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Committee on Sanitary and Phytosanitary Measures

# OVERVIEW REGARDING THE LEVEL OF IMPLEMENTATION OF THE TRANSPARENCY PROVISIONS OF THE SPS AGREEMENT

NOTE BY THE SECRETARIAT<sup>1</sup>

# Revision

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<sup>&</sup>lt;sup>1</sup> This document has been prepared under the Secretariat's own responsibility and is without prejudice to the positions of Members or to their rights or obligations under the WTO.

#### **1 INTRODUCTION**

1.1. Since an October 2007 workshop on transparency, the Secretariat has been requested to prepare an annual overview of the implementation of the transparency provisions of the SPS Agreement.<sup>2</sup> The document provides an overview regarding the level of implementation of the transparency obligations found in the SPS Agreement (Article 7 and Annex B) and of the Committee's Recommended Procedures for Implementing the Transparency Obligations of the SPS Agreement (G/SPS/7/Rev.3). It provides information in areas which the Secretariat is in a position to track (such as designation of Enquiry Points/Notification Authorities, circulation of notifications) but does not include those where the Secretariat is not directly involved (such as provision of comments on specific notifications).

1.2. In preparing this overview, the Secretariat has largely relied on the SPS Information Management System (SPS IMS).<sup>3</sup> While some historical data on notifications dating back to 1995 has been retrieved from various internal sources and incorporated into the SPS IMS, some of the more detailed analysis has only been possible as of July 2007, when the SPS IMS became operational. Most of the analysis contained in this document can be undertaken and updated directly by Members or other interested parties as the underlying data is publicly available and searchable through the SPS IMS. Hands-on training on using the SPS IMS is regularly provided in technical assistance activities.

1.3. Revised Recommended Procedures for Implementing the Transparency Obligations of the SPS Agreement (G/SPS/7/Rev.3, hereafter the "2008 Transparency Procedures") took effect on 1 December 2008.<sup>4</sup> Compared to the earlier version of the transparency procedures, which had been adopted by the Committee in 2002, the 2008 Transparency Procedures include revised notification formats which aim to facilitate the provision of clearer and more specific information regarding new or modified SPS measures by Members, e.g. regarding conformity with international standards, comment periods, and the period between the publication and entry into force of new regulations.

1.4. The 2008 Transparency Procedures also paved the way for the introduction during 2011 of a procedure for the on-line submission of notifications by Members. The SPS Notification Submission System (NSS) assists Members to be more precise in their notifications, and speeds up the processing and circulation of notifications to all Members.<sup>5</sup>

1.5. While more information is available with the 2008 formats, there is still room for improvement regarding the actual amount and quality of information provided by Members in the various notification formats.

### 2 DESIGNATION OF NOTIFICATION AUTHORITIES AND ENQUIRY POINTS

2.1. Annex B, paragraph 10, of the SPS Agreement obliges Members to designate a single central government authority as responsible for the implementation of notification procedures. This agency is referred to as the "SPS Notification Authority". As of 15 September 2013, 149 WTO Members out of 159, five more than last year, had designated an "SPS Notification Authority".<sup>6</sup> Those which have not include six least-developed countries (LDCs) and four developing countries.<sup>7</sup>

2.2. Annex B, paragraph 3, of the SPS Agreement requires that each Member establish an Enquiry Point responsible for the provision of answers to all reasonable questions and of relevant documents. As of 15 September 2013, 154 WTO Members out of 159, three more than the

<sup>&</sup>lt;sup>2</sup> See G/SPS/R/47, para. 44, for the recommendations arising from the 2007 workshop on transparency. <sup>3</sup> <u>http://spsims.wto.org</u>

<sup>&</sup>lt;sup>4</sup> See also footnote 4 of G/SPS/7/Rev.3 requesting the Secretariat to provide an annual report on the level of implementation of the transparency provisions of the SPS Agreement and of the recommended transparency procedures.

<sup>&</sup>lt;sup>5</sup> See para. 4.5. for more information.

<sup>&</sup>lt;sup>6</sup> The five Members are: Congo, Côte d'Ivoire, Lao People's Democratic Republic, Montenegro and the Russian Federation.

<sup>&</sup>lt;sup>7</sup> The categories of level of development rely on WTO working definitions as identified in the WTO's Integrated Database (IDB) for analytical purposes. They can be consulted through the SPS IMS by clicking on "definitions of groups" on the left-hand side menu bar.

previous year, had provided the WTO with the contact information of their Enquiry Point.<sup>8</sup> Those which have not include four LDCs and one developing country. 26 Members have identified more than one SPS Enquiry Point.

2.3. The most up-to-date information on Members' Notification Authorities and Enquiry Points can be accessed through the SPS IMS by clicking on "Enquiry Points/Notification Authorities" on the left-hand side menu bar.

# **3 SUBMISSION OF NOTIFICATIONS**

3.1. Under the SPS Agreement, notifications are used to inform other Members about new or changed regulations that may significantly affect trade. Annex B, paragraphs 5 to 8, as well as the 2008 Transparency Procedures, elaborate on the notification procedures Members are to follow. For ease of reference, the specific sub-topics highlighted below follow the order of items that are contained in the regular and emergency notification formats.

### 3.1 Types of notifications

3.2. The two main types of notifications are regular notifications and emergency notifications. In addition, addenda, corrigenda, revisions or supplements can be issued subsequent to an original regular or emergency notification.<sup>9</sup> An addendum is used to provide additional information or changes to an original notification, for example if the products covered by the proposed regulation have been modified, or if the comment period has been extended, or if a notified measure has entered into force. A corrigendum is used to correct an error in an original notification such as an incorrect address detail. A revision is used to replace an existing notification, for example if a notified draft regulation was substantially redrafted or if a notification contained a large number of errors.

3.3. As of 15 September 2013, Members had submitted 10,643 regular notifications, 1,489 emergency notifications, and 3,670 addenda and corrigenda to regular and emergency notifications. For the past year (15 September 2012 through 15 September 2013), Members submitted a total of 1,267 notifications, of which 851 regular notifications, 69 emergency notifications, 345 addenda and corrigenda to regular and emergency notifications and two supplements.

3.4. In April 2004, the Secretariat established a mechanism for Members to inform each other of the availability of unofficial translations of notified SPS measures into one of the official languages of the WTO. These are submitted in the form of supplements to the original notification. As of 15 September 2013, 17 supplement notifications had been circulated. Only one was submitted in 2013. It is interesting to note that the identical mechanism for sharing translations of notified TBT regulations, which was launched in January 2008, has already resulted in 241 supplement notifications, although only four more supplement notifications were submitted this year. It is not clear why Members are submitting so few supplement notifications in the SPS area.

3.5. In addition, in June 2002 the SPS Committee adopted a special format and recommended procedures for the notification of determination of the recognition of equivalence of sanitary or phytosanitary measures. As of 15 September 2013, there have only been two equivalence notifications circulated, one from Panama in 2007 and another from the Dominican Republic in 2008.

3.6. Considering all types of notifications together, a total of 15,821 notifications were submitted to the WTO from 1 January 1995 to 15 September 2013. As can be seen in Chart 1, there has been a general upward trend in the number of notifications over the years, with the total number of notifications reaching a peak of 1,410 in the year 2010. However, the number of notifications submitted decreased in 2011 and 2012. The number of notifications appears to be on the rise again in 2013, if notifications during the period from mid-September 2012 through mid-September 2013 are compared with the same period of the previous years.

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<sup>&</sup>lt;sup>8</sup> The three Members are: Congo, Lao People's Democratic Republic and Tajikistan.

<sup>&</sup>lt;sup>9</sup> See the Recommended Procedures for Implementing the Transparency Obligations of the SPS Agreement (G/SPS/7/Rev.3) for further elaboration on the different types of notifications.

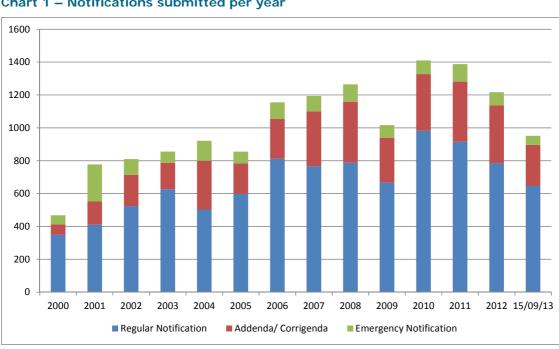


Chart 1 – Notifications submitted per year

#### 3.2 Notifying Members

3.7. As of 15 September 2013, 108 Members out of 159 (68%) had submitted at least one notification to the WTO. Members which have not submitted any notification so far include 20 developing countries, 22 LDCs, and one developed country. In addition, a number of EU member States have not submitted notifications; however, most SPS measures are notified by the European Union on behalf of all its member States.<sup>10</sup>

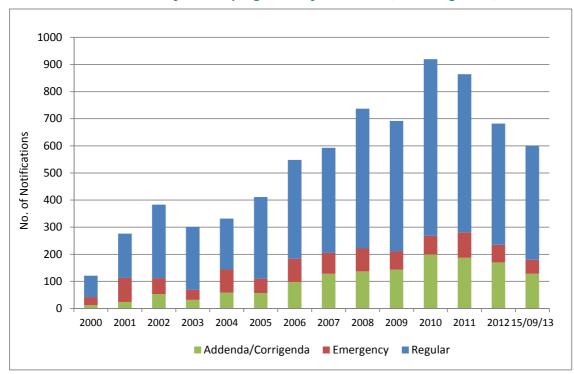
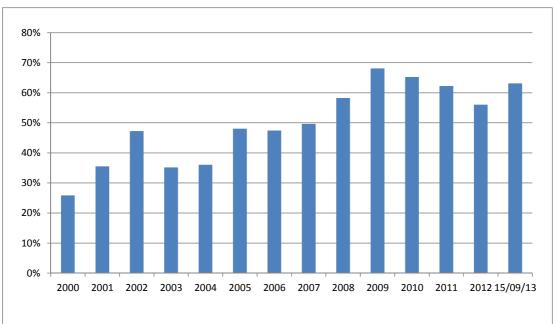


Chart 2 – Notifications by Developing Country Members (including LDCs)

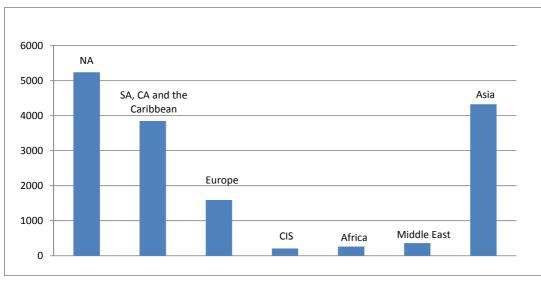
<sup>10</sup> See G/SPS/GEN/456 for notification procedures for the European Union and its member States.

3.8. Chart 2 shows a drop in the number of notifications from developing countries (which include LDCs) since 2010, although this number appears to be on the rise again in 2003, if notifications during the period from mid-September 2012 through mid-September 2013 are compared with the same period of the previous years. The share of total notifications from developing country Members (see Chart 3) continues to be high, with a peak of over 68% in the year 2009. Compared to the same period of time last year, the share of notifications from developing countries has increased from 56 to 63%, while the share from LDCs showed a marked increase from 0.4 to 2.8%.



# Chart 3 – Share of Total Notifications Submitted by Developing Country Members (including LDCs)

3.9. Looking at the geographic regions from which the notifications originate, Chart 4 shows that the majority of notifications come from the North America region, followed by Asia, and then South and Central America and the Caribbean.<sup>11</sup>



### Chart 4 – Notifications by Geographical Region

<sup>11</sup> The geographical groupings used rely on WTO working definitions as identified in the Integrated Database (IDB) for analytical purposes. The same groupings are used in the WTO Annual Reports. They can be consulted through the SPS IMS by clicking on "definitions of groups" on the left-hand side menu bar.

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3.10. The Members which have submitted the greatest number of notifications (regular and emergency) as of 15 September 2013, are listed in Table 1, while the Members that have submitted the greatest number of notifications in the past year (15 September 2012 to 15 September 2013) are listed in Table 2.

Regular Notifications				Emergency Notifications			
Member	Number of notifications	Share of Total		Member	Number of notifications	Share of Total	
United States	2,506	24%		Albania	143	10%	
Brazil	912	9%		Philippines	139	9%	
China	742	7%		New Zealand	107	7%	
Canada	735	7%		United States	84	6%	
Korea	435	4%		Colombia	74	5%	
Peru	431	4%		Ukraine	67	4%	
European Union	416	4%		Peru	64	4%	
Chile	413	4%		European Union	54	4%	
New Zealand	394	4%		Thailand	41	3%	
Japan	308	3%		Mexico	37	2%	
Chinese Taipei	305	3%		Chile	33	2%	
Australia	302	3%		Canada	31	2%	
Mexico	201	2%		China	28	2%	
Thailand	192	2%		Australia	28	2%	
Colombia	178	2%		Kenya	27	2%	

# Table 1. Members which have submitted the most notifications since 1995

# Table 2. Members which have submitted the most notifications in the past year (15 September 2012 – 15 September 2013)

Regular Notifications			Emergency Notifications		
Member	Number of notifications	Share of Total	Member	Number of notifications	Share of Total
United States	121	14%	Philippines	18	26%
Peru	93	11%	Russian Federation	11	16%
Canada	90	11%	Albania	7	10%
China	86	10%	Ecuador	4	6%
Brazil	85	10%	Saudi Arabia	4	6%
Chile	38	4%	European Union	3	4%
Saudi Arabia	35	4%	Chile	3	4%
Chinese Taipei	29	3%	Australia	2	3%
Ecuador	26	3%			
Korea	25	3%			

#### 3.3 Products covered

3.11. In accordance with paragraphs 5 and 6 of Annex B of the SPS Agreement and the 2008 Transparency Procedures, Members are required to identify the products to be covered by a new or changed SPS measure and should provide the relevant HS codes. Most Members have indicated they would welcome the provision of these codes by their trading partners.<sup>12</sup>

3.12. Since 1995 the WTO's Central Registry of Notifications (CRN) has been assigning, to the extent possible, the relevant HS codes for all notifications where these are not provided by the Member.<sup>13</sup>

3.13. While being only indicative, Table 3 shows the products, at the two-digit level of HS codes, that are most often covered by regular and emergency notifications.

<sup>&</sup>lt;sup>12</sup> See the Analysis of Replies to the Questionnaire on the Operation of Enquiry Points and National Notification Authorities, (G/SPS/GEN/751/Rev.1, paras. 11 and 18) for further elaboration on this point.

<sup>&</sup>lt;sup>13</sup> This information is now available in the SPS IMS.

# Table 3. HS Codes assigned to notifications

	Regular notifications						
HS Code	Description	Number	Share of Total				
(02)	Meat and edible meat offal	2,698	17%				
(08)	Edible fruit and nuts, peel of citrus fruit or melons	1,645	10%				
(07)	Edible vegetables and certain roots and tubers	1,382	9%				
(10)	Cereals	1,245	8%				
(01)	Live animals	1,233	8%				

Emergency notifications							
HS Code	Description	Number	Share of Total				
(02)	Meat and edible meat offal	1,686	32%				
(01)	Live animals	1,551	29%				
(04)	Dairy produce, birds' eggs, natural honey, edible products of origin not elsewhere specified or included	573	11%				
(05)	Products of animal origin, not elsewhere specified or included	436	8%				
(23)	Residues and waste from the food industries, prepared animal fodder	259	5%				

### 3.4 Regions/countries affected

3.14. The Transparency Procedures call on Members to identify the regions or countries which are most likely to be affected by the measure being notified. The 2008 Transparency Procedures include a modified data entry option for this item whereby Members are invited to either select the tick box for "all trading partners" or provide information on specific regions or countries likely to be affected.

3.15. An assessment of notifications submitted in the period between 15 September 2012 and 15 September 2013 indicate that 21% of regular notifications have identified a specific group of countries or a region, while 79% of regular notifications have selected the tick box for "all trading partners". In contrast, 87% of emergency notifications have identified a specific group of countries or a region, and only 13% of emergency notifications indicate "all trading partners". This reflects the fact that emergency actions are frequently taken in response to disease outbreaks in specific countries, territories, or regions.

3.16. The comprehension and work of other Members would be facilitated if more specificity were provided by notifying Members on regions or countries likely to be affected. It is understandable, however, that when submitting notifications, Members may be hesitant to specifically identify potentially affected countries or regions for fear of not accurately assessing who might be affected.

## 3.5 Objective and rationale

3.17. In accordance with paragraphs 5 and 6 of Annex B of the SPS Agreement and the 2008 Transparency Procedures, Members are also required to state the objective and rationale of proposed regulations by selecting one of the following five options: food safety, animal health, plant protection, protect humans from animal/plant pest or disease, and protect territory from other damage from pests. These objectives correspond to the definition of an SPS measure in Annex A, paragraph 1 of the SPS Agreement.

3.18. Table 4 indicates the total number and share of each objective as cited in regular and emergency notifications. It must be noted, however, that many notifications identify more than one objective. Therefore, the table below specifies the total number of times the specific objective was assigned regardless of whether the notifications identified multiple objectives.

3.19. For regular notifications, the most frequently cited objective is food safety, while for emergency notifications it is animal health.

# Table 4. "Objectives" of notified SPS measures in the period between 15 September 2012 - 15 September 2013

Regular Notifications		
	Notifications	Share
Food Safety	567	37%
Animal Health	130	8%
Plant Protection	181	12%
Protect humans from animal/plant pest or disease	602	39%
Protect territory from other damage from pests	68	4%
Emergency Notifications		
	Notifications	Share
Food Safety	13	13%
Animal Health	43	42%
Plant Protection	15	15%
Protect humans from animal/plant pest or disease	23	22%
Protect territory from other damage from pests	9	9%

#### 3.6 International standards, guidelines or recommendations

3.20. The SPS Agreement does not require Members to notify a measure if its content is substantially the same as that of an international standard adopted by Codex, IPPC or the OIE. Nonetheless, the 2008 Transparency Procedures encourage Members to notify all regulations that are based on, conform to, or are substantially the same as an international standard, guideline or recommendation, if they are expected to have a significant impact on trade of other Members. The revised formats also seek to get more precision from Members regarding relevant standards and the conformity of the notified measure with these.

3.21. With respect to regular notifications circulated from 15 September 2012 to 15 September 2013, Chart 5 indicates that in 47% of the cases, Members have not identified an international standard as being relevant to the new measure being notified. Of the remaining notifications, 24% have referred to Codex, 12% to the OIE and 17% to the IPPC.

3.22. Chart 6 shows that for the same period, only 11% of emergency notifications have not identified an international standard as being relevant to the measure being notified, while 61%, 25% and 3% have referred to the OIE, IPPC and Codex as having a relevant international standard, respectively. It is reassuring to note that the relevant international standards address so many of the emergency situations, thus providing invaluable guidance to governments on how to protect health in the face of emergencies.



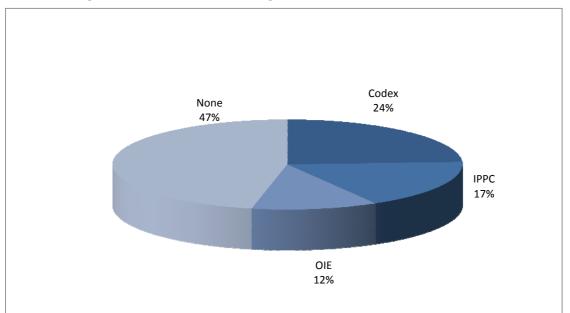


Chart 5 - Regular Notifications referring to a relevant international standard

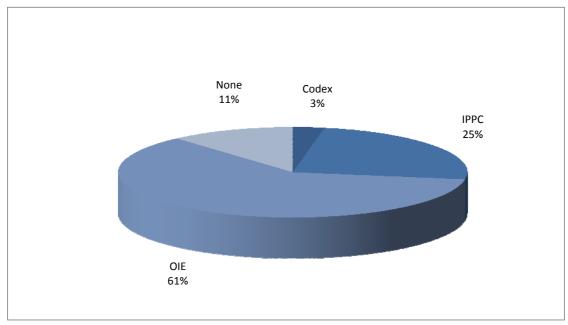


Chart 6 - Emergency Notifications referring to a relevant international standard

3.23. The revised notification formats include a new entry asking whether the proposed regulation conforms to the relevant international standard. During the period from 15 September 2012 to 15 September 2013, of the 53% of regular notifications identifying a relevant international standard regarding the measure, 81% indicated that the proposed regulation conformed to that relevant international standard. For the same period, of the 89% of emergency notifications identifying a relevant international standard, 97% have indicated that the proposed regulation conformed to the relevant international standard.

#### 3.7 Proposed date of adoption/publication/entry into force

3.24. In accordance with paragraphs 1 and 2 of Annex B of the SPS Agreement, Members are obliged to ensure that all SPS regulations which have been adopted are published promptly. Except in urgent circumstances, Members are also obliged to allow a reasonable interval between the publication of a measure and its entry into force. Paragraph 3.2 of the Doha Decision on Implementation-Related Issues and Concerns states that this interval "shall be understood to mean normally a period of not less than 6 months".<sup>14</sup>

3.25. The revised regular notification format contained in the 2008 Transparency Procedures includes separate fields for entering the "proposed date of publication", the "proposed date of adoption" and the "proposed date of entry into force". In addition, it includes a default checkbox for a six-month interval between the publication and entry into force of a new measure.

3.26. For the period from 15 September 2012 through 15 September 2013, 33% (281) of regular notifications included a specific date for adoption, 33% (280) for publication, and 32% (276) for entry into force. Thus the majority of regular notifications do not provide specific dates in these three fields. In some cases, such dates are not yet determined at the time of the notification, as the nature and extent of comments received on the proposed measure may affect the dates of adoption, publication and entry into force. During the same period, only 64 regular notifications (8%) had selected the checkbox for a six-month interval between the publication and entry into force of a measure.

3.27. Regarding the regular notifications that indicated a specific date of entry into force, 38% (106) indicated a delay between the date of distribution and the proposed date of entry into force that averaged 116 days. This average masks a very wide range, as the periods in the notifications varied from 6 to 542 days. Furthermore, more than half of the notifications, 62% (170), indicated a date of entry into force prior to the date of document distribution, although 46% (79) of these concerned measures identified as trade liberalizing.

3.28. As provided for in the 2008 Transparency Procedures, notifying Members sometimes follow up on their original notification with an Addendum to alert Members to the adoption, publication, or entry into force of a previously notified proposed measure. During the period of 15 September 2012 to 15 September 2013, around 56% of the addenda indicated the adoption, publication or entry into force of regulations as shown in Table 6.

### 3.8 Final date for comments

3.29. Annex B, paragraph 5 of the SPS Agreement provides that notifications should take place at an early stage, when amendments can still be introduced and comments taken into account. The 2008 Transparency Procedures state that a 60-day comment period should be provided with respect to regular notifications. Where domestic regulatory mechanisms allow, the 60-day comment period should normally begin with the circulation of the notification by the WTO Secretariat. The revised formats also offer a checkbox option for such a 60-day comment period to encourage Members to follow this recommendation.<sup>15</sup> Between 15 September 2012 and 15 September 2013 this checkbox was selected in 43% of notifications.

3.30. An analysis of the notifications issued during the period from 15 September 2012 through 15 September 2013 shows that around 24% of notifications have not provided a comment period (see Table 5). For those that do provide comment periods, these average 57 days when calculated as the difference between the date of circulation of the notification and the deadline and final date for comments. Developed country Members provide slightly longer comment periods than developing country Members.

3.31. It should be noted that no comment period needs to be provided in the case of trade facilitating measures. The 2008 Transparency Procedures include a new tick box for specifying whether the notification concerns a trade facilitating measure. From 15 September 2012 through 15 September 2013, 21% of notifications have been identified to be trade facilitating. In addition,

<sup>&</sup>lt;sup>14</sup> WT/MIN(01)/17.

<sup>&</sup>lt;sup>15</sup> See G/SPS/7/Rev.3, para. 13.

as there is no obligation to notify measures if their content is substantially the same as that of an international standard, no comment period is expected for this category of measures.

# Table 5. Comment period provided in regular notifications (1 December 2008 – 15 September 2013)

All Members				
	No.	Share		
No. of regular notifications	851	-		
Comment period not indicated/not available	203	24%		
Comment period ends before distribution date	11	1%		
Comment period available	648	76%		
Average comment period		57		
Developed country Mem	bers			
	No.	Share		
No. of regular notifications	281	-		
Comment period not indicated/not available	61	22%		
Comment period ends before distribution date	0	0%		
Comment period available	220	78%		
Average comment period		59		
Developing country Mem	nbers			
	No.	Share		
No. of regular notifications	570	-		
Comment period not indicated/not available	142	25%		
Comment period ends before distribution date	11	2%		
Comment period available	428	75%		
Average comment period		57		

3.32. While Members are obligated to notify other WTO Members of draft new or changed measures, they are not required to submit the text of the relevant regulations along with their notifications. However, Members have raised concerns in the SPS Committee regarding the difficulties of accessing the actual text of notified regulations, which are described only in summarized form in notifications. Members have also pointed out that the process of receiving the texts of regulations reduces the period actually available for providing comments.

3.33. In an effort to address these concerns and facilitate access to notified draft regulations, since February 2008 Members may, on a voluntary basis, provide the Secretariat with an electronic version of the text of the notified regulation as an attachment to the notification format. The submitted text is then electronically accessible to other Members through a hyperlink in the notification format.<sup>16</sup> From 15 September 2012 through 15 September 2013, around 70% of notifications have provided the full text or a summary of their notified regulations using this facility. Members may wish to remind their notification authorities of the availability of this facility.

3.34. Many Members include a hyperlink to their own electronic version of the notified regulation as part of the text of the notification, in addition to or instead of the above facility.

### 3.9 Reasons for addenda to regular and emergency notifications

3.35. The 2008 Transparency Procedures have also added a new feature to the addenda to regular and emergency notification formats. Members are asked to select from a number of options regarding the reason for the Addendum. Table 6 below shows the share of each option for the period from 15 September 2012 to 15 September 2013:

<sup>&</sup>lt;sup>16</sup> See G/SPS/7/Rev.3, para. 22 and Annex C.

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## Table 6. Reasons for addenda<sup>17</sup>

Reason for addenda:	No.	Share
Modification of final date for comments	55	17%
Notification of adoption, publication, or entry into force of regulation	184	56%
Modification of content and/or scope of previously notified draft regulation	41	12%
Withdrawal of proposed regulation	15	5%
Change in proposed date of adoption, publication, or date of entry into force	16	5%
Other	18	5%

### 3.10 Notification keywords

3.36. With the SPS IMS, all notifications can also be categorized according to a list of approximately 70 predefined keywords, which describe issues appearing frequently in notifications. The CRN has assigned these keywords since 2003. These keywords assist searching for notifications in certain areas. The keywords which have been most frequently assigned to regular notifications, in descending order, are: human health, food safety, pesticides, plant health, maximum residue limits and animal health. For emergency notifications, the most frequent keywords in descending order are: animal health, animal diseases, regionalization, zoonoses, human health and food safety.

# 4 RECENT EFFORTS TO ENHANCE THE BENEFITS FROM A TRANSPARENT SYSTEM

4.1. In light of the steadily increasing volume of documents, managing the flow of notifications, coordinating at the national level, and benefiting from a transparent system has become a challenge for many Members. This is one of the areas where Members have sought technical assistance and guidance on best practices.<sup>18</sup>

4.2. The SPS IMS was launched in October 2007 largely to address this issue. Its trilingual interface allows access to the most recent information on notifications as well as on Enquiry Points and National Notification Authorities. It also includes information on specific trade concerns and other SPS documents. It facilitates the conduct of searches according to specific needs/interests and also the preparation of reports/summaries which can be shared with interested stakeholders.

4.3. Since 2011, the SPS NSS allows National Notification Authorities to fill out and submit SPS notifications online. The SPS NSS allows for more accurate and complete notifications, and a substantial reduction in the time required for the WTO to circulate them. Interested Members may request login names and access passwords for their National Notification Authorities from the Secretariat. To date, 51 Members have requested access to the system, and 30 of these have officially submitted notifications via the SPS NSS

4.4. The WTO Secretariat provides training on the SPS IMS and NSS as part of its technical assistance programmes. A transparency workshop in October 2012 provided highly interactive, "hands-on" training on the use of the SPS IMS and SPS NSS.

4.5. In addition, a practical Manual on the operation of Enquiry Points and Notification Authorities has been developed. It includes guidance on how to prepare a notification, how to manage incoming notifications, how to alert stakeholders, and how to draft some standard letters. The manual is available in English, French and Spanish. Hard copies can be requested from the WTO Secretariat and electronic copies can be downloaded from the SPS gateway of the WTO website.<sup>19</sup>

4.6. The Standards and Trade Development Facility (STDF) has funded various projects to increase transparency by enhancing inter-agency coordination at the national and/or regional

<sup>&</sup>lt;sup>17</sup> Each notification can have multiple entries for the reasons for addenda.

<sup>&</sup>lt;sup>18</sup> See the Analysis of Replies to the Questionnaire on the Operation of Enquiry Points and National Notification Authorities (G/SPS/GEN/751/Rev.1) for further elaboration on this issue.

<sup>&</sup>lt;sup>19</sup> Go to <u>http://www.wto.org/english/tratop\_e/sps\_e/transparency\_toolkit\_e.htm</u>.

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level, as well as strengthening linkages between government agencies and the private sector. The STDF published a scoping study that identifies and assesses the myriad of regional SPS policy frameworks and strategies in Africa, in order to avoid multiplication of transparency requirements, and guide future work in this area.<sup>20</sup> The STDF also completed a study to examine national SPS coordination mechanisms in Africa as a means to identify factors that contribute to successful coordination and provide suggestions and guidance to support the further establishment and operation of SPS coordination mechanisms in the future.<sup>21</sup>

#### **5 OTHER ASPECTS RELATING TO TRANSPARENCY**

5.1. As indicated in the introduction, there are a number of areas where the Secretariat is not in a position to provide an overview. These include questions such as the following:

- a. To what extent are Members publishing a notice at an early stage regarding proposals to introduce a particular regulation? (Annex B, paragraph 5(a))
- b. To what extent are translations into English, French or Spanish of proposed regulations available? (Annex B, paragraph 8)
- c. How quickly do Members respond to requests for documents or other information? (Annex B, paragraphs 3 and 5(c))
- d. To what extent are Members providing comments on notifications, and to what extent are these taken into account? (Annex B, paragraph 5(d))

5.2. These are areas where Members have occasionally shared their experiences with the SPS Committee. However, as this information is not provided systematically, it has not been possible to include further details on these questions. Members are encouraged to complement this overview document through submissions to the SPS Committee regarding their own experience in matters related to the transparency provisions of the SPS Agreement.

<sup>&</sup>lt;sup>20</sup> <u>http://www.standardsfacility.org/Files/Publications/STDF\_Regional\_SPS\_Stategies\_in\_Africa</u>

<sup>&</sup>lt;u>EN.pdf</u><sup>21</sup><u>http://www.standardsfacility.org/Files/Publications/STDF\_NationalSPSCoordinationMechanisms\_EN.pdf</u>