# WORLD TRADE

# **ORGANIZATION**

**G/SPS/GEN/887** 3 November 2008

(08-5355)

Committee on Sanitary and Phytosanitary Measures

# REVIEW OF THE OPERATION AND IMPLEMENTATION OF THE SPS AGREEMENT

#### BACKGROUND DOCUMENT

Note by the Secretariat<sup>1</sup>

#### I. INTRODUCTION

- 1. Article 12.7 of the Agreement on the Application of Sanitary and Phytosanitary Measures ("the Agreement") provides that "the Committee shall review the operation and implementation of this Agreement three years after the date of entry into force of the WTO Agreement, and thereafter as the need arises". A First Review of the Agreement was completed in March 1999.<sup>2</sup>
- 2. At the Fourth Session of the Ministerial Conference, Ministers instructed the Committee to review the operation and implementation of the Agreement at least once every four years. The Second Review of the Agreement was completed in July 2005.<sup>3</sup> At its October 2008 meeting, the Committee adopted a procedure and timetable to undertake the Third Review of the Agreement.<sup>4</sup>
- 3. In both the First and Second Reviews, the Committee discussions focused on implementation and operation issues related to:
  - Consistency (Article 5.5);
  - Equivalence (Article 4);
  - Transparency (Article 7 and Annex B);
  - Monitoring the use of international standards (Article 3.5 and 12.4);
  - Technical assistance and training activities (Article 9);
  - Special and differential treatment (Article 10);
  - Regionalization (Article 6);
  - Monitoring Implementation of the Agreement (Articles 12.1 and 12.2) Specific trade concerns;
  - Co-operation with Codex Alimentarius, World Organization for Animal Health (OIE), and the International Plant Protection Convention (IPPC) (Article 12.3); and
  - Dispute settlement activities (Article 11).

<sup>&</sup>lt;sup>1</sup> This document has been prepared under the Secretariat's own responsibility and is without prejudice to the positions of Members or to their rights or obligations under the WTO.

<sup>&</sup>lt;sup>2</sup> G/SPS/12.

<sup>&</sup>lt;sup>3</sup> G/SPS/36.

<sup>&</sup>lt;sup>4</sup> G/SPS/W/228.

4. Appendix A of this document provides a summary of Committee activities since the Second Review in 2005. Appendix B provides information about SPS-related dispute settlement activities. Appendix C provides a list of documents submitted by Members since the Second Review of the Agreement relevant to the various issues raised in this Background Paper.

#### II. CONSISTENCY (ARTICLE 5.5)

- 5. Efforts and deliberations by the Committee to develop guidelines for consistency began during the Committee's first meeting in March 1995 and progressed through informal and formal meetings. During these discussions, Members raised conceptual issues related to the links between appropriate level of protection, measures and risk assessment.
- 6. In the Second Review of the Agreement in 2005 ("the 2005 Review"), the Committee noted that it should undertake another review of the operation of the guidelines to further the practical implementation of Article 5.5 whenever Members identified the need, and in any case not later than December 2008. Members were encouraged to provide information regarding their experiences in the implementation of Article 5.5 and in the use of the guidelines (G/SPS/15).
- 7. To date no Member has suggested a need to modify these guidelines. Although there is no standing agenda item regarding Article 5.5, there is opportunity for Members to provide information regarding their experiences in this regard under the Agenda Item "Activities of Members". No Member has provided any such information since June 2005.
- 8. Some Members have suggested, however, that the Committee should solicit information from Members in order to determine the extent to which these guidelines, as well as others adopted by the Committee, are actually being implemented by Members.

#### III. EQUIVALENCE (ARTICLE 4)

- 9. In the 2005 Review, the Committee encouraged Members to provide information regarding their experiences in the implementation of Article 4 and in the use of the guidance developed by the Committee (G/SPS/19/Rev.2). In particular, Members were encouraged to notify any agreements reached on the recognition of equivalence. Finally, the relevant international organizations were invited to keep the Committee informed of any work they undertook with regard to the recognition of equivalence.
- 10. Equivalence is a standing agenda item for regular meetings of the Committee. At each meeting, Members are invited to report on their experiences regarding equivalence, and the relevant international organizations are invited to provide information. The following Members provided information under this agenda item: Brazil and Chile (June 2005), Egypt (March 2006) and the United States (June 2007). On 9 August 2007, Panama submitted the first notification on a recognition of equivalence (G/SPS/N/EQV/PAN/1). A second notification of the recognition of equivalence of SPS measures was submitted to the Committee in 2008 by the Dominican Republic (G/SPS/N/EQV/DOM/1).
- 11. The Secretariat noted that Members' officials often made reference informally to various equivalence agreements with trading partners, but these had not been notified to the SPS Committee. Some Members agreed that equivalence agreements did exist, and that the guidance developed by the Committee was being used. They suggested that one reason Members did not notify these agreements was to avoid other exporters benefiting from the arrangements. Furthermore, in many cases the notion of equivalence was applied without any formal recognition of equivalence *per se*, or without calling the bilateral arrangements "equivalence". They agreed, however, that it would be useful for Members to provide information regarding their experiences in this area.

12. The international standard-setting organizations have developed guidance in this area, and the Codex, IPPC and/or OIE have provided information on equivalence issues at each meeting of the Committee since June 2005. The Codex Alimentarius Commission has adopted Principles for the development of equivalence agreements regarding food import and export inspection and certification systems, and Guidelines on the judgement of equivalence of such systems. The OIE has developed guidelines for reaching a judgement of the equivalence of sanitary measures. The IPPC adopted in 2005 a standard with guidelines for determination and recognition of equivalence of phytosanitary measures (ISPM 24). In addition, ISPM 1, which also includes principles on equivalence, was revised in 2006.

#### IV. TRANSPARENCY (ARTICLE 7 AND ANNEX B)

- 13. In the 2005 Review, the Committee: (i) encouraged Members to ensure full implementation of the transparency provisions of the SPS Agreement; (ii) asked that developing country Members clearly identify specific problems faced in implementing the transparency provisions of the Agreement; and (iii) asked that assistance be provided to least-developed and developing country Members in order to enable them to fully implement the transparency provisions and to make use of the benefits associated with transparency. Recognizing that the recommended procedures established by the Committee (G/SPS/7/Rev.2), while not creating legal obligations, could facilitate Members' implementation of the provisions of the SPS Agreement, the Committee agreed to consider whether further recommendations could be beneficial.
- 14. The Secretariat organized a Workshop on Transparency in October 2007. This was the third SPS workshop on transparency organized by the WTO Secretariat, the first two having been held in 1999 and 2003. Various funding arrangements made it possible for a large number of developing country and LDC participants to attend. The objectives of the workshop were to enhance the implementation of transparency obligations and to identify best practices for drawing benefits from a transparent system.
- 15. The main recommendations from the Workshop involved the following six issues: revision of the Recommended Transparency Procedures contained in G/SPS/7/Rev.2; training and dissemination on the SPS Information Management System (SPS IMS) as well as other sources of SPS-related information; regular updates on the level of implementation of transparency provisions; explanatory documents on timeframes related to transparency obligations and on benefits of the SPS Agreement; establishment of a mentoring mechanism between officials responsible for implementing the transparency provisions in different Members; and development of a practical procedural manual. Significant progress has been made with respect to all six recommendations.
- 16. On 30 May 2008, the Committee adopted revised recommended procedures for transparency (G/SPS/7/Rev.3). The new procedures, *inter alia*, clarify the definition of the comment period, encourage the notification of measures conforming to international standards, and provide links for access to full texts of regulations and their translations. The new transparency procedures, including the use of the new notification formats, will take effect as of 1 December 2008. To facilitate Members' management of the large volume of SPS-related information, the Secretariat regularly produces summary documents containing relevant SPS-related information, including monthly

<sup>&</sup>lt;sup>5</sup> http://www.Codexalimentarius.net/download/standards/10047/CXG 053e.pdf

<sup>6</sup> http://www.oie.int/eng/normes/en\_mcode-2004.htm

<sup>&</sup>lt;sup>7</sup>https://www.ippc.int/servlet/BinaryDownloaderServlet/124047\_2007\_ISPMs\_book\_Engl.doc?filenam e=1187683730555\_ISPMs\_1to29\_2007\_En\_with\_convention.doc&refID=124047

summaries of notifications<sup>8</sup> received by the Secretariat and an annual listing of all SPS documents.<sup>9</sup> Links to these documents can be found on the SPS web page.

- 17. The Secretariat has also installed the new SPS Information Management System (SPS-IMS), the public version of which was launched and presented on 15 October 2007 during the Transparency Workshop. The system includes the most recent information on SPS notifications as well as Committee documents, specific trade concerns and Members' National Enquiry Points and Notifications Authorities. It facilitates the conduct of searches according to specific needs and interests (product codes, geographic groups, etc) and also the preparation of reports and summaries which can be shared with interested stakeholders. The web site of the SPS-IMS is constantly updated with the latest contact details on Members' Enquiry Points and National Notification Authorities.
- 18. The WTO Secretariat has provided demonstration sessions on the SPS IMS during the SPS Committee meetings and during its technical assistance programmes. It has also responded to ad hoc requests from Members and other interested parties for assistance.
- 19. With respect to the third recommendation on regular updates on the level of implementation of transparency provisions, the Secretariat has begun to provide annual updates. In October 2007, the Secretariat circulated a first background note (G/SPS/GEN/804) providing an overview regarding the level of implementation of the transparency provisions of the SPS Agreement. An updated version of this note has been circulated as G/SPS/GEN/804/Rev.1. The development of the SPS-IMS will facilitate the compilation and analysis of data related to the implementation of the transparency provisions. Furthermore, Members' implementation of the new recommendations on transparency should result in substantially enhanced information.
- 20. Managing information on transparency remains, however, challenging for many developing country Members who struggle with implementing basic obligations with respect to the transparency provisions of the SPS Agreement. Many developing country Members have flagged their need for assistance and support to resolve their individual transparency difficulties, for example with the process of sending notifications to the WTO. Other difficulties faced by developing country Members relate to the operation of their SPS National Notification Authority and their National Enquiry Point.
- 21. The Secretariat developed a mechanism for "mentoring" to assist officials responsible for transparency in developing country Members, and a number of Members have already been "matched" to provide guidance when requested.<sup>11</sup>
- 22. In addition, Australia and New Zealand have worked with the Secretariat to develop a procedural manual for the operation of Enquiry Points and National Notification Authorities. This Transparency Manual reflects the new transparency procedures and will replace the 2002 handbook on "How to Apply the Transparency Provisions of the SPS Agreement". <sup>12</sup>
- 23. As of 31 August 2008, Members have submitted 6,416 regular notifications and 1,042 emergency notifications (plus related addenda and corrigenda). The Committee has also adopted a special format and recommended procedures for the notification of determination of the recognition of equivalence of sanitary or phytosanitary measures, now included in the new transparency procedures. Furthermore, the Secretariat has established a mechanism for Members to inform each other of the

<sup>12</sup> The Manual will be made available to Members in early 2009.

 $<sup>^{8}</sup>$  For example, see documents G/SPS/GEN/820, 827, 840, 842, 850, 867, 869, 873, and 886 for 2008 notification summaries.

<sup>&</sup>lt;sup>9</sup> G/SPS/GEN/817 for 2007.

http://spsims.wto.org/

<sup>&</sup>lt;sup>11</sup> G/SPS/W/217.

availability of translations of notified measures into one of the official languages of the WTO. These are submitted in the form of supplements. As of 31 August 2008, 2 equivalence and 12 supplement notifications have been circulated.

- 24. Out of the 153 WTO Members, 98 (64%) have to date submitted at least one notification to the WTO. Excluding EC member States, 46 developing countries of which 23 are Least Developed countries ("LDCs"), have not yet submitted any notification.
- 25. As can be seen in Figure 1, the share of notifications submitted by developed country Members reaches 55% while the share of those submitted by developing country Members is 43%. A very small share comes from LDCs. Still, there has been a steady increase in notifications from developing country Members over the years.

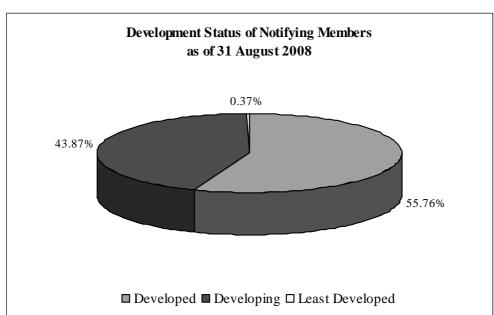


Figure 1

26. Looking at the geographic regions from which the notifications originate, Figure 2 shows that the majority of notifications come from North America, followed by Asia, and then South and Central America and the Caribbean.<sup>14</sup>

<sup>&</sup>lt;sup>13</sup> The categories of level of development rely on WTO working definitions as identified in the Integrated Database (IDB) for analytical purposes.

<sup>&</sup>lt;sup>14</sup> The geographical groupings used rely on WTO working definitions as identified in the Integrated Database (IDB) for analytical purposes. The same groupings are used in the WTO's Annual Reports.

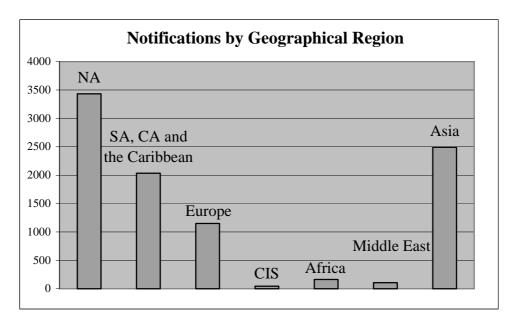


Figure 2

- 27. Under the SPS Agreement, Members are required to notify both an Enquiry Point to provide answers to all reasonable questions from interested Members and a National Notification Authority to implement the notification procedures detailed in the Agreement. Among the 153 WTO Members, 133 Members have, as of October 2008, designated a "Notification Authority". Those which have not yet done so include 13 LDCs and 7 developing country Members. As of October 2008, of the 153 WTO Members, 142 have provided the WTO with the contact information of their Enquiry Point. Those which have not yet done so include 10 LDCs and 1 developing country.<sup>15</sup>
- 28. The Secretariat regularly updates documents containing the contact information of National Enquiry Points and of National Notification Authorities. In addition, regularly updated lists are available from the SPS-IMS and from the SPS website on the WTO web page.
- 29. In 2005, the Committee agreed to extend the Procedure to Enhance the Transparency of Special and Differential Treatment adopted in October 2004<sup>16</sup>, and Members were invited to share with the Committee their experiences under this procedure. See Section VII for more details.

# V. MONITORING THE USE OF INTERNATIONAL STANDARDS (ARTICLES 3.5 AND 12.4)

- 30. In the 2005 Review, the Committee noted that it should continue to monitor the use of international standards at each of its regular meetings.
- 31. The monitoring of the use of international standards is a standing item on the agenda of regular Committee meetings, and in accordance with the agreed procedure (G/SPS/11/Rev.1), the Committee has produced annual reports relating to the process of monitoring international harmonization.<sup>17</sup>

<sup>&</sup>lt;sup>15</sup> The most recent Enquiry Point contact information is contained in <u>G/SPS/ENQ/24</u>, and the most recent National Notification Authority contact information is contained in <u>G/SPS/NNA/14</u>.

<sup>&</sup>lt;sup>16</sup> G/SPS/33 and G/SPS/33/Add.1.

<sup>&</sup>lt;sup>17</sup> G/SPS/37, G/SPS/42 & Corr./1, G/SPS/45, G/SPS/49 for the period 2005-2008.

- 32. In 2006, the Committee reviewed the operation of the provisional procedure based on a document prepared by the Secretariat (G/SPS/W/200). The Committee decided to extend the provisional procedure indefinitely. The Committee also decided that it will review the operation of the provisional procedure as an integral part of its periodic Review of the Operation and Implementation of the Agreement under Article 12.7, with a view to deciding whether to continue with the same procedure, amend it or develop another one. The Committee furthermore encouraged Members to make use of this procedure to address their concerns regarding specific international standards or the need for such standards. The decision of the Committee was subsequently circulated as G/SPS/40.
- 33. This Review thus provides the opportunity for the Committee to examine the monitoring procedure. In 2008, the Committee agreed to consider the monitoring procedure in light of the information gained from notifications under the new notification procedure and the implementation of the IPPC mechanism. Members were also encouraged to provide information on obstacles they encountered when exporting, not just on national measures applied to imports.
- 34. The standard-setting bodies have promptly addressed the concerns raised by Members through this procedure in their respective competent bodies and regularly reported on their actions to the SPS Committee. The procedure has not been used extensively by Members. To enhance the participation of developing country Members in standard-setting meetings and activities, training programmes and regional technical consultations on standards and their implementation, the Codex, IPPC and OIE have established trust funds. The OIE also continues to provide financial support for the participation of Chief Veterinary Officers of its member countries in OIE standard-setting activities.
- 35. Chile has noted that if Members follow the new Transparency Recommendations and notify also when they impose measures based on the international standards, this could provide useful data to more effectively monitor the use of international standards. The Committee may wish to revise the monitoring procedure in light of this development.

#### VI. TECHNICAL ASSISTANCE (ARTICLE 9)

- 36. In the 2005 Review, the Committee encouraged Members requiring technical assistance to identify their specific needs in a clear and detailed manner to permit those needs to be effectively addressed. The Committee also encouraged Members providing technical assistance to keep it informed of specific programmes of assistance. Members were encouraged to report on the effectiveness of the technical assistance received, and, on the basis of that information, and information on the experiences of Members in the provision of technical assistance, the Committee would consider identifying best practices in the area of SPS-related technical assistance. The Committee invited Members to share information on their experiences regarding the use of the tools developed by the Secretariat to assist Members with the understanding and implementation of the SPS Agreement. Finally, the Committee requested the Secretariat to keep it informed of its relevant technical assistance activities and of the activities of the Standards and Trade Development Facility (STDF), and invited observer organizations to report on their capacity building activities relevant to the SPS Agreement.
- 37. Technical assistance is a standing agenda item. At each regular meeting, Members and Observers are invited to identify any specific technical assistance needs which they may have, and/or to report on any SPS-related capacity building activities in which they are involved. The WTO Secretariat, as well as observer organizations, report on their assistance activities.
- 38. WTO's technical assistance activities in the SPS area contribute towards the strengthening of the capacities of developing country Members in meeting standards for market access of food and

other agricultural commodities. The activities increase participants' awareness about rights and obligations under the SPS Agreement and its implications at the national level. In the organization of SPS technical assistance activities, the levels of familiarity with the Agreement and advancement in its implementation are taken into consideration to meet and respond to individual country/regional needs. The programmes of national/regional activities include presentations on the transparency obligations, dispute settlement, implementation problems, specific trade concerns and technical/scientific issues such as risk analysis and equivalence, as well as the work undertaken by the three standard-setting organizations referenced in the SPS Agreement (Codex, OIE and IPPC).

- 39. The Secretariat has developed a number of tools to assist Members with the understanding and implementation of the Agreement. In particular, a booklet discussing the text of the SPS Agreement was published under the WTO Agreements Series (Volume No. 4). The Secretariat has also issued a handbook on the application of the transparency provisions of the Agreement, which will soon be replaced by a Procedural Manual reflecting recent changes in the recommended procedures. A CD-ROM explaining and discussing in detail the provisions of the Agreement, and dealing in particular with implementation, transparency, special and differential treatment and dispute settlement issues, has been produced by the Secretariat. The CD-ROM includes text, video and audio material and is complemented by multiple-choice tests to enable users to monitor their individual progress. In order to meet Members requests for a more advanced training activity on the implementation of the SPS Agreement, a three-week Specialized Course on SPS has been developed. The Secretariat also offers a distance-learning course on the SPS Agreement.
- 40. In the context of the discussions on special and differential treatment and actions to address the underlying concerns of developing country Members, in October 2006 the Secretariat prepared a preliminary analysis of SPS-related technical assistance (G/SPS/GEN/726), with a view to addressing issues regarding the effectiveness of assistance provided. The Committee agreed to continue to consider the issue, and to explore the possibility of identifying best practices in the area of SPS-related technical assistance.
- 41. The Secretariat has compiled document G/SPS/GEN/521/Rev.3, which contains an updated overview of all SPS technical assistance activities provided by the WTO Secretariat from September 1994 through December 2007. From 1994 to 2008, the WTO Secretariat has undertaken a total of 173 technical assistance activities on the SPS Agreement, including 66 regional (or subregional) and 72 national workshops. Table 1 provides information about the number of (sub)regional and national activities per year since the last review of the operation and implementation of the SPS Agreement in 2005. Table 2 shows the overall number of activities per region since 1994.

**Table 1: Number of SPS Technical Assistance Activities** 

|       | Type of Activity    |                           |       |       |
|-------|---------------------|---------------------------|-------|-------|
| Year  | National<br>Seminar | (Sub)Regional<br>Workshop | Other | Total |
| 2005  | 4                   | 7                         | 2     | 13    |
| 2006  | 9                   | 3                         | 3     | 15    |
| 2007  | 7                   | 4                         | 2     | 13    |
| 2008  | 12                  | 3                         | 3     | 18    |
| Total | 32                  | 17                        | 10    | 59    |

|  |                     | Type of activity          |       |       |
|--|---------------------|---------------------------|-------|-------|
| Region   | National<br>Seminar | (Sub)Regional<br>Workshop | Other | Total |
| Africa   | 20                  | 22                        | 7     | 49    |
| Arab and Middle East Countries                 | 12                  | 6                         | 2     | 20    |
| Asia and the Pacific                           | 16                  | 11                        | 14    | 41    |
| Central and Eastern Europe and<br>Central Asia | 6                   | 5                         | -     | 11    |
| Europe   | 1                   | 3                         | 6     | 10    |
| Latin America and the<br>Caribbean             | 17                  | 19                        | 5     | 41    |
| North America                                  | -                   |                           | 1     | 1     |
| Total  | 72.                 | 66                        | 35    | 173   |

Table 2: SPS Technical Assistance Activities per Region (1994-2008)

- 42. The STDF was established in September 2002 following the commitment made by the Heads of the WHO, the FAO, the WTO, the OIE and the World Bank at the Doha Ministerial Conference to explore new technical and financial mechanisms to promote the efficient use of resources in SPS-related activities. The STDF has two main aims:
  - to assist developing countries enhance their expertise and capacity to analyze and to implement international sanitary and phytosanitary (SPS) standards, improve their human, animal and plant health situation, and thus their ability to gain and maintain market access; and
  - to act as a vehicle for coordination among technical assistance providers relating to the
    mobilization of funds, the exchange of experience and the dissemination of good practice in
    relation to the provision and receipt of SPS-related technical assistance. Secretariat
    documents give regular overviews and updates of STDF activities, including funding offered
    for projects and project preparation grants in developing countries.<sup>18</sup>
- 43. As part of its co-ordination function, the STDF has organised a number of events that provide information and assistance to all Members. Hence the STDF organized, jointly with the World Bank and UNIDO, a session on laboratory projects in November 2007. In March 2008, the STDF held a Workshop on Capacity Evaluation Tools used in the SPS area.<sup>19</sup>
- 44. Likewise, the STDF organized an information session on private standards in June 2008. The information session focussed on developments in the area of private standards since the June 2007 information session, and drew conclusions with regard to SPS-related technical assistance. Finally, the STDF organized a Special Workshop on Good Practice in SPS-related technical cooperation in October 2008, in collaboration with the OECD. More than 200 delegates participated in the workshop, some with assistance from the WTO Global Trust Fund or the STDF. The workshop presented the results of research on good practice in SPS-related projects identified by Members as having been successful, and considered how to apply the Paris Principles on Aid Effectiveness in this area. In the successful and considered how to apply the Paris Principles on Aid Effectiveness in this area.

<sup>&</sup>lt;sup>18</sup> G/SPS/GEN/595, 648, 718, 748, 774, 847, 865 and 877.

<sup>19</sup> G/SPS/GEN/826.

<sup>&</sup>lt;sup>20</sup> G/SPS/R/50.

<sup>&</sup>lt;sup>21</sup> G/SPS/GEN/875.

- 45. In the margins of the second meeting of the SPS Committee in 2009, the STDF will organize a workshop to consider cost-benefit analysis related to SPS technical assistance. Work undertaken by the OIE regarding costs of prevention versus eradication, as well as work by the World Bank and others looking at the economic costs and benefits of SPS measures, will be presented.
- 46. Since the 2005 Review, the international standards setting bodies have consistently provided updates about their technical assistance activities. All three organizations have developed training programmes, including conferences, seminars and workshops, to enhance national capacities on WTO matters. The OIE reported in 2008 that the Performance, Vision and Strategy (PVS) tool related to animal health had been conducted in many countries to identify their needs and priorities, in order to solve existing problems. In addition, the OIE supported the participation of developing country Members in the elaboration of standards by ensuring that experts from every region participated in developing the draft text of a scientific standard. The Codex and the IPPC have trust funds which sponsor the participation of officials from developing country Members and economies in transition to participate in their meetings. The programme is aimed at enhancing those officials' level of participation in the elaboration of Codex standards. In addition to information from the OIE, IPPC and the Codex, other observers organizations, including FAO, the World Bank, OIRSA, IICA, UNIDO and UNCTAD, provide regular updates concerning their provision of technical assistance.

#### VII. SPECIAL AND DIFFERENTIAL TREATMENT (ARTICLE 10)

- 47. In the 2005 Review, the Committee agreed to continue to consider specific, concrete actions to address the problems faced by least-developed and developing country Members in the implementation of the SPS Agreement and in making use of the benefits of the Agreement. Members were encouraged to provide information regarding the special and differential treatment or technical assistance they have provided in response to specific needs identified by Members in accordance with the procedure adopted by the Committee (G/SPS/33).
- 48. Special and differential treatment is a standing agenda item. In 2005, Members were invited to share with the Committee their experience under the new procedure to enhance the transparency of special and differential treatment adopted in October 2004. With respect to the proposals referred to the SPS Committee by the General Council, the Committee adopted, in June 2005, a report to the General Council on these proposals. The report expresses the Committee's commitment to continue to examine the proposals before it, and any revision of these proposals, with the aim of developing specific recommendations for a decision. The report also identifies elements for discussion on further work to assist the Committee to address the concerns underlying the proposals as identified by Members, with a view to fulfilling the Doha Development Mandate. Discussion of these elements commenced at the Committee meeting of October 2005.
- 49. In March 2006, a Special Workshop was held to further identify ways to address the problems faced by developing country Members in implementing the SPS Agreement. Discussions at this Workshop focussed on the effectiveness of technical assistance and suggested the need for further work to improve the implementation of the transparency provisions (G/SPS/R/41). Both of these issues have been further addressed by the Committee as noted in Sections IV and VI, above. In 2007, Egypt proposed some amendments to the procedures for S&D transparency, some of which were adopted by the Committee as revisions to the general recommended procedures for transparency. Egypt's proposals are contained in JOB(07)/104 and the revised Transparency Recommendations are in G/SPS/7/Rev.3.

<sup>23</sup> G/SPS/35.

<sup>&</sup>lt;sup>22</sup> G/SPS/33.

- 50. In February 2006, the Committee agreed to further extend the procedure for transparency of special and differential treatment or technical assistance provided in response to specific needs of developing country Members (G/SPS/33/Add.1), but to date there has been no indication that Members are using this procedure. In its 2008 meetings, the Committee has considered proposals for the revision of the procedure to enhance the transparency of special and differential treatment based on a document prepared by the Secretariat, and subsequently circulated as G/SPS/W/224/Rev.1.<sup>24</sup> However, to date, the Committee has not reached a decision in this regard and, in the meantime, G/SPS/33 remains valid.
- 51. During 2006, the Committee also continued its examination of the implementation of the SPS Agreement and the concerns of developing country Members. The proposals referred to the SPS Committee by the General Council were on the agenda of each meeting of the Committee. Although there were substantive discussions of some revisions informally suggested by the African Group at the February, March and October meetings, the Committee was not able to reach a decision on any of the specific proposals as presented.<sup>25</sup>
- 52. However, with a view to fulfilling the Doha Development Mandate, several Members suggested approaches to advance the work of the Committee to address the proposals as identified by Members including seeking clarification of the concerns underlying the proposals. In June 2006, the United States introduced a paper containing a compilation of ideas related to technical assistance and special and differential treatment<sup>26</sup>, taking into account information provided by developing country Members at the Workshop on the Implementation of the Agreement, held in March 2006.<sup>27</sup>
- 53. At an informal meeting on Special and Differential Treatment held in February and March 2007, the Committee discussed the five proposals on special and differential treatment referred to it in August 2004. In particular: (i) the G/SPS/33 procedure and its extension until 2008; (ii) the G/SPS/35 report; (iii) the Committee's discussion of the Africa Group's revisions to its proposal on Article 9.2; (iv) the adoption by the General Council of the proposal from a number of small and vulnerable economies; and (v) Members' submissions on technical assistance and the paper from the United States on Special and Differential Treatment (G/SPS/W/198). A revised proposal regarding Article 10.1 was presented to the Committee in June 2007 and discussed at its October meeting.<sup>28</sup>
- 54. The Decision on Implementation taken at the Doha Ministerial Conference in 2001 included *inter alia* a clarification on Article 10.2.<sup>29</sup> It specifies that where the appropriate level of protection allows scope for the phased introduction of SPS measures, the "longer time-frame for compliance" referred to in Article 10.2 shall normally mean at least 6 months. Where the phased introduction of a new measure is not possible, but a Member identifies specific problems, the Member applying the new measure shall enter into consultations, upon request, to try to find a mutually satisfactory solution. The Decision also indicates that in the context of paragraph 2 of Annex B of the SPS Agreement, a period of 6 months shall normally be provided between the publication of a measure and its entry into force.
- 55. The Committee on Trade and Development Special Session (CTD-SS) has before it two proposals under consideration which are related to the SPS Agreement: one proposal relating to Article 10.2 tabled by India and another proposal relating to Article 10.3 tabled by the African Group

<sup>26</sup> G/SPS/W/198.

 $<sup>^{24}</sup>$  The procedure is contained in G/SPS/33, and the proposed revisions in JOB(07)/104, G/SPS/W/224 and Rev.1.

<sup>&</sup>lt;sup>25</sup> G/SPS/41.

<sup>&</sup>lt;sup>27</sup> G/SPS/R/41.

<sup>&</sup>lt;sup>28</sup> JOB(07)/99.

<sup>&</sup>lt;sup>29</sup> WT/MIN(01)/17, paragraph 3.1.

and a group of developing country Members. The specific proposals and the last language considered under each article in February 2008 has been circulated to all participants. With respect to Article 10.2, the proponents were of the view that the current interpretation of the phrase "longer time-frame for compliance" found in paragraph 3.1 of the Doha Decision on Implementation-Related Issues and Concerns was not sufficient for operationalizing this Article. With respect to Article 10.3 relating to the granting of time-limited exceptions by the SPS Committee from obligations under the Agreement, the proponents claim that their focus is on ensuring predictability of the process to request such an exception, while other Members are concerned that the proposal would prejudge the outcome of such requests and amount to automatic granting of waivers. The objective of the CTD SS is to come up with specific recommendations on all outstanding proposals.

#### VIII. REGIONALIZATION (ARTICLE 6)

- 56. In the 2005 Review, the Committee decided to develop a proposal for a decision on the effective application of Article 6, taking as the point of departure the various proposals submitted by Members and discussions in the Committee. Members were encouraged to provide information on their experiences in the implementation of Article 6, and observer organizations were invited to keep the Committee informed of their activities relevant to the recognition of pest- or disease-free areas or areas of low pest or disease prevalence ("regionalization").
- 57. Regionalization is a standing agenda item. At each regular meeting of the Committee, Members are invited to provide information regarding their experience with the recognition of pest- or disease-free areas and areas of low pest or disease prevalence. Observer organizations regularly provide information to the Committee regarding relevant advances in their work on this issue.
- 58. In 2008, the Committee adopted guidelines on the implementation of Article 6 of the SPS Agreement, to facilitate the recognition of pest- and disease-free areas or areas of low pest or disease prevalence (G/SPS/48). The guidelines identify the type of information normally needed for the recognition of regionalization, as well as typical administrative steps in the recognition process. The Committee agreed to monitor the implementation of Article 6, on the basis of information provided by Members.
- 59. Both the IPPC and the OIE have provided guidance for countries seeking to establish, or to be recognized for, pest- or disease-free status. The IPPC currently has two relevant standards, one (ISPM 4) on requirements for the establishment of pest-free areas and another (ISPM 10) for the establishment of pest-free places of production and production sites. In addition IPPC has a number of supporting standards including guidelines for surveillance and a standard under development on low pest prevalence. In March 2007, the IPPC adopted the International Standard for Phytosanitary Measures (ISPM) 29: "Recognition of Pest-Free Areas and Areas of Low Pest Prevalence".
- 60. The OIE Terrestrial Animal Health Code describes the requirements for obtaining disease-free status including requirements for surveillance and monitoring based on the concept of geographic zones. At its 76<sup>th</sup> General Session in May 2008, the OIE adopted a number of resolutions related to recognition of disease-free areas. These are contained in the annexes to document G/SPS/GEN/853. The International Committee has approved a list of countries or zones that had applied for official OIE recognition of their sanitary status concerning four diseases: BSE, FMD, contagious bovine pleuropneumonia (CBPP), and rinderpest. In addition, new resolutions on procedures for the official recognition and maintenance of country/zone status were also adopted

#### IX. IMPLEMENTATION OF THE AGREEMENT – SPECIFIC TRADE CONCERNS

61. In the 2005 Review, the Committee encouraged Members to make use of the opportunity to identify specific trade problems and to seek to find mutually satisfactory resolutions of those

problems. Members were encouraged to inform the Committee of all specific trade concerns resolved, and the Secretariat was requested to continue to provide regularly updated information on the specific trade concerns considered by the Committee.

- 62. Part of each Committee meeting is devoted to the consideration of specific trade concerns raised by Members. At the March 2000 meeting of the SPS Committee, the Secretariat was requested to prepare a paper summarizing the specific trade concerns that had been brought to the Committee's attention since 1995 and to update this document annually to include new information provided by Members. The excerpts below are from the eighth revision and include all issues which have been raised at SPS Committee meetings through to the end of 2007. The ninth revision of G/SPS/GEN/204 will be issued in early 2009.
- 63. Altogether, 261 specific trade concerns were raised between 1995 and the end of 2007. Figure 1 shows the number of new concerns raised each year; 16 new concerns were raised in 2007. Figure 2a categorizes the trade concerns raised over the thirteen years into food safety, animal or plant health issues. It is important to keep in mind, however, that some issues may relate to more than one of these categories. Concerns relating to zoonoses, for example, may concern measures taken with both animal health and food safety objectives. For the purposes of these graphs, a single objective has been designated as the principle concern, however all relevant keywords have been assigned for purposes of electronic searches of the data on specific trade concerns. Overall, 26 per cent of trade concerns relate to food safety concerns, 28 per cent relate to plant health, and 4 per cent concern other issues such as certification requirements or translation. Forty-two per cent of concerns raised relate to animal health and zoonoses. The animal health and zoonoses category is further divided into footand-mouth disease (FMD), transmissible spongiform encephalopathy (TSEs), Avian Influenza (AI) and other animal health concerns (OAH). Figure 2b shows that TSEs account for 36 per cent of animal health concerns, while issues related to foot-and-mouth disease account for 23 per cent. The remaining 41 per cent relate to other animal health concerns and avian influenza.

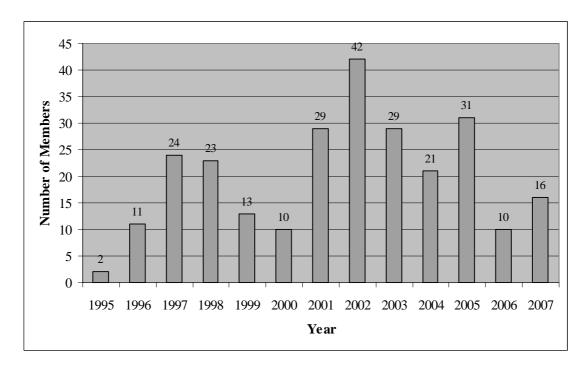


FIGURE 1 – NUMBER OF NEW ISSUES RAISED

<sup>&</sup>lt;sup>30</sup> G/SPS/GEN/204 and Revisions 1 through 8.

FIGURE 2A – TRADE CONCERNS BY SUBJECT

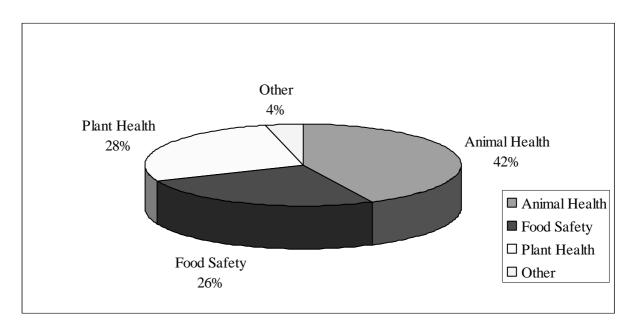
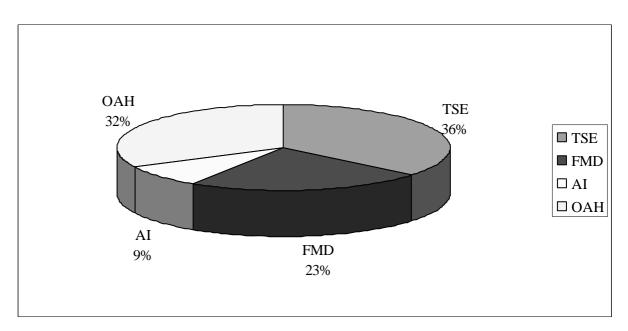


FIGURE 2B – TRADE CONCERNS RELATED TO ANIMAL HEALTH & ZOONOSES



64. Developing country Members are participating actively under this agenda item in the SPS Committee meetings. Figure 3a indicates that over the thirteen years, developing country Members have raised 126 trade concerns (on many occasions more than one Member has raised, supported or maintained an issue) compared to 179 raised by developed country Members and two raised by least-developed country Members.<sup>31</sup> A developing country Member has supported another Member raising an issue in 177 cases, compared to 120 for developed country Members and one for least-developed

<sup>&</sup>lt;sup>31</sup> The European Communities was counted as one Member. Similarly, when one Member spoke on behalf of ASEAN, it was counted as one Member only.

country Members. In 169 cases, the measure at issue was maintained by a developed country Member, and in 125 cases it was maintained by a developing country Member. No trade concerns regarding measures maintained by least-developed country Members have been raised. Figure 3b shows the number of new issues raised each year by developing country Members.

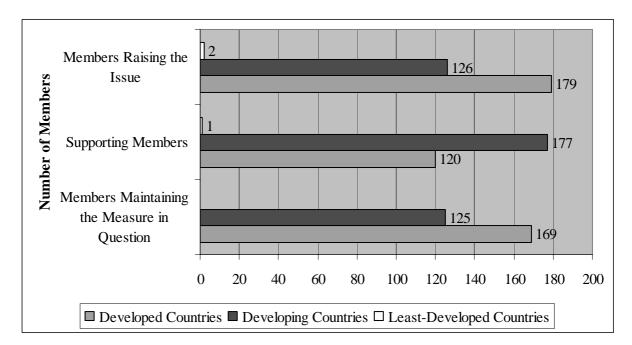
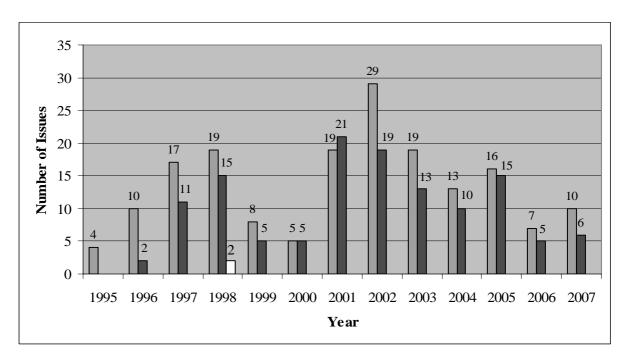


FIGURE 3A – PARTICIPATION BY WTO MEMBERS (1995-2007)





65. Figure 4 indicates that 75 trade concerns have been reported resolved out of the 261 trade concerns raised over the thirteen years. Two issues were reported resolved in 2007. Eighteen trade concerns have been reported partially solved. In these instances, trade may have been allowed for selected products or by some of the importing Members maintaining the measure in question. No solutions have been reported for the remaining 168 trade concerns. It is also likely that other concerns have been resolved without the Committee being aware of these developments.

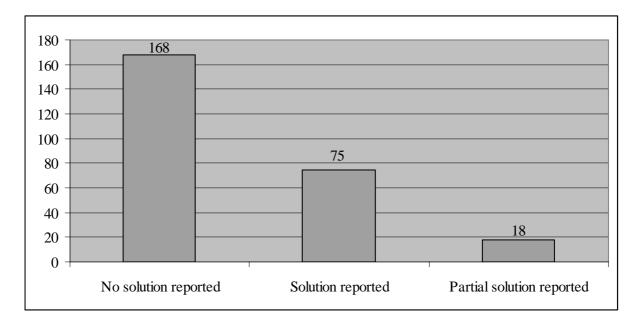


FIGURE 4 – SOLVED TRADE CONCERNS

#### X. PRIVATE VOLUNTARY STANDARDS

66. Since the 2005 Review of the SPS Agreement, there has been wide discussion in the Committee on the issue of private voluntary standards ("private standards"). The discussions considered the impact of commercial and private standards on market access, the effect of private standards on development; and the legal aspects in terms of the relationship of private standards with the SPS Agreement.

67. The issue of private standards was first raised at the June 2005 meeting of the Committee.<sup>32</sup> At that meeting, Saint Vincent and the Grenadines raised a concern regarding the operation of a EurepGAP scheme in relation to trade in bananas with supermarkets in the United Kingdom. The requirement was with respect to the use of certain pesticides. Other Members also expressed concerns with the effects of private standards on their trade. An information session was subsequently organized in the margins of the October 2006 meeting with representatives of EurepGAP and UNCTAD.<sup>33</sup> The Committee also decided to include the issue of private and commercial standards as part of the agenda of its February 2007 meeting. A background note by the Secretariat described the different types of private standards, as well as summarized the types of concerns that had been identified by Members.<sup>34</sup>

<sup>&</sup>lt;sup>32</sup> G/SPS/R/37/Rev.1, paras.16-20.

<sup>&</sup>lt;sup>33</sup> G/SPS/R/43, paras. 40-42.

<sup>&</sup>lt;sup>34</sup> G/SPS/GEN/746.

- 68. In 2008, the Committee continued to discuss the effects of commercial and private SPS standards on trade, and the appropriate role of the SPS Committee. At its June meeting, an informal information session was held with representatives of entities involved in the development and certification of private standards, as well with organizations who have undertaken related studies. Members were invited to propose possible actions by the SPS Committee in response to a series of questions. In October 2008, the Committee agreed to the actions proposed in document G/SPS/W/230, with the following changes: (1) to request that the Secretariat prepare a format for the information solicited for purposes of undertaking a comparative study; (2) that there will be no limitation on the number of products a Member may identify as affected by private standards; and (3) that although Members should make every effort to provide as complete information as possible with regard to each product identified, a lack of complete information should not necessarily prevent consideration of the product within the comparative study.
- 69. Members agreed to continue to work within a small group on an informal and flexible basis, with a commitment to keep the Committee as a whole fully and continuously informed. A list of documents and other information on private standards, including all the documents on private standards circulated in the SPS Committee, has been made available by the Secretariat.<sup>35</sup>

# XI. IMPLEMENTATION OF THE AGREEMENT – USE OF AD HOC CONSULTATIONS

- 70. In the 2005 Review, Members were encouraged to make use of the possibility for ad hoc consultations, including through the Good Offices of the Chairperson of the SPS Committee, to facilitate the resolution of specific trade concerns.
- 71. Article 12.2 states that the Committee "shall encourage and facilitate ad hoc consultations or negotiations among Members on specific sanitary or phytosanitary issues". To date, this has been done through: (1) Members raising specific trade concerns at regular meetings of the SPS Committee; (2) discussions by the Committee of specific issues such as implementation of ISPM 15, or private/commercial standards; and (3) provision in the Committee's Working Procedures for the use of the Good Offices of the Chairperson.
- 72. Document G/SPS/GEN/781 gives a broad overview of different ways in which the SPS Committee has facilitated ad hoc consultations among Members. Most commonly, Members have raised specific trade concerns at meetings of the Committee, and sought bilateral resolutions. During the Second Review, there had been proposals to improve and increase the use of the mechanism, such as providing more time for this purpose at Committee meetings, establishing specific procedures, disseminating information about the resolution of concerns raised in the past and facilitating participation of developing and least developed country Members. Rules and procedures for using the "Good Offices" of the Chair had also been proposed. This confidential procedure had been used on three occasions. In addition, according to Article 5.8, Members could request justification for a measure which did not conform to an international standard. This provision has been invoked by several Members over the years, and some had suggested developing a procedure for its use, however no specific procedure has been proposed.
- 73. The United States and Argentina each tabled proposals on ways to enhance the use of the Chairperson's Good Offices. They offered to submit a joint proposal for consideration at the next meeting of the Committee in February 2009. Both Members took note of the concerns raised with regards to avoiding any conflict with the horizontal mechanism in NAMA on the fast-track resolution of non-trade barriers.

<sup>35</sup> G/SPS/GEN/865.

#### XII. CO-OPERATION WITH THE CODEX, OIE AND IPPC

- 74. In the 2005 Review, the Committee noted that the relationship between the Committee and the Codex, OIE and IPPC should be clarified with a view to facilitating the implementation of the SPS Agreement while avoiding duplication of activities. The Committee also invited Members to provide information regarding their experiences in that regard and to submit specific suggestions for consideration by the Committee.
- 75. The Committee is required to monitor the process of international harmonization and coordinate efforts with these bodies (Article 3.5), and to develop a procedure to monitor the use of international standards, guidelines and recommendations (Article 12.4). The Committee adopted a provisional monitoring procedure in July 1997, which has been subsequently extended and revised (G/SPS/11/Rev.1) (see Section V above). In addition, on the basis of an initiative from a Member, the Committee may, through appropriate channels, invite the relevant international organizations to examine specific matters with respect to a particular standard, guideline or recommendation (Article 12.6). In practice, this has been done through a letter from the Chairperson of the SPS Committee drawing the attention of the Codex, OIE and IPPC to relevant issues that have been identified in the annual report on the monitoring of the use of international standards.
- 76. Representatives from each of these organizations attend the SPS Committee meetings and representatives from the WTO Secretariat attend the meetings of these international organizations as observers. Co-operation between the SPS Committee and the international standard-setting organizations is enhanced by coordinating meeting schedules to facilitate Member participation in regularly scheduled meetings. Several of the activities of the international standard-setting bodies have been discussed in previous Sections of this Background Document. The STDF provides an additional forum for coordination among its partner organizations, including the WTO, IPPC, OIE and Codex.
- 77. In the context of the recommendation arising from 2005 Review, New Zealand tabled a proposal to clarify the relationship between the SPS Committee and the Codex, IPPC and OIE, including a number of questions to be put to the Three Sisters. Japan proposed, in light of the various cross-sectoral issues under consideration such as regionalization or private standards, that the Committee organize a workshop on the standard-setting procedures of the Codex, OIE and IPPC. A special Workshop has been scheduled for October 2009 to examine the work of the Three Sisters and how to enhance the relationship between them and the SPS Committee.

#### XIII. DISPUTE SETTLEMENT

78. Article 11 of the SPS Agreement indicates that the Dispute Settlement Understanding will apply to SPS disputes and provides for the consultation of experts when a dispute involves scientific or technical issues. As of September 2008, over 380 disputes had formally been raised under the WTO's dispute settlement system. Of these, 33 alleged violation of the SPS Agreement, although in five cases this was not the main focus of the dispute. Ten panels have been established to examine eleven complaints: one panel to examine the United States' and Canada's complaints regarding the EC ban on meat treated with growth-promoting hormones; two panels to examine complaints by Canada and the United States against Australia's restrictions on imports of fresh, chilled or frozen salmon; one at the request of the United States to examine Japan's requirement that each variety of certain fruits be tested with regard to the efficacy of fumigation treatment; one regarding Japan's restrictions on apples due to fire blight requested by the United States; one panel to examine the Philippines complaints against Australia's quarantine procedures; one panel to examine complaints by

<sup>&</sup>lt;sup>36</sup> G/SPS/W/206.

<sup>&</sup>lt;sup>37</sup> G/SPS/W/226.

the European Communities against Australia's quarantine procedures; one panel to examine complaints by the United States, Canada and Argentina concerning EC measures affecting the approval and marketing of biotech products; one panel regarding the complaint of the European Communities against the United States and Canada on their continued suspension of obligations relating to the EC-Hormones dispute; and one panel to examine New Zealand's complaint against Australia's restrictions on apples. These cases are further detailed in Appendix B.

APPENDIX A
Summary of major SPS Committee activities, 2005-2008

| Subject                                   | Year | Type of Activity  | <b>Related Documents</b>  |
|---|------|---|---------------------------|
| Consistency                               |      |   |                           |
|   |      |   |                           |
| Equivalence                               |      |   |                           |
| Transparency                              | 2006 | Questionnaire on the operation of SPS Enquiry Points and  | G/SPS/W/103/Rev.2         |
|   |      | National Notification Authorities - Revision  | G/SI 5/ W/105/RCV.2       |
|   | 2007 | Compilation of proposals regarding the revision of the "Recommended procedures for implementing the transparency obligations of the SPS Agreement" (Art. 7) | G/SPS/W/215, Rev.1, Rev.2 |
|   | 2007 | Analysis of replies to the questionnaire on the operation of the Enquiry Points and National Notification Authorities                                       | G/SPS/GEN/751             |
|   | 2007 | Overview regarding the level of the implementation of the transparency provisions of the SPS Agreement  | G/SPS/GEN/804             |
|   | 2008 | Proposal for a "mentoring" system of assistance relating to the transparency provisions of the SPS Agreement  | G/SPS/W/217               |
|   | 2008 | Recommended notification procedures   | G/SPS/7/Rev.3             |
|   | 2008 | Workshop on transparency - October 2007   | G/SPS/R/47                |
|   |      |   |                           |
| <b>Monitoring International Standards</b> | 2005 | Procedure to monitor the process of international harmonization – Seventh annual report   | G/SPS/37                  |
|   | 2006 | Review of the provisional procedure to monitor the process of international harmonization   | G/SPS/W/200               |
|   | 2006 | Decision to modify and extend the provisional procedure to monitor the process of international harmonization   | G/SPS/40                  |
|   | 2006 | Procedure to Monitor the Process of International<br>Harmonization – Eighth Annual Report   | G/SPS/42 &Corr.1          |
|   | 2007 | Procedure to monitor the process of international harmonization – 9 <sup>th</sup> Annual Report   | G/SPS/45                  |

| Subject                            | Year | Type of Activity  | Related Documents   |
|------------------------------------|------|---|---------------------|
|                                    | 2008 | Procedure to monitor the process of international harmonization – 10 <sup>th</sup> annual report                | G/SPS/49            |
| Technical Assistance               | 2005 | Update on the operation of the Standards and Trade Development Facility (STDF)                                  | G/SPS/GEN/595       |
|                                    | 2005 | Review of standards related issues identified in the Integrated Framework Diagnostic Trade Integration Studies  | G/SPS/GEN/545       |
|                                    | 2006 | Update on the operation of the STDF   | G/SPS/GEN/718       |
|                                    | 2006 | Overview of SPS-related technical assistance reported to the WTO/OECD Trade Capacity Building Database          | G/SPS/GEN/726       |
|                                    | 2006 | Workshop on the implementation of the SPS Agreement - March 2006  | G/SPS/R/41          |
|                                    | 2006 | SPS technical assistance and training activities  | G/SPS/GEN/521/Rev.1 |
|                                    | 2007 | Idem  | G/SPS/GEN/521/Rev.2 |
|                                    | 2007 | SPS technical assistance activities in 2007   | G/SPS/GEN/797       |
|                                    | 2007 | Update on the operation of the STDF   | G/SPS/GEN/774       |
|                                    | 2007 | Background document from the STDF for the global review of aid for trade  | G/SPS/GEN/812       |
|                                    | 2008 | SPS technical assistance and training activities  | G/SPS/GEN/521/Rev.3 |
|                                    | 2008 | Workshop on SPS-related capacity evaluation tools   | G/SPS/R/48          |
|                                    | 2008 | Mobilizing aid for trade for SPS-related technical cooperation  – Conclusions from pilot activities of the STDF | G/SPS/GEN/864       |
|                                    | 2008 | Report on Workshop on Good Practice in SPS-related technical assistance   | G/SPS/R/52          |
| Special and Differential Treatment | 2005 | Report on proposals for special and differential treatment  | G/SPS/35            |
|                                    | 2005 | Proposals and progress on special and differential treatment  | G/SPS/GEN/543       |
|                                    | 2006 | Decision to extend the procedures to enhance transparency of S&D in favour of developing country Members        | G/SPS/W/184         |

| Subject   | Year | Type of Activity   | Related Documents                                 |
|---|------|--|---|
|   | 2005 | Special and Differential Treatment – Report by the Chairman to the General Council                                   | G/SPS/39  |
|   | 2006 | Idem   | G/SPS/41  |
|   | 2007 | Idem   | G/SPS/44  |
|   | 2007 | Idem   | G/SPS/46  |
|   | 2008 | Proposed revision of the procedure to enhance transparency of S&D in favour of developing country Members (G/SPS/33) | G/SPS/W/224                                       |
|   | 2008 | Idem - Revision  | G/SPS/W/224/Rev.1                                 |
| Regionalization   | 2006 | Compendium of documents regarding Article 6  | G/SPS/GEN/636 & Corr.1 and Rev.1 and Rev.1/Corr.1 |
|   | 2006 | Issues in the application of Article 6 of the SPS Agreement – Background document                                    | G/SPS/GEN/640 & Rev.1                             |
|   | 2006 | Summary of the special meeting on Article 6  | G/SPS/R/38 & Corr.1                               |
|   | 2008 | Guidelines to further the practical implementation of Article 6 of the SPS Agreement                                 | G/SPS/48  |
| Implementation of the Agreement-<br>Specific Trade Concerns | 2005 | Specific trade concerns  | G/SPS/GEN/204/Rev.5 and Addenda                   |
| •   | 2006 | Idem   | G/SPS/GEN/204/Rev.6 and Addenda                   |
|   | 2007 | Idem   | G/SPS/GEN/204/Rev.7 and Addenda                   |
|   | 2007 | Ad hoc consultations and resolution of trade concerns  | G/SPS/GEN/781                                     |
|   | 2008 | Specific trade concerns  | G/SPS/GEN/204/Rev.8 and Addenda                   |
| Other   | 2005 | Review of the operation and implementation of the SPS Agreement  | G/SPS/36  |

| Subject | Year | Type of Activity   | Related Documents                |
|---------|------|--|----------------------------------|
|         | 2006 | SPS Agreement – Designation of a regional body – Communication from Antigua, Barbuda, Barbados, Cuba, Dominica, Fiji, Grenada, Jamaica, Mauritius, Papua New Guinea, Solomon Islands, St. Kitts and Nevis, St. Vincent and the Grenadines - Revision | WT/COMTD/SE/W/16/Rev.1 and Rev.2 |
|         | 2006 | Committee on Trade and Development in dedicated session – Report to the General Council on measures to assist small economies in meeting their obligations under the Agreements on SPS measures, TBT and TRIPS                                       | WT/COMTD/SE/5                    |
|         | 2007 | Private standards and the SPS Agreement  | G/SPS/GEN/746                    |
|         | 2007 | Joint UNCTAD/WTO informal information session on private standards   | Job(07)/89/Rev.1                 |
|         | 2007 | Relationship with Codex, IPPC and OIE  | G/SPS/GEN/775                    |
|         | 2008 | Private standards – Identifying practical actions for the SPS<br>Committee – Summary of responses  | G/SPS/W/230                      |
|         | 2008 | Report of the STDF information session on private standards  | G/SPS/R/50                       |
|         | 2008 | Proposed Procedure for Third Review  | G/SPS/W/228                      |

#### APPENDIX B

### **WTO Disputes Invoking the SPS Agreement**

Since 1 January 1995, violations of the SPS Agreement have been alleged in the following invocations of the formal dispute settlement provisions of the WTO. Those which have been referred to a panel are highlighted.

|   | DS Number | Parties and nature of complaint   | Panel Report / Appellate<br>Body Report circulation               | Comments   |  |  |
|---|-----------|---|---|--|--|--|
| 1 | WT/DS3    | US complaint against Korea's inspection procedures for fresh fruits   |   | Mutually satisfactory solution notified in July 2001 (G/SPS/GEN/265) |  |  |
| 2 | WT/DS41   | US complaint against Korea's inspection procedures for fresh fruits   |   | Mutually satisfactory solution notified in July 2001 (G/SPS/GEN/265) |  |  |
| 3 | WT/DS5    | US complaint against Korea's shelf-life requirements for frozen processed meats and other products                    |   | Mutually agreed solution notified in July 1995.                      |  |  |
| 4 | WT/DS18   | Canada's complaint against Australia's import restrictions on fresh, chilled or frozen salmon  Australia - Salmon     | WT/DS18/R (1998)<br>WT/DS18/AB/R (1998)<br>WT/DS18/RW (2000)      | Mutually agreed solution notified in May 2000.                       |  |  |
| 5 | WT/DS21   | US complaint against Australia's import restrictions on fresh, chilled or frozen salmon  Australia - Salmonids        |   | Mutually agreed settlement notified in November 2000.                |  |  |
| 6 | WT/DS20   | Canada's complaint against Korea's restrictions on treatment methods for bottled water                                |   | Mutually agreed solution notified in April 1996.                     |  |  |
| 7 | WT/DS26   | US complaint against EC's prohibition of meat from animals treated with growth-promoting hormones  EC – Hormones (US) | WT/DS26/R/USA (1997)<br>WT/DS26/AB/R (1998)<br>WT/DS26/ARB (1999) | Suspension of concessions authorized on 26 July 1999.                |  |  |
| 8 | WT/DS48   | Canada's complaint against EC's prohibition of meat from animals treated with growth-promoting hormones               | WT/DS48/R/CAN (1997)<br>WT/DS48/AB/R (1998)                       | Same panel handled both complaints. See above.                       |  |  |

|    | DS Number | Parties and nature of complaint  | Panel Report / Appellate<br>Body Report circulation | Comments   |
|----|-----------|--|---|--|
|    |           | EC – Hormones (Canada)   | WT/DS48/ARB (1999)                                  |  |
| 9  | WT/DS76   | US complaint against Japan's "varietal testing" requirement for fresh fruits  Japan – Agricultural Products II   | WT/DS76/R (1998)<br>WT/DS76/AB/R (1999)             | Mutually agreed solution notified in September 2001.   |
| 10 | WT/DS96   | EC complaint against India's quantitative restrictions on agricultural and other products                        |   | Mutually agreed solution notified in April 1998.       |
| 11 | WT/DS100  | EC complaint against US restrictions on poultry imports  |   | Consultations requested on 18 August 1997; pending.    |
| 12 | WT/DS133  | Swiss complaint against Slovakia's BSE-related restrictions on cattle and meat                                   |   | Consultations requested on 11 May 1998; pending.       |
| 13 | WT/DS134  | India's complaint against EC restrictions on rice imports  |   | Consultations requested on 25 May 1998; pending.       |
| 14 | WT/DS135  | Canada's complaint against EC (French) measures affecting asbestos   | WT/DS/135/R (2000)<br>WT/DS/135/AB/R (2001)         | SPS Agreement not invoked in the reports.              |
|    |           | EC - Asbestos  |   |  |
| 15 | WT/DS137  | Canada's complaint against EC restrictions due to pine wood nematodes  |   | Consultations requested on 17 June 1998; pending.      |
| 16 | WT/DS144  | Canada's complaint against US state restrictions on movement of Canadian trucks carrying live animals and grains |   | Consultations requested on 25 September 1998; pending. |
| 17 | WT/DS203  | US complaint against Mexico on measures affecting trade in live swine  |   | Consultations requested on 10 July 2000; pending.      |
| 18 | WT/DS205  | Thai complaint against Egypt's GMO-related prohibition on imports of canned tuna with soybean oil                |   | Consultations requested on 22 September 2000; pending. |
| 19 | WT/DS237  | Ecuador's complaint against Turkey's import requirements for fresh fruit, especially bananas                     |   | Mutually agreed solution notified in November 2002.    |
|    |           | Turkey – Fresh Fruit Import Procedures   |   |  |
| 20 | WT/DS245  | US complaint against Japan's restrictions on apples due to fire blight   | WT/DS245/R (2003)                                   | Mutually agreed solution notified on 2 September 2005  |

|    | DS Number | Parties and nature of complaint   | Panel Report / Appellate<br>Body Report circulation | Comments   |
|----|-----------|---|---|--|
|    |           | Japan - Apples  | WT/DS245/AB/R (2003)                                |  |
|    |           |   | WT/DS245/RW (2005)                                  |  |
| 21 | WT/DS256  | Hungarian complaint against Turkey's restrictions on imports of pet food (BSE)                          |   | Consultations requested on 3 May 2002; pending.  |
| 22 | WT/DS270  | Philippine complaint against Australia's restrictions on fresh fruits and vegetables, including bananas |   | Panel established August 2003  |
|    |           | Australia - Fresh Fruit and Vegetables  |   |  |
| 23 | WT/DS271  | Philippine complaint against Australia's restrictions on pineapple                                      |   | Consultations requested on 18 October 2002; pending.   |
| 24 | WT/DS279  | EC complaint against India's Export and Import Policy   |   | Consultations requested on 23 December 2002; pending.  |
| 25 | WT/DS284  | Nicaragua complaint against Mexico's phytosanitary restrictions on black beans                          |   | Mutually agreed solution notified in March 2004.   |
| 26 | WT/DS287  | EC complaint against Australian quarantine regime  Australia – Quarantine Regime                        |   | Panel established November 2003. Mutually agreed solution notified in March 2007   |
| 27 | WT/DS291  | US complaint against EC on GMO approvals  | WT/DS291/R (2006)                                   | Panel report adopted on 21 November 2006. Arbritration   |
|    |           | EC – Approval and Marketing of Biotech<br>Products  |   | requested on the level of suspension of concessions (Art. 22.6) on 7 February 2008; suspended on 15 February 2008                |
| 28 | WT/DS292  | Canadian complaint against EC on GMO approvals  | WT/DS292/R (2006)                                   | Panel report adopted on 21 November 2006   |
|    |           | EC – Approval and Marketing of Biotech<br>Products  |   |  |
| 29 | WT/DS293  | Argentina complaint against EC on GMO approvals   | WT/DS293/R (2006)                                   | Panel report adopted on 21 November 2006   |
|    |           | EC – Approval and Marketing of Biotech<br>Products  |   |  |
| 30 | WT/DS297  | Hungary's complaint against Croatia's restrictions on live animals and meat products (TSEs).            |   | Consultations requested on 9 July 2003; pending.   |
| 31 | WT/DS320  | EC complaint against Canada on its Continued Suspension of Obligations in the EC-Hormones Dispute*      | WT/DS320/R  | Panel report circulated on 31 March 2008. Panel report appealed on 29 May 2008. Appellate Body report issued on 16 October 2008. |

|    | DS Number | Parties and nature of complaint   | Panel Report / Appellate<br>Body Report circulation | Comments   |
|----|-----------|---|---|--|
|    |           | US – Continued Suspension of Obligations in the EC – Hormones Dispute   | WT/DS320/AB/R                                       |  |
| 32 | WT/DS321  | EC complaint against Canada on its Continued Suspension of Obligations in the EC-Hormones Dispute*  US – Continued Suspension of Obligations in the EC – Hormones Dispute | WT/DS321/R WT/DS321/AB/R                            | Panel report circulated on 31 March 2008. Panel report appealed on 29 May 2008. Appellate Body report issued on 16 October 2008. |
| 33 | WT/DS367  | New Zealand complaint against Australia's restrictions on apples  |   | Panel established on 21 January 2008   |

<sup>\*</sup> Neither of these two requests for consultations claim violation of the SPS Agreement, however, one of the issues of concern regards the EC implementation of the rulings in WT/DS26 and WT/DS48 above, hence it is probable that the implementation of the SPS Agreement will be relevant to these disputes

#### **APPENDIX C**

# List of SPS Committee documents submitted by Members 2005-2008

### A. Information on Member's experiences related to Equivalence (Article 4)

| Year | Member             | Title/Subject  | Symbol            |
|------|--------------------|--|-------------------|
| 2005 | Brazil             | Technical Committee on the health and<br>hygiene of fishery products of<br>Argentina, Brazil, Chile and Uruguay –<br>Equivalence of inspection systems | G/SPS/GEN/586     |
| 2007 | Panama             | Determination of the recognition of equivalence  | G/SPS/N/EQV/PAN/1 |
| 2008 | Dominican Republic | Determination of the recognition of equivalence  | G/SPS/N/EQV/DOM/1 |

### **B.** Comments/proposals regarding Transparency (Article 7 and Annex B)

| Year | Member                  | Title/Subject  | Symbol        |
|------|-------------------------|--|---------------|
| 2005 | Kazakhstan              | Transparency   | G/SPS/GEN/544 |
|      | Australia               | Second Review of the SPS Agreement  - Review of the implementation of transparency provisions  | G/SPS/W/197   |
|      | Bulgaria                | Transparency   | G/SPS/GEN/737 |
| 2006 | European<br>Communities | Transparency   | G/SPS/GEN/737 |
|      | Romania                 | Transparency   | G/SPS/GEN/737 |
|      | United States           | Second Review of the SPS Agreement  - Review of the implementation of transparency provisions  | G/SPS/W/197   |
|      | New Zealand             | Idem   |               |
| 2007 | China                   | Proposal on the amendment of "the recommended procedures for implementing the transparency obligations of the SPS Agreement (Article 7)" | G/SPS/W/212   |
|      | European<br>Communities | The EC NNA/EP for the SPS<br>Agreement: experience acquired in the<br>operational procedures and recent<br>experience – Reflection note  | G/SPS/GEN/803 |
| 2008 | Argentina               | Proposals regarding the revision of recommended procedures regarding transparency  | G/SPS/W/220   |
|      | Chile                   | Chile's position on the implementation of the principle of transparency in 2008  | G/SPS/W/221   |

# C. Comments/proposals regarding monitoring the use of international standards (Article 3.5 and 12.4)

| Year | Member                  | Title/Subject  | Symbol        |
|------|-------------------------|--|---------------|
|      | Brazil                  | Brazilian approval of the new revised text of the IPPC 1997  | G/SPS/GEN/600 |
|      | China                   | Monitoring the use of international standards: ISPM 15   | G/SPS/GEN/551 |
|      | Ecuador                 | Establishment of the National Codex<br>Alimentarius Committee  | G/SPS/GEN/591 |
| 2005 | European<br>Communities | Implementation of ISPM 15 from 1<br>March 2005: new requirements for the<br>import of wood packaging material<br>and dunnage for a better protection<br>against the introduction of pests or<br>diseases in the European Union | G/SPS/GEN/556 |
|      | Kingdom of Bahrain      | Adoption of international Codex standards  | G/SPS/GEN/537 |
|      | Mauritius               | Implementation of international standards  | G/SPS/GEN/547 |
|      | Argentina               | ISPM 15  | G/SPS/GEN/653 |
|      | Brazil                  | Brazilian approval of the new revised text of the IPPC 1997  | G/SPS/GEN/696 |
| 2006 | Japan                   | Implementation of ISPM No. 15 as of April 2007   | G/SPS/GEN/739 |
|      | New Zealand             | Relationship between the SPS<br>Committee and the standard-setting<br>bodies   | G/SPS/W/206   |
|      | Sri Lanka               | Procedure to monitor the process of international harmonization  | G/SPS/W/187   |
| 2008 | Japan                   | Relationship between the SPS<br>Committee and Codex, OIE and IPPC  | G/SPS/W/226   |

# <u>D.1 Information regarding Member's provision of technical assistance and training activities (Article 9)</u>

| Year | Member        | Title/Subject   | Symbol                           |
|------|---------------|---|----------------------------------|
| 2005 | New Zealand   | Technical assistance provided to<br>developing country Members by New<br>Zealand since 1 January 1995 -<br>Revision | G/SPS/GEN/352/Rev.1              |
|      | United States | Technical assistance to developing country Members provided by the United States – Addendum/Revisison               | G/SPS/GEN/181/Add.5<br>and Rev.1 |
| 2006 | Australia     | Technical assistance to developing country Members  | G/SPS/GEN/717                    |

| Year | Member                  | Title/Subject   | Symbol              |
|------|-------------------------|---|---------------------|
| 2006 | Canada                  | Technical assistance to developing country Members                                      | G/SPS/GEN/728       |
| 2006 | European<br>Communities | Technical assistance in the SPS field provided by the EC                                | G/SPS/GEN/669       |
|      | Australia               | Technical assistance to developing country Members                                      | G/SPS/GEN/717/Add.1 |
|      | Canada                  | Technical assistance to developing country Members                                      | G/SPS/GEN/765       |
|      | European<br>Communities | EC Technical assistance in the SPS<br>Sector  | G/SPS/GEN/839       |
| 2007 | New Zealand             | Technical assistance for operating the SPS Notification Authority and SPS Enquiry Point | G/SPS/W/214         |
|      | Norway                  | SPS technical assistance  | G/SPS/GEN/879       |
|      | United States           | Technical assistance to developing country Members provided by the United States        | G/SPS/GEN/181/Add.6 |
| 2008 | United States           | Technical assistance to developing country Members provided by the United States        | G/SPS/GEN/181/Add.7 |

# **D.2 Information regarding Member's technical assistance and training needs (Article 9)**

| Year | Member                      | Title/Subject  | Symbol                     |
|------|-----------------------------|--|----------------------------|
| 2005 | Peru                        | Technical assistance within the framework of the WTO Agreement on the Application of SPS Measures  | G/SPS/GEN/579              |
|      | Central African<br>Republic | Technical assistance   | G/SPS/GEN/644              |
| 2006 | Tanzania                    | Technical assistance related to fisheries sector - Experience from the United Republic of Tanzania | G/SPS/GEN/687              |
|      | Costa Rica                  | Technical assistance – Responses to the questionnaire  | G/SPS/GEN/295/Add.29/Rev.1 |
| 2007 | Guatemala                   | Technical assistance – Responses to the questionnaire  | G/SPS/GEN/295/Add.22/Rev.1 |
|      | Rwanda                      | Technical assistance – Responses to the questionnaire  | G/SPS/GEN/295/Add.37       |
| 2008 | Belize                      | Technical Assistance   | G/SPS/GEN/885              |

# E. Comments/proposals regarding special and differential treatment (Article 10)

| Year | Member        | Title/Subject                      | Symbol      |
|------|---------------|------------------------------------|-------------|
| 2006 | United States | Special and differential treatment | G/SPS/W/198 |

| Year | Member | Title/Subject  | Symbol      |
|------|--------|--|-------------|
|      | Egypt  | Statement to the informal meeting                                    | JOB(07)/25  |
| 2007 | Egypt  | Proposed revision of G/SPS/33  | JOB(07)/104 |
|      | Egypt  | Suggested language by Egypt for<br>Article 10.1 of the SPS Agreement | JOB(07)/99  |

# F.1 Comments/proposals regarding Regionalization (Article 6)

| Year | Member                  | Title/Subject   | Symbol        |
|------|-------------------------|---|---------------|
|      | Argentina               | Article 6 of the Agreement on the Application of SPS Measures   | G/SPS/GEN/606 |
|      | Australia               | Monitoring of international harmonization: regionalization  | G/SPS/W/172   |
|      | Brazil                  | Improving the application of Article 6 of the SPS Agreement   | G/SPS/W/177   |
|      | Brazil                  | Recognition of the state of Acre and the municipalities of Boca Do Acre and Guajará in the state of Amazonas, Northern region of Brazil, as Foot-and-Mouth Disease – Free zones where vaccinations is practiced | G/SPS/GEN/601 |
| 2005 | Canada                  | Implementation of Article 6 of the SPS<br>Agreement   | G/SPS/GEN/613 |
| 2005 | Chile                   | Proposal by Chile to further the discussion concerning the implementation of Article 6 on regionalization   | G/SPS/W/171   |
|      | Columbia                | Procedures for recognition of pest- or<br>disease- free areas or areas of low pest<br>or disease prevalence under Article 6<br>of the SPS Agreement   | G/SPS/GEN/611 |
|      | European<br>Communities | Clarification of Article 6 of the SPS<br>Agreement  | G/SPS/GEN/588 |
|      | Japan                   | Implementation of Article 6<br>(Regionalization) of the Agreement on<br>the Application of SPS Measures   | G/SPS/GEN/605 |
|      | Peru                    | Regionalization   | G/SPS/GEN/607 |
| 2006 | Argentina               | Comments on G/SPS/GEN/640 – Article 6   | G/SPS/W/189   |
|      | Brazil                  | Implementation of Art. 6 of the SPS<br>Agreement  | G/SPS/W/185   |
|      | Brazil                  | Comments on G/SPS/GEN/640 – Article 6   | G/SPS/W/189   |
|      | Columbia                | Comments on G/SPS/GEN/640 – Article 6   | G/SPS/W/189   |

| Year | Member                  | Title/Subject  | Symbol                     |
|------|-------------------------|--|----------------------------|
|      | Ecuador                 | Comments on G/SPS/GEN/640 – Article 6  | G/SPS/W/189                |
|      | Ecuador                 | Regionalization  | G/SPS/GEN/623              |
|      | Egypt                   | Article 6 – Procedures for recognition of pest- or disease-free areas or areas of low pest or disease prevalence                                       | G/SPS/W/193                |
|      | Egypt                   | Implementation of Art. 6 – Experience in establishing and Maintaining "Pest-Free Areas"  | G/SPS/GEN/630              |
|      | European<br>Communities | Application of Art. 6 of the SPS Agreement   | G/SPS/GEN/632              |
|      | European<br>Communities | Comments on G/SPS/GEN/640 – Article 6  | G/SPS/W/190                |
|      | Grenada                 | Article 6 of the SPS Agreement   | G/SPS/W/194                |
|      | Japan                   | Issues in the application of Art. 6 of the SPS Agreement   | G/SPS/W/192                |
| 2006 | Korea                   | Article 6 of the SPS Agreement   | G/SPS/W/195                |
| 2000 | Mexico                  | Regionalization  | G/SPS/GEN/622              |
|      | New Zealand             | Comments on G/SPS/GEN/640/Rev.1 – Article 6  | G/SPS/GEN/725              |
|      | New Zealand             | Procedures for recognition of pest- or disease-free area or areas of low pest prevalence under Art. 6 – Comparison of standards developed by the ISSBs | G/SPS/GEN/698 and<br>Rev.1 |
|      | Paraguay                | Comments on G/SPS/GEN/640 – Article 6  | G/SPS/W/189                |
|      | Chinese Taipei          | Comments on G/SPS/GEN/640 – Article 6  | G/SPS/W/205                |
|      | United States           | Comments on G/SPS/GEN/640 – Article 6  | G/SPS/W/199                |
|      | United States           | Additional comments on Art. 6 of the SPS Agreement – Adaptation to regional conditions   | G/SPS/GEN/631              |
|      | Uruguay                 | Comments on G/SPS/GEN/640 – Article 6  | G/SPS/W/189                |
|      | Chile                   | Chile's position on regionalization guidelines for 2008  | G/SPS/W/222                |
| 2008 | New Zealand             | Guidelines to further the practical implementation of Art. 6 of the SPS Agreement  | G/SPS/W/218                |

# F.2 Information regarding Member's experience related to Regionalization (Article 6)

| Year | Member    | Title/Subject   | Symbol                   |
|------|-----------|---|--------------------------|
|      | Brazil    | Pest free area of Minas Gerais state –<br>Micosphaerella fijiensis                | G/SPS/GEN/561            |
|      | Brazil    | Pest free area of Ceará state –<br>Anastrepha grandis                             | G/SPS/GEN/562            |
|      | Brazil    | Foot and mouth disease free zone – Brazilian experience on regionalization        | G/SPS/GEN/584            |
|      | Brazil    | Newcastle disease – Brazilian experience in certifying disease free flocks        | G/SPS/GEN/608            |
|      | Brazil    | Classical swine fever – Brazilian experience in regionalization                   | G/SPS/GEN/609            |
| 2005 | Canada    | Update on developments in Canada regarding Bovine Spongiform Encephalopathy (BSE) | G/SPS/GEN/585            |
|      | Chile     | Notification of recognition of pest- and disease-free zones - Regionalization     | G/SPS/W/181              |
|      | Columbia  | Avian influenza   | G/SPS/GEN/602            |
|      | Nicaragua | Status of the national classical swine fever eradication programme in Nicaragua   | G/SPS/GEN/575            |
|      | Nicaragua | Nicaragua initiates brucellosis – and tuberculosis - free certification for farms | G/SPS/GEN/576            |
|      | Peru      | Current FMD Status  | G/SPS/GEN/558            |
|      | Argentina | Grains, fruits etc. – FMD   | G/SPS/GEN/654            |
|      | Australia | Exercise Eleusis – Avian influenza simulation                                     | G/SPS/GEN/619            |
|      | Australia | Issues in the application of Art. 6 of the SPS Agreement                          | G/SPS/W/191              |
|      | Australia | Issues in the application of Art. 6 of the SPS Agreement - Addendum               | G/SPS/W/191 and<br>Add.1 |
| 2006 | Brazil    | Pest free area of Minas Ceará state –<br>Micosphaerella fijiensis                 | G/SPS/GEN/562/Add.1      |
| 2006 | Brazil    | Area of Rio Grande do Norte State free of <i>Anastrepha grandis</i>               | G/SPS/GEN/642            |
|      | Brazil    | Pest-free area of Bahia state –<br>Mycosphaerella fijiensis                       | G/SPS/GEN/697            |
|      | Canada    | Update on developments regarding BSE  | G/SPS/GEN/635            |
|      | Chile     | Situation regarding BSE   | G/SPS/GEN/633            |
|      | Chile     | Experience in the application of the principle of regionalization                 | G/SPS/GEN/610            |

| Year | Member      | Title/Subject   | Symbol                      |
|------|-------------|---|-----------------------------|
|      | Columbia    | Experiences in regionalization: FMD   | G/SPS/GEN/612               |
|      | Columbia    | Avian influenza H9N2  | G/SPS/GEN/621               |
|      | Egypt       | Experience in establishing and maintaining "Pest-Free Areas"  | G/SPS/GEN/630               |
| 2006 | Mexico      | Regionalization - Information for the recognition of fruit fly-free areas   | G/SPS/GEN/440/Rev.1         |
| 2006 | Nigeria     | Current SPS measures regarding the avian influenza situation  | G/SPS/GEN/637               |
|      | Peru        | Recognition of Southern Peru as a foot-and-mouth disease-free zone where vaccination is not practised   | G/SPS/GEN/578               |
|      | Turkey      | Avian Influenza   | G/SPS/GEN/620 and<br>Rev. 1 |
|      | Brazil      | Pest free area of Ceará state –<br>Anastrepha grandis   | G/SPS/GEN/562/Add.2         |
|      | Panama      | Pest-free area of classical swine fever   | G/SPS/GEN/783               |
| 2007 | Panama      | Certification and/or declaration of an area free of Mediterranean fruit fly in the Azuero peninsula   | G/SPS/GEN/752               |
|      | Philippines | Avian Influenza and FMD status as of 1 March 2007   | G/SPS/GEN/771               |
|      | Argentina   | Foot and mouth disease situation  | G/SPS/GEN/868               |
|      | Belize      | Classical swine fever and FMD-free status   | G/SPS/GEN/861               |
|      | Canada      | Update on an outbreak of highly pathogenic avian influenza (H7N3) in the province of Saskatchewan   | G/SPS/GEN/866               |
|      | Chile       | Recognition of pest- and disease-free areas   | G/SPS/GEN/862               |
| 2008 | Haiti       | Sanitary information – BSE  | G/SPS/GEN/846               |
|      | Mexico      | Report on the classification of Mexico by the OIE regarding BSE   | G/SPS/GEN/858               |
|      | Peru        | Areas found to be free of <i>Stenoma</i> catenifer (Lepidoptera-oecophoridae) on the basis of survey work on this pest conducted in Peruvian coastal areas where avocado ( <i>Persea</i> Americana) is produced | G/SPS/GEN/815               |
|      | Switzerland | BSE   | G/SPS/GEN/844               |
|      | ı           | 1   |                             |

# G. Comments/proposals regarding Monitoring Implementation of the Agreement (Articles 12.1 and 12.2)—Specific trade concerns

| Year | Member                  | Title/Subject   | Symbol        |
|------|-------------------------|---|---------------|
|      | Costa Rica              | Panama – Imports of products of animal origin   | G/SPS/GEN/582 |
| 2005 | Sri Lanka               | Trade difficulties encountered in the export of Sri Lankan cinnamon to the European Communities       | G/SPS/GEN/597 |
|      | Uruguay                 | Undue delays  | G/SPS/W/169   |
|      | Argentina               | Review of concerns raised by Members pending their resolution   | G/SPS/GEN/693 |
|      | Columbia                | Proposal for preventing undue delays in the entry of animals, plants and their products               | G/SPS/W/201   |
|      | Columbia                | EC Regulation 258/97 on Novel Foods   | G/SPS/GEN/735 |
|      | Ecuador                 | EC Regulation 258/97 on Novel Foods   | G/SPS/GEN/714 |
| 2006 | European<br>Communities | Questions and answers on the EC control measures for avian influenza                                  | G/SPS/GEN/641 |
| 2000 | European<br>Communities | Reply of the EC to the communication<br>from Peru concerning Regulation<br>258/97 on Novel Foods      | G/SPS/GEN/699 |
|      | Peru                    | Regulation 258/97 Of The European<br>Parliament And Of The Council<br>Concerning Novel Foods          | G/SPS/GEN/681 |
|      | Peru                    | EC Regulation 258/97 on Novel Foods   | G/SPS/GEN/713 |
|      | Peru                    | EC Regulation 258/97 on Novel Foods   | G/SPS/GEN/733 |
|      | Uganda                  | Fish exports from Lake Victoria   | G/SPS/GEN/685 |
| 2007 | New Zealand             | Australia – Measures affecting the importation of apples from New Zealand – Request for consultations | G/SPS/GEN/796 |
|      | Thailand                | Undue delays in relation to Article 5 (risk assessment) and interim measures                          | G/SPS/GEN/769 |
| 2009 | Argentina               | Buenos oficios del Presidente   | G/SPS/W/219   |
| 2008 | United States           | Article 12.2 – Consultations  | G/SPS/W/227   |

# H. Review of the Agreement

| Year | Member     | Title/Subject  | Symbol      |
|------|------------|--|-------------|
| 2005 | Costa Rica | Second review of the operation and implementation of the SPS Agreement – Prioritization of issues for the future work programmes of the SPS Committee. | G/SPS/W/180 |

| Year | Member      | Title/Subject   | Symbol        |
|------|-------------|---|---------------|
| 2005 | New Zealand | Second review of the operation and implementation of the SPS Agreement – Work programme   | G/SPS/W/179   |
|      | Brazil      | Second Review of the SPS Agreement  - Prioritization of issues for the future work programme of the SPS Committee   | G/SPS/W/182   |
|      | Canada      | Second Review of the SPS Agreement  - Clarification of the terms "measures" and "regulations" as contained in the SPS Agreement   | G/SPS/W/186   |
|      | Chile       | Second Review of the SPS Agreement  - Undue delays  | G/SPS/W/202   |
| 2006 | Chile       | Second Review of the SPS Agreement  - Harmonization, relationship with int. organizations and int. standards  | G/SPS/W/203   |
|      | Chile       | Second review of the SPS – Proposed differences or clarifications procedure   | G/SPS/W/204   |
|      | Columbia    | Second Review of the SPS Agreement  - Priority topics to be taken into account in the future work of the Committee  | G/SPS/W/188   |
|      | Costa Rica  | Second Review of the SPS Agreement  – Discussion proposal for the more effective implementation of the ad hoc consultations mechanism within the framework of Art. 12.2 | G/SPS/W/183   |
|      | New Zealand | Second Review of the SPS Agreement  - Review of the implementation of transparency provisions   | G/SPS/W/197   |
| 2007 | Canada      | Second review of the SPS Agreement  - Transparency enhancement proposal   | G/SPS/GEN/778 |

### I. China Transitional Review

| Year | Member                  | Title/Subject  | Symbol        |
|------|-------------------------|--|---------------|
|      | European<br>Communities | Transitional Review Mechanism under paragraph 18 of the Protocol of Accession of the People's Republic of China – Comments for China                     | G/SPS/W/178   |
| 2005 | United States           | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the United States | G/SPS/GEN/594 |

| Year | Member                  | Title/Subject   | Symbol      |
|------|-------------------------|---|-------------|
| 2006 | European<br>Communities | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the European Communities | G/SPS/W/208 |
|      | United States           | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the United States        | G/SPS/W/207 |
|      | European<br>Communities | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the European Communities | G/SPS/W/216 |
| 2007 | United States           | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the United States        | G/SPS/W/213 |
| 2000 | European<br>Communities | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the European Communities | G/SPS/W/231 |
| 2008 | United States           | Transitional Review Mechanism pursuant to paragraph 18 of the Protocol of Accession of the People's Republic of China – Questions from the United States        | G/SPS/W/229 |

# J. Private Standards

| Year | Member                         | Title/Subject   | Symbol        |
|------|--------------------------------|---|---------------|
|      | Ecuador                        | Private and commercial standards                                  | G/SPS/GEN/792 |
| 2007 | St. Vincent and the Grenadines | Private industry standards  | G/SPS/GEN/766 |
|      | United Kingdom                 | Private voluntary standards within the WTO multilateral framework | G/SPS/GEN/802 |
| 2008 | Uruguay                        | Terms of reference for the working group on private standards     | G/SPS/W/225   |
|      | Uruguay                        | Private standards   | G/SPS/GEN/843 |

# K. Implementation of the SPS Agreement

| Year | Member             | Title/Subject   | Symbol        |
|------|--------------------|---|---------------|
|      | Bangladesh         | Implementation of the SPS Agreement - Information for the workshop on 31 March 2006 | G/SPS/GEN/676 |
|      | Benin              | Idem  | G/SPS/GEN/670 |
|      | Burkina Faso       | Idem  | G/SPS/GEN/662 |
|      | Burundi            | Idem  | G/SPS/GEN/674 |
|      | Cameroon           | Idem  | G/SPS/GEN/671 |
|      | Congo              | Idem  | G/SPS/GEN/659 |
|      | Costa Rica         | Idem  | G/SPS/GEN/679 |
|      | Chad               | Idem  | G/SPS/GEN/667 |
|      | Columbia           | Idem  | G/SPS/GEN/652 |
|      | The Gambia         | Idem  | G/SPS/GEN/664 |
|      | Guatemala          | Idem  | G/SPS/GEN/682 |
|      | Haiti              | Idem  | G/SPS/GEN/677 |
|      | Honduras           | Idem  | G/SPS/GEN/683 |
|      | Jamaica            | Idem  | G/SPS/GEN/645 |
| 2006 | Kenya              | Idem  | G/SPS/GEN/660 |
| 2006 | Madagascar         | Idem  | G/SPS/GEN/672 |
|      | Mauritania         | Idem  | G/SPS/GEN/684 |
|      | Mauritius          | Idem  | G/SPS/GEN/657 |
|      | Cuba               | Idem  | G/SPS/GEN/655 |
|      | Mongolia           | Idem  | G/SPS/GEN/675 |
|      | Nepal              | Idem  | G/SPS/GEN/656 |
|      | Niger              | Idem  | G/SPS/GEN/678 |
|      | Dominican Republic | Idem  | G/SPS/GEN/691 |
|      | Egypt              | Idem  | G/SPS/GEN/647 |
|      | Egypt              | Idem  | G/SPS/GEN/649 |
|      | Egypt              | Idem  | G/SPS/GEN/651 |
|      | Nigeria            | Idem  | G/SPS/GEN/686 |
|      | Pakistan           | Idem  | G/SPS/GEN/661 |
|      | Pakistan           | Idem  | G/SPS/GEN/692 |
|      | South Africa       | Idem  | G/SPS/GEN/690 |
|      | Togo               | Idem  | G/SPS/GEN/665 |

| Year | Member              | Title/Subject | Symbol        |
|------|---------------------|---------------|---------------|
| 2006 | Trinidad and Tobago | Idem          | G/SPS/GEN/680 |
|      | Peru                | Idem          | G/SPS/GEN/668 |
|      | Uganda              | Idem          | G/SPS/GEN/673 |
|      | Zimbabwe            | Idem          | G/SPS/GEN/663 |

# L. Other

| Year | Member                  | Title/Subject   | Symbol        |
|------|-------------------------|---|---------------|
|      | Costa Rica              | Phytosanitary certificate   | G/SPS/GEN/604 |
|      | Cuba                    | Measures implemented in the field of veterinary medicine  | G/SPS/GEN/538 |
|      | Dominican Republic      | Current domestic measures to facilitate the implementation of the WTO Agreement on the Application of SPS Measures  | G/SPS/GEN/587 |
| 2005 | European<br>Communities | Traceability of food, feed, food-<br>producing animals, and any other<br>substance intended to be, or expected<br>to be, incorporated into a food or feed<br>imported into the Community for<br>placing on the market | G/SPS/GEN/539 |
|      | European<br>Communities | Questions and answers on the procedure to obtain import tolerances and the inclusion of active substances for plant protection uses in the European Communities list  | G/SPS/GEN/557 |
|      | Columbia                | Centre for Phytosanitary Excellence – CEF – A Colombian cooperation initiative pursuant to Art. 5 of the SPS Agreement  | G/SPS/GEN/702 |
|      | Colombia                | Risk analysis   | G/SPS/GEN/734 |
|      | Colombia                | Strengthening of Colombia's system of sanitary and phytosanitary measures   | G/SPS/GEN/736 |
| 2006 | Cuba                    | Measures implemented in the field of veterinary medicine  | G/SPS/GEN/615 |
| 2006 | European<br>Communities | Public consultation on the impact assessment of Regulation 258/97 on novel foods and food ingredients   | G/SPS/GEN/700 |
|      | European<br>Communities | Call for early comments on a<br>Commission report on animal by-<br>products not intended for human<br>consumption   | G/SPS/GEN/719 |
|      | European<br>Communities | Adaptation of the common veterinary entry document to the trade control and export system (TRACES)  | G/SPS/GEN/742 |

| Year | Member                  | Title/Subject   | Symbol                                 |
|------|-------------------------|---|--|
|      | Paraguay                | Ban on the registration and importation of high-risk insecticides   | G/SPS/GEN/688                          |
| 2006 | Paraguay                | Health status report  | G/SPS/GEN/689                          |
|      | Paraguay                | Phytosanitary status report   | G/SPS/GEN/711                          |
|      | Paraguay                | Health status report  | G/SPS/GEN/712                          |
|      | Argentina               | MRLs for pesticides – Impact on exports from developing country Members   | G/SPS/W/211 & Corr.1 (in English only) |
|      | Bolivia                 | Slaughter of imported breeding cattle   | G/SPS/GEN/768                          |
|      | Costa Rica              | Clean stock program for <i>Dracaena</i> spp. intended for export to the US market   | G/SPS/GEN/784                          |
| 2007 | European<br>Communities | Call for comments on a Commission consultation on the review of Reg. (EC) 1774/2002 laying down health rules concerning animal by-products not intended for human consumption                             | G/SPS/GEN/773                          |
|      | European<br>Communities | Certification regime applicable for imports into the EC of bovine animals and of certain products of animal origin with regard to provisions related to certain transmissible spongiform encephalopathies | G/SPS/GEN/799                          |
|      | Chinese Taipei          | Veterinary and phytosanitary certificates   | G/SPS/GEN/744 &<br>Corr.1              |
|      | Chile                   | Bilateral agreements  | G/SPS/GEN/863                          |
|      | Ecuador                 | MRL for pineapple   | G/SPS/GEN/841/Rev.1                    |
|      | Paraguay                | Information from Members  | G/SPS/GEN/876                          |
| 2000 | Paraguay                | Communication to the SPS Committee  | G/SPS/GEN/852                          |
| 2008 | Singapore               | Optical watermark on export certificates  | G/SPS/GEN/859                          |
|      | Venezuela               | Comprehensive agricultural health system  | G/SPS/GEN/854                          |
|      | Zambia                  | Information on various SPS matters  | G/SPS/GEN/836                          |