism and parts and accessories thereto) |
| of 9306 21 000 0 | Cartridges for sports and hunting smooth-bore weapons, including cartridges for high-pressure test |
| of 9306 30 100 0 | Cartridges for pistols and revolvers for sports, service, fire tuneless weapons |
| of 9306 30 900 0 | Cartridges for sports and hunting weapons with a rifled barrel (except for pistols and revolvers), self-defense gas weapons, including cartridges for high-pressure test |
| of 9306 29 000 0 | Cylindrical capsules for smooth-bore hunting and sports weapons |
| of 9306 30 900 0 | Cylindrical capsules for hunting and sports weapons with a rifled barrel (except for pistols and revolvers) |
| of 9306 30 900 0 | Encapsulated cylinders to gas self-defense weapons |
| of 9306 30 100 0 | Encapsulated cylinders to sports and service pistols and revolvers |
| of 3603 00 900 9 | Caps for cartridges for service and civilian weapons |
| of 9307 00 000 0  of 8211 | Cold bladed hunting weapons (knives and hunting daggers) |
| of 9307 00 000 0 | Cold bladed sport weapons |
| of 9307 00 000 0 | Other cold bladed weapons (intended for wearing with the Cossack form, as well as with the national costumes of the peoples of the Eurasian Economic Union Member States, intended for collecting) |
| of 9506 99 900 0 | Sports bows and crossbows |
| of 9304 00 000 0 | Electric weapons (electric shock devices and spark arresters having output parameters corresponding to the norms established by the authorized body of the Eurasian Economic Union Member State in the field of health) |
| of 9303 | Weapons structurally intended only for giving light, smoke and sound signals of caliber more than 6 mm |
| of 93  of 9705 00 000 0  of 9706 00 000 0 | Copies and replicas of antique weapons |

3. The system applies to importers of controlled means from all countries.

4. The use of conclusions enables the Government to monitor the quantities of controlled means imported. The system is not intended to restrict the quantity or volume of imports.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Agreement on Movement of Service and Civil Weapons Between the Member States of the Eurasian Economic Union of 20 May 2016;

- Decision of the Collegium of the Eurasian Economic Commission (EEC) No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Law of the Republic of Kazakhstan No. 1176 "On the State Control of Turnover of Certain Types of Weapon" of 3 August 2000.

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) There is no minimum advance notice required for a conclusion. Goods arriving at the customs without a conclusion cannot be imported. The maximum processing time for a conclusion is 15 working days.

b)No.

c) No.

d)Importer has to apply to one administrative organ - the Ministry of Industry and Infrastructural Development of the Republic of Kazakhstan.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences (conclusions): (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a conclusion; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a conclusion.

The decision to refuse a conclusion has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for conclusions.

Documentation and other requirements for application for licence

10. Application forms are available at: [file:///C:/Users/user1/Downloads/ImportExportOvtKirpb\_ru.pdf](file:///C:\Users\user1\Downloads\ImportExportOvtKirpb_ru.pdf). An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- An electronic copy of application;

- A copy of document on registration on tax authority or a copy of document on the state registration;

- A copy of a foreign trade contract;

- A copy of a licence on purchase of weapon and its parts, issued by the authorized body of the internal affairs;

- A copy of the certificate of compliance;

- Information on weapon’s model and its characteristics;

- A copy of permit (license), issued by the exporting country’s internal affair bodies;

- A copy of the licence to carry out the licenced type of activity.

11. Upon importation, an importer must present standard customs documentation along with a valid conclusion.

12. Conclusion application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of conclusions.

Conditions of licensing

14. Conclusions are valid for the conclusion period and cannot be extended.

15. There is no penalty for the non-utilization of a conclusion or a portion of a conclusion.

16. Conclusion are not transferable between importers.

17. There are no conditions attached to the issuance of conclusion.

Other procedural requirements

18. There are no other administrative procedures, apart from conclusion required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# certain types of Agricultural products

Outline of System

1. The system of the import licensing applies to certain types of agricultural products in accordance with **Decision of the Collegium of the Eurasian Economic Union No. 127 "On Setting of Tariff Rate Quotas in 2020 for Certain Types of Agricultural Goods Imported into the Customs Territory of the Eurasian Economic Union, as well as the Volumes of Tariff Quotas for Importation of These Goods into the Territories of the Member States of the Eurasian Economic Union" of 31 July 2019.** (Common List of Goods that are Subject to Non-Tariff Measures in Trade with Third Countries, approved by Decision of the Collegium of the Eurasian Economic Commission No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015, Annex 2.27).

Purposes and coverage of licensing

2. The licensing system permits to regulate the import of meat at zero tariff rates.

| Tariff line code(s) affected, based  on HS (2012) | Detailed Product Description |
| --- | --- |
| **0201 10 000 1**  **0201 20 200 1**  **0201 20 300 1**  **0201 20 500 1**  **0201 20 900 1**  **0201 30 000 4** | **Meat of cattle, fresh or chilled** |
| **0202 10 000 1**  **0202 20 100 1**  **0202 20 300 1**  **0202 20 500 1**  **0202 20 900 1**  **0202 30 100 4**  **0202 30 500 4**  **0202 30 900 4** | **Meat of cattle, frozen.** |
| **0203 11 100 1**  **0203 11 900 1**  **0203 12 110 1**  **0203 12 190 1**  **0203 12 900 1**  **0203 19 110 1**  **0203 19 130 1**  **0203 19 150 1**  **0203 19 550 1**  **0203 19 590 1**  **0203 19 900 1**  **0203 21 100 1**  **0203 21 900 1**  **0203 22 110 1**  **0203 22 190 1**  **0203 22 900 1**  **0203 29 110 1**  **0203 29 130 1**  **0203 29 150 1**  **0203 29 550 1**  **0203 29 550 2**  **0203 29 590 1**  **0203 29 900 1**  **0203 29 900 2** | **Fresh, chilled or frozen pork** |
|  | **Fresh, chilled or frozen meat and food by-products of poultry specified in the commodity item 0105** |
| **0207 14 200 1**  **0207 14 600 1** | **Frozen unboned halves or quarters of dressed chicken and frozen unboned chicken legs and chunks thereof** |
| **0207 13 100 1** | **Fresh or chilled home-made boned chicken meat** |
| **0207 14 100 1** | **Frozen home-made boned chicken meat** |
| **0207 27 100 1** | **Frozen boneless turkey meat** |
| **0207 27 300 1**  **0207 27 400 1**  **0207 27 600 1**  **0207 27 700 1** | **Frozen unboned turkey carcass parts** |
| **0207 11 100 1, 0207 11 300 1, 0207 11 900 1**  **0207 12 100 1, 0207 12 900 1, 0207 13 200 1**  **0207 13 300 1, 0207 13 400 1, 0207 13 500 1**  **0207 13 600 1, 0207 13 700 1, 0207 13 910 1**  **0207 13 990 1, 0207 14 300 1, 0207 14 400 1**  **0207 14 500 1, 0207 14 700 1, 0207 14 910 1**  **0207 14 990 1, 0207 24 100 1, 0207 24 900 1**  **0207 25 100 1, 0207 25 900 1, 0207 26 200 1**  **0207 26 300 1, 0207 26 400 1, 0207 26 500 1**  **0207 26 600 1, 0207 26 700 1, 0207 26 800 1**  **0207 26 910 1, 0207 26 990 1, 0207 27 200 1**  **0207 27 500 1, 0207 27 800 1, 0207 27 910 1**  **0207 27 990 1, 0207 41 200 1, 0207 41 300 1**  **0207 41 800 1, 0207 42 300 1, 0207 42 800 1**  **0207 43 000 1, 0207 44 100 1, 0207 44 210 1**  **0207 44 310 1, 0207 44 410 1, 0207 44 510 1**  **0207 44 610 1, 0207 44 710 1, 0207 44 810 1**  **0207 44 910 1, 0207 44 990 1, 0207 45 100 1**  **0207 45 210 1, 0207 45 310 1, 0207 45 410 1**  **0207 45 510 1, 0207 45 610 1, 0207 45 710 1**  **0207 45 810 1, 0207 45 930 1, 0207 45 950 1**  **0207 45 990 1, 0207 51 100 1, 0207 51 900 1**  **0207 52 100 1, 0207 52 900 1, 0207 53 000 1**  **0207 54 100 1, 0207 54 210 1, 0207 54 310 1**  **0207 54 410 1, 0207 54 510 1, 0207 54 610 1**  **0207 54 710 1, 0207 54 810 1, 0207 54 910 1**  **0207 54 990 1, 0207 55 100 1, 0207 55 210 1**  **0207 55 310 1, 0207 55 410 1, 0207 55 510 1**  **0207 55 610 1, 0207 55 710 1, 0207 55 810 1**  **0207 55 930 1, 0207 55 950 1, 0207 55 990 1**  **0207 60 050 1, 0207 60 100 1, 0207 60 210 1**  **0207 60 310 1, 0207 60 410 1, 0207 60 510 1**  **0207 60 610 1, 0207 60 810 1, 0207 60 910 1**  **0207 60 990 1** | **Fresh, chilled or frozen meat and food by-products of poultry specified in the commodity item 0105, not listed above** |
| **0404 10 120 1**  **0404 10 160 1** | **Certain types of milk whey and modified milk whey, in powder, granules or other solid species, without the addition of sugar or other sweetening matter** |

3. The system applies to importers of controlled goods from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled goods imported.

5. The controls on the importation of goods specified in this category are statutory requirements

under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- **Decision of the Collegium of the Eurasian Economic Union No. 127 "On Setting of Tariff Rate Quotas in 2020 for Certain Types of Agricultural Goods Imported into the Customs Territory of the Eurasian Economic Union, as well as the Volumes of Tariff Quotas for Importation of These Goods into the Territories of the Member States of the Eurasian Economic Union" of 31 July 2019**;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 287 "On Approval of List of Goods Subjects to Import/Export Licensing, Licencers and State Bodies, Coordinating the Issuance of Licences" of 24 April 2015;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- **Order of the Minister of Trade and Integration of the Republic of Kazakhstan No.51‑HK "On Approval of the Rules for Government Services in the Field of Trade   
Activities" of 16 March 2020.**

This system cannot be abolished without legislative approval.

Procedures

6.

I. Relevant information on allocation of quotas and formalities of filing applications for licences is available at <https://docs.eaeunion.org/docs/ru-ru/01522606/clcd_02082019_127>, <http://adilet.zan.kz/rus/docs/V1500010181> and at <http://adilet.zan.kz/rus/docs/V2000020135#z73>, respectively.

II. The size of the quota determined on a yearly basis. Licences are issued for imports on a yearly basis. Import quota set by the **Decision of the Collegium of the Eurasian Economic Union No. 127 "On Setting of Tariff Rate Quotas in 2020 for Certain Types of Agricultural Goods Imported into the Customs Territory of the Eurasian Economic Union, as well as the Volumes of Tariff Quotas for Importation of These Goods into the Territories of the Member States of the Eurasian Economic Union" of 31 July 2019**.

III. The list of importers to whom licences have been allocated is published on the official website of the Ministry of Trade and Integration of the Republic of Kazakhstan (http://trade.gov.kz).

IV. From the time of announcing the opening of quotas, a period of at least 30 days is allowed for the submission of applications for licences.

V. **Applications for licences are processed within 1 working day.**

VI. Not applicable.

VII. Licence applications are considered by one administrative body - the Ministry of Trade and Integration of the Republic of Kazakhstan.

VIII Licences are issued mainly on the basis of past performance. A portion of the quota is allocated to new importers. Applications are examined on receipt.

IX-X. Export permits from exporting countries are not required.

XI. No, licences are issued on condition that goods should be exported and not sold in the domestic market.

7. Not applicable.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas); and (vi) in cases established by the Commission.

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. **Application forms are available at:** [**http://adilet.zan.kz/rus/docs/V2000020135#z73**](http://adilet.zan.kz/rus/docs/V2000020135#z73)

An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>):

- Digital copy of an application form;

- Digital copy of a contract;

- Digital copy of the licence to carry out the licenced type of activity or information on the availability of a licence to carry out the licenced type of activity if such the activity is related to the turnover of the goods for which licensing has been introduced;

- Information on identity documents, registration with tax authorities and state registration.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period and cannot be extended.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# medical products

Outline of System

1. The permission is issued in order to control the import of certain medicinal products (The unified list of goods to which the Non-Tariff Regulation measures under the EAEU regime are applied in trade with third countries (Decision of the Board of the EEC No. 30 dated 21.04.2015, as amended on 13.12.2017, Annex 2.14).

Purposes and coverage of licensing

2. The permission system allows to control the turnover of certain medical products in order to protect the life and health of people, animals and plants.

| Detailed Product Description | Tariff line code(s) affected,  based on HS (2012) |
| --- | --- |
| Organic chemical compounds used as pharmaceutical substances | of 2904  of 2905  of 2906  of 2907  of 2908  of 2909  of 2912  of 2913 00 000 0  of 2914  of 2915  of 2916  of 2917  of 2918  of 2919  of 2920  of 2921  of 2922  of 2923  of 2924  of 2925  of 2926  of 2927 00 000 0  of 2928 00  of 2929  of 2930  of 2931  of 2932  of 2933  of 2934  of 2935  of 2936  of 2937  of 2938  of 2939  of 2940 00 000 0  of 2941  of 2942 00 000 0 |
| Dried, ground or not powdered glands and other organs intended for organotherapy; extracts of glands or other organs or their secrets, intended for organotherapy; heparin and its salts; other substances of human or animal origin prepared for use for therapeutic or preventive purposes, not elsewhere specified or not included | of 3001 |
| Human blood; blood of animals prepared for use in therapeutic, preventive or diagnostic purposes; immune serum and blood fractions, other and modified immunological products, including those obtained by methods of biotechnology; vaccines, toxins, cultures of microorganisms (other than yeast) and similar products, for the use of the above for medical purposes | of 3002 |
| Medicines (other than commodities given in the commodity items 3002, 3005 or 3006) consisting of a mixture of two or more components for therapeutic or prophylactic purposes, but not prepackaged in dosage form or in forms or packings for retail sale (other than those used for veterinary purposes) | of 3003 |
| Medicines (other than commodities given in the commodity items 3002, 3005 or 3006) consisting of mixed or unmixed products for therapeutic or prophylactic purposes, packaged in the form of dosage forms (including medicines in the form of transdermal systems) or in forms or packs for retail sales (other than those used for veterinary purposes) | of 3004 |
| Contrast media for X-ray examinations; diagnostic reagents intended for administration to patients, for the use of the above for medical purposes | of 3006 30 000 0 |
| Chemical contraceptive means based on hormones, other compounds of heading 2937 or spermicides | of 3006 60 000 |
| Drugs that contain vitamins and/or mineral substances for the use in medical purposes | of 2106 90 920 0  of 2106 90 980 3  of 2106 90 980 9 |
| Natural or synthesized provitamins and vitamins (including natural concentrates), their derivatives, used primarily as vitamins and mixtures of these compounds (including any solvent) for medical use | of 2936 |
| Natural polymers (e.g. alginic acid) and natural modified polymers (for example, cured proteins, chemical derivatives of natural rubber), in primary forms, for medical use | of 3913 |

3. The system is applied to importers of controlled goods from all countries.

4. The implementation of permission system allows the Government to track the number of controlled imported goods. The permission system is not intended to limit the quantity or cost of import.

5. The control of import of the specified goods in this category is a mandatory requirement under the following legislation:

- The Treaty on the Eurasian Economic Union (EAEU) dated 19 May 2014;

The Decision of the Board of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" dated 21 April 2015;

- The Code of the Republic of Kazakhstan "On public health and health care system" dated 18 September 2009;

- The Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" dated 16 May 2014;

- The Decree of the Government of the Republic of Kazakhstan No. 983 "On approval of the List of Government Services" of 18 September 2013.

**- The Order of the Minister of Health and Social Development of the Republic of Kazakhstan No. 668 "On approval of the Rules for the importation into the territory of the Republic of Kazakhstan of medical products and medical devices and the exportation from the territory of the Republic of Kazakhstan of medical products and medical devices and on the government service "On approval and (or) conclusion (permit) for import (export) of registered and unregistered in the Republic of Kazakhstan medical products and medical devices", dated 17 August 2015;**

- The Order of the Minister of Health and Social Development of the Republic of Kazakhstan No. 293 "On Approval of Public Services’ Standards in the Field of Pharmaceutical Activity", dated 28 April 2015.

The system cannot be canceled without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) The maximum period for issuing an authorization (authorization document) is 3 working days.

b) No.

c) No.

d)The importer must apply to single administrative body - the Ministry of Healthcare of the Republic of Kazakhstan.

8. The issue of permission for the import may be declined if the necessary documents are not provided in full.

Eligibility of importers to apply for licence

9. Natural and legal persons have the right to apply for the licence (permission).

Documentation and other requirements for application for licence

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500012096>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- The import of unregistered medical products is carried out on the basis of a permit, for which it is necessary to submit the following documents to the authorized body - Ministry of Healthcare:

- electronic copy of the application;

- copy of the agreement (contract).

- The import of registered medical products should be implemented with the information on the inclusion of imported medical products in a single register of the Eurasian Economic Union or the state register of medical products of the Republic of Kazakhstan.

11. Upon importation, an importer must present standard customs documentation along with a valid permission (authorization).

12. The issuance of the permission (authorization) is carried out for free.

13. There is no requirement for a deposit or for an advance payment related to the issuance of a permission (authorization).

Conditions of licensing

14. The permission (authorization) is valid for the period of its issuance.

15. There is no penalty for not using the permission (authorization).

16. The permission (authorization) cannot be transferred between importers.

17. There are no other requirements related to issuing of permission (authorization)

Other procedural requirements

18. There are no other administrative procedures other than obtaining a permission (authorization) prior to importation.

19. Currency exchange is automatic and is carried out by banking authorities for imported goods.

# 13 WILD LIVE ANIMALS AND PLANTS

**Outline of System**

1. List of Goods that are subject of import/export prohibitions or restrictions in trade with third countries (Decision of the Board of the EEC No. 30 "On measures of Non-Tariff Regulation" of 21.04.2015, as last amended on 13.12.2017, Annex 2.7) regulates international trade of species, live wildlife, and species listed under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES).

**Purposes and coverage of licensing**

2. The permission system allows to control the import of species, live wildlife, and species listed under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) of March 3, 1973. The full list of species of wild fauna and flora is available on:

<http://www.eurasiancommission.org/ru/act/trade/catr/nontariff/Pages/ediny_perechen_30.aspx>.

3. The legislation applies to the importation of goods from all countries.

4. The implementation of permission system allows the Government to track the number of controlled imported goods. The permission system is not intended to limit the quantity or cost of import.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- The Treaty on the Eurasian Economic Union (EAEU) dated 19 May 2014;

- The Decision of the Board of the Eurasian Economic Commission No. 30 "On Measures of Non‑Tariff Regulation" dated 21 April 2015;

- The Law of the Republic of Kazakhstan No. 593 "On Protection, Reproduction and Use of Wildlife" dated 9 July 2004;

- The Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" dated 16 May 2014;

- The Order of the Minister of Agriculture of the Republic of Kazakhstan No. 18-03/ 143 "On Approval of the Rules for Issuance by the Administrative Authority of Permits for Import into the Territory of the Republic of Kazakhstan and Export from the Territory of the Republic of Kazakhstan of Species, Live Wildlife, and Species Listed under the Convention on International Trade in Endangered Species of Wild Fauna and Flora" dated 27 February 2015;

- The Decree of the Government of the Republic of Kazakhstan No. 983 "On approval of the List of Government Services" of 18 September 2013.

**Procedures**

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) The maximum period for issuing an authorization (authorization document) is 3 working days.

b) No.

c) No.

d)The importer must apply to single administrative body - **the Ministry of Ecology, Geology and Natural Resources of the Republic of Kazakhstan.**

8. The consideration of application may be declined for the next reasons:

- false data in documents presented;

- nonconformity of applicant and (or) materials, objects and data with requirements of the Regulation;

- applicant is no longer allowed to be engaged in relevant activities or certain kind of activities, requiring getting a permission, due to the court injunctions, which had already come into effect;

- applicant is deprived of a special right to be engaged in relevant activities or certain kind of activities, requiring getting a permission, due to the court order, which had already come into effect.

**Eligibility of importers to apply for licence**

9. Natural and legal persons have the right to apply for the licence (permission).

**Documentation and other requirements for application for licence**

10. Application forms are available at: <http://adilet.zan.kz/rus/docs/V1500011774#z149>. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>) with the application for licence:

- application;

- copy of the agreement (contract) between exporter and importer;

- copy of permission to export or certificate for re-export upon import of samples into the territory of Kazakhstan;

- copy of scientific institution’s authorization.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Permission application fee is 2 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of permissions.

**Conditions of licensing**

14. The permission is valid for the period of its issuance.

15. There is no penalty for not using the permission.

16. The permission (authorization) cannot be transferred between importers.

17. There are no other requirements related to issuing of permission.

**Other procedural requirements**

18. There are no other administrative procedures other than obtaining a permission prior to importation.

19. Currency exchange is automatic and is carried out by banking authorities for imported goods.

# 14 HOT-ROLLED STEEL

Outline of System

1. The system of the import licensing applies to hot-rolled steel in accordance with the Decision of the Collegium of the Eurasian Economic Union No. 137 "On Application of Special Trade Remedy Measures by Introducing Special Quotas on Hot-rolled Steel Imported into the Customs Territory of the Eurasian Economic Union and on Amendments to the Decision of the Collegium of the Eurasian Economic Union No.199 of 6 November 2014" of 6 August 2019.

Purposes and coverage of licensing

2. The licensing system regulates the import of hot-rolled steel without having importers to pay special duties by setting special quotas.

|  |  |
| --- | --- |
| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| 7208 10 000 0, 7208 25 000 0, 7208 26 000 0, 7208 27 000 0,  7208 36 000 0, 7208 37 000 0, 7208 38 000 0, 7208 39 000 0,  7208 40 000 0, 7208 51 200 1, 7208 51 200 9, 7208 51 910 0,  7208 51 980 0, 7208 52 100 0, 7208 52 910 0, 7208 52 990 0,  7208 53 100 0, 7208 53 900 0, 7208 54 000 0, 7208 90 200 0,  7208 90 800 0, 7211 13 000 0, 7211 14 000 0, 7211 19 000 0,  7225 30 100 0, 7225 30 300 0, 7225 30 900 0, 7225 40 120 1,  7225 40 120 9, 7225 40 150 1, 7225 40 150 9, 7225 40 400 0,  7225 40 600 0, 7225 40 900 0, 7226 91 200 0, 7226 91 910 0  7226 91 990 0 | Hot-rolled steel |

3. The system applies to importers of controlled goods from all countries.

4. The use of import licences enables the Government to monitor the quantities of controlled goods imported.

5. The controls on the importation of goods specified in this category are statutory requirements

under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Union No. 137 "On Application of Special Trade Remedy Measures by Introducing Special Quotas on Hot-rolled Steel Imported into the Customs Territory of the Eurasian Economic Union and on Amendments to the Decision of the Collegium of the Eurasian Economic Union No.199 of 6 November 2014" of 6 August 2019;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

**-** Order of the Minister of Trade and Integration of the Republic of Kazakhstan No.135 "On Approval of the Rules for Allocation of Special Quotas Among Foreign Trade Participants for Importation of Hot-rolled Steel" of 13 December 2019;

**-** Order of the Minister of Trade and Integration of the Republic of Kazakhstan No.51-HK "On Approval of the Rules for Government Services in the Field of Trade   
Activities" of 16 March 2020.

This system cannot be abolished without legislative approval.

Procedures

6.

I. Relevant information on allocation of quotas and formalities of filing applications for licences is available at <https://docs.eaeunion.org/docs/ru-ru/01222668/clcd_08082019_137_doc.pdf>, <http://adilet.zan.kz/rus/docs/V1900019740>, and <http://adilet.zan.kz/rus/docs/V2000020135#z17>.

II. The special quotas are allocated from 1 December to 31 December 2019 and from 1 January to 30 November 2020. The application of special quotas is set by the Decision of the Collegium of the Eurasian Economic Union No. 137 "On Application of Special Trade Remedy Measures by Introducing Special Quotas on Hot-Rolled Steel Imported into the Customs Territory of the Eurasian Economic Union and on Amendments to the Decision of the Collegium of the Eurasian Economic Union No.199 of 6 November 2014" of 6 August 2019.

III. The information on the size of special quota for which licences have been issued is published on the official website of the Ministry of Trade and Integration of the Republic of Kazakhstan (<http://trade.gov.kz>).

IV. As long as the size of special quota is not exhausted, applicants may apply for the licences in the period of time from 1-31 December 2019 and 1 January-30 November 2020.

V. Applications for licences are processed within 1 working day.

VI. Not applicable.

VII. Licence applications are considered by one administrative body - the Ministry of Trade and Integration of the Republic of Kazakhstan.

VIII Licences are issued mainly on the basis of past performance. A portion of the quota is allocated to new importers. Applications are examined on receipt.

IX-X. Export permits from exporting countries are not required.

XI. No, licences are issued on condition that goods should be exported and not sold in the domestic market.

7. Not applicable.

8. Part II of the Appendix to Annex No. 7 "Rules of the Issuance of Licences and Permits to Export and/or Import Goods" to the EAEU Treaty establishes the grounds for refusing licences: (i) incomplete or inaccurate information in the documents submitted by the applicant to obtain a licence; (ii) non‑compliance with the requirements stipulated in the Appendix to Annex No. 7 to the EAEU Treaty; (iii) termination or suspension of one or more documents that served as the basis for issuance of a licence; (iv) violation of international obligations of an EAEU member State, which may occur as a result of performance of the contract which requires a licence; (v) exhaustion of quota (in the case of registration of a licence for goods subject to quotas); and (vi) in cases established by the Commission.

The decision to refuse a licence has to be justified and presented by the authorized body to the applicant in writing.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for licences.

Documentation and other requirements for application for licence

10. Application forms are available at**:** <http://adilet.zan.kz/rus/docs/V2000020135#z17>.

An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" (<http://elicense.kz/?lang=en>):

- Digital copy of an application form;

- Digital copy of a contract;

- Digital copy of the licence to carry out the licenced type of activity or information on the availability of a licence to carry out the licenced type of activity if such the activity is related to the turnover of the goods for which licensing has been introduced;

- Information on identity documents, registration with tax authorities and state registration.

11. Upon importation, an importer must present standard customs documentation along with a valid licence.

12. Licence application fee is 10 Monthly Calculated Indices.

13. There is no deposit or advance payment requirement associated with the issue of licences.

Conditions of licensing

14. Licences are valid for the licence period and cannot be extended.

15. There is no penalty for the non-utilization of a licence or a portion of a licence.

16. Licences are not transferable between importers.

17. There are no conditions attached to the issuance of a licence.

Other procedural requirements

18. There are no other administrative procedures, apart from import licensing required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

# 15 CERTAIN TYPES of STEEL PIPES

Outline of System

1. The automatic import licensing system for certain types of steel pipes serves only statistical purposes.

Purposes and coverage of licensing

2. The system allows surveillance (monitoring) of importation of certain types of steel pipes. The measure is applied from January 1, 2020 to December 31, 2020.

|  |  |
| --- | --- |
| Tariff line code(s) affected, based on HS (2012) | Detailed Product Description |
| 7304 22 000 1  7304 22 000 2  7304 22 000 9  7304 23 000 1  7304 23 000 2  7304 23 000 9  7304 24 000 1  7304 24 000 2  7304 24 000 3  7304 24 000 4  7304 24 000 5  7304 24 000 6  7304 24 000 9  7304 29 100 1  7304 29 100 2  7304 29 100 3  7304 29 100 9  7304 29 300 1  7304 29 300 2  7304 29 300 3  7304 29 300 4  7304 29 300 9  7304 29 900 1  7304 29 900 9  7305 20 000 0  7306 21 000 0  7306 29 000 0  7304 24 000 1  7304 24 000 2  7304 24 000 5  7304 24 000 9  7304 29 100 1  7304 29 100 2  7304 29 100 9  7304 29 300 1  7304 29 300 2  7304 29 300 9  7306 21 000 0  7306 29 000 0 | Steel pipes |

3. The system applies to importers of controlled goods from all countries.

4. The use of permits enables the Government to monitor the quantities of controlled goods imported. The system is not intended to restrict the quantity or volume of imports.

5. The controls on the importation of goods specified in this category are statutory requirements under the legislation detailed below:

- Treaty on the Eurasian Economic Union (EAEU) of 29 May 2014;

- Annex No. 7 "Protocol on Non-Tariff Measures Concerning Third Countries" to the Treaty on the EAEU;

- Decision of the Collegium of the Eurasian Economic Commission (EEC) No. 90 "On Automatic Licensing (Surveillance) of Importation of Certain Types of Steel Pipes" of 04 June 2019;

- Decision of the Collegium of the Eurasian Economic Commission (EEC) No. 30 "On Measures of Non-Tariff Regulation" of 21 April 2015;

- Decision of the Collegium of the Eurasian Economic Union No.199 of 6 November 2014;

- Law of the Republic of Kazakhstan No. 544-II "On Regulation of Trade Activity" of 12 April 2004;

- Law of the Republic of Kazakhstan No. 202-V "On Permissions and Notifications" of 16 May 2014;

- Resolution of the Government of the Republic of Kazakhstan No. 983 "On Approval of the List of the Government Services" of 18 September 2013;

- Order of the Minister of the National Economy of the Republic of Kazakhstan No. 67 "On Approval of the Qualification Requirements Maintained for Activities on Export and Import Licensing of Goods, List of Documents Confirming Compliance with them, Application Forms for Obtaining a Licence and (or) Annex to the Licence, the Form of a Licence and (or) Annexes to the Licence" of 30 January 2015;

- Order of the Minister of Trade and Integration of the Republic of Kazakhstan No. 51-HK "On Approval of the Rules for Government Services in the Field of Trade   
Activities" of 16 March 2020.

This system cannot be abolished without legislative approval.

Procedures

6. Not applicable.

7. Where there is no quantitative limit on importation of a product or on imports from a particular country.

a) Application for a permit should be made before importation of goods. Goods arriving at the customs without a permit cannot be imported. The maximum processing time for a permit is 1 working day.

b)No.

c) No.

d)Importer has to apply to one administrative organ - the Ministry of Trade and Integration of the Republic of Kazakhstan.

8. Permits are granted automatically in all cases.

Eligibility of importers to apply for licence

9. All persons, firms and institutions are eligible to apply for permits.

Documentation and other requirements for application for licence

10. An importer is required to submit the following documents to the authorized body via the web portal of electronic licensing of the Republic of Kazakhstan "E-license" https://elicense.kz/LicensingContent/Passport?servicesType=El4&servicesParameters=%7B%22PackageCode%22%3A%222019.%D0%9C%D0%9D%D0%AD.%D0%A01%22%2C%22ServiceCode%22%3A%222019.%D0%9C%D0%9D%D0%AD.%D0%A01%22%2C%22VersionPackage%22%3A%221.0.1%22%2C%22OperationTypeId%22%3A%221%22%2C%22ActivityTypeCode%22%3A%22EL4-R19\_MNER1-V1%22%7D&lang=en with the application for permit:

- Request with electronic copy of the draft authorization for export and (or) import of certain kinds of goods, issued in accordance with Annex 1 and 2 of the government service "On Issuance of Permission for Export and (or) Import of Certain Kinds of Goods into the Territory of the Republic of Kazakhstan".

11. Upon importation, an importer must present standard customs documentation along with a valid permit.

12. There is no fee or administrative charge associated with the issue of permits.

13. There is no deposit or advance payment requirement associated with the issue of permits.

Conditions of licensing

14. Permits are valid for the permits period and cannot be extended.

15. There is no penalty for the non-utilization of a permit.

16. Permits are not transferable between importers.

17. There are no other conditions attached to the issue of permits.

Other procedural requirements

18. There are no other administrative procedures, apart from permits required prior to importation.

19. Foreign exchange is automatically provided by the banking authorities for goods to be imported.

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1. See document G/LIC/3, Annex, for the Questionnaire. [↑](#footnote-ref-1)
2. Monthly Calculation Index (MCI) is an index used in Kazakhstan for the purpose of calculation of pensions and other social allowances as well as for the application of penalties, calculation of taxes and other payments to the budget, annually approved by Law "On Republican Budget". **In 2020 MCI is 2 778 tenge.** [↑](#footnote-ref-2)