WORLD TRADE

ORGANIZATION

RESTRICTED

G/TBT/8

31 January 2000

(00-0358)

Committee on Technical Barriers to Trade

FIFTH ANNUAL REVIEW OF THE IMPLEMENTATION AND OPERATION OF THE AGREEMENT

Background Document by the Secretariat

The Committee will conduct its fifth annual review under Article 15.3 of the Agreement at its meeting on 25 February 2000. This document contains information on developments in the implementation and operation of the Agreement during the period from 1 January to 31 December 1999.

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I. COMMITTEE ON TECHNICAL BARRIERS TO TRADE

1.1 Composition of the Committee

Chairperson: Mohan Kumar (India)

Members¹

France Angola Morocco Antigua and Barbuda Gabon Mozambique Myanmar Argentina Gambia Australia Germany Namibia Austria Ghana Netherlands Greece New Zealand Bahrain Bangladesh Grenada Nicaragua Barbados Guatemala Niger Belgium Guinea Bissau Nigeria Belize Guinea, Rep.of Norway Benin Guyana Pakistan Bolivia Haiti Panama

Botswana Honduras Papua New Guinea

Hong Kong, China **Brazil** Paraguay Peru Brunei Darussalam Hungary Iceland Philippines Bulgaria Burkina Faso Poland India Burundi Indonesia Portugal Qatar Cameroon Ireland Romania Canada Israel Central African Republic Italy Rwanda

Chad Jamaica Saint Kitts and Nevis

Chile Japan Saint Lucia

Colombia Kenya Saint Vincent & Gren.

CongoKoreaSenegalCongo, Democratic Rep of theKuwaitSierra LeoneCosta RicaKyrgyz RepublicSingaporeCôte d'IvoireLatviaSlovak RepublicCubaLesothoSlovenia

Cyprus Liechtenstein Solomon Islands

Czech Republic Luxembourg South Africa Denmark Macau Spain Diibouti Madagascar Sri Lanka Dominica Malawi Suriname Dominican Republic Malavsia Swaziland EC Maldives Sweden Ecuador Mali Switzerland Egypt Malta Tanzania El Salvador Mauritania Thailand Estonia Mauritius Togo

Fiji Mexico Trinidad and Tobago

Finland Mongolia Tunisia

¹Members and observers on 31 December 1999.

TurkeyUnited KingdomVenezuelaUgandaUnited StatesZambiaUnited Arab EmiratesUruguayZimbabwe

Observer Governments²

Albania Ethiopia Russian Federation

Algeria Former Yugoslav Rep. of Samoa
Andorra Macedonia Saudi Arabia
Armenia Georgia Seychelles
Azerbaijan Jordan Sudan

Belarus Kazakstan Chinese Taipei

Bhutan Lao Tonga Ukraine Bosnia and Herzegovina Lebanon Cambodia Lithuania Uzbekistan Cape Verde Moldova Vanuatu Viet Nam China Nepal Croatia Oman Yemen

Observer Organizations

ACP³, ALADI³ EFTA³ FAO, IEC, IMF, ISO, ITC, OECD, OIE, OIML³ UNCTAD, UN/ECE, WHO, WHO/FAO, UNIDO³, Codex Alimentarius Commission and the World Bank

1.2 <u>Main Developments of the Committee during the Current Period</u>

The Committee held its sixteenth, seventeenth, and eighteenth meetings on 31 March, 11 June, and 1 October 1999 (G/TBT/M/15-17) respectively. At those meetings, the Committee heard statements on the implementation and administration of the Agreement, technical assistance and observer status. A number of Members informed the Committee of measures taken to ensure the implementation and administration of the Agreement. Several measures were brought to the attention of the Committee by Members who raised concerns about the potential adverse trade effects or inconsistency with the Agreement of those measures. The Committee also held discussions concerning trade facilitation.

At its meeting in March, the Committee carried out its Fourth Annual Review of the Implementation and Operation of the Agreement under Article 15.3 (G/TBT/7) and the Code of Good Practice for the Preparation, Adoption and Application of Standards, Annex 3 of the Agreement (WTO TBT Standards Code Directory - fourth addition, G/TBT/CS/1/Add.3 and G/TBT/CS/2/Rev.5). It agreed to grant observer status to the United Nationals Industrial Development Organization (UNIDO) on an ad hoc basis. It adopted a number of decisions and recommendations to speed up the procedures for notifications and information exchange through means of electronic dissemination (G/TBT/1/Rev.6). In relation to that, a Survey was carried out by the Secretariat on the electronic facilities available in national enquiry points (G/TBT/W/105 and Suppl.1-2).

At its meetings in 1999, the Committee continued its work programme arising from the First Triennial Review of the Operation and Implementation of the Agreement under Article 15.4 (G/TBT/5). Discussions were held on the following elements: (i) implementation and administration of the Agreement; (ii) operation and implementation of the notification procedures; (iii) acceptance, implementation and operation of the Code of Good Practice for the Preparation, Adoption and

²Members and observers on 31 December 1999.

³Observer status on an ad hoc basis.

Application of Standards; (iv) international standards, guides and recommendations; (v) preparation, adoption and application of technical regulations; (vi) conformity assessment procedures; (vii) technical assistance; (viii) special and differential treatment; and (ix) other elements. Further papers and proposals were prepared by delegations and the Secretariat (G/TBT/W/75/Rev.1, G/TBT/W/87/Rev.1, G/TBT/W/103, 106, 110, 111, 112 and Rev.1, G/TBT/W/113, 117, 118, 121 and Corr.1 and G/TBTW/124).

A WTO Symposium on Conformity Assessment Procedures was held on 8-9 June to deepen Members' understanding on the following issues concerning conformity assessment procedures: (i) relevant international guides and recommendations for conformity assessment; (ii) types of conformity assessment procedures and the conditions for their application; (iii) various approaches for the recognition of the results of conformity assessment procedures; and (iv) the role of international and regional systems for conformity assessment. Experts from business, testing laboratory, inspection, certification, accreditation, metrology, and standardizing bodies, as well as regional and international systems were invited as speakers and panelists. Factual non-binding summary reports of the Symposium and its Sessions were prepared by the Chairman and the rapporteurs under their own responsibilities.

At its meeting in October, the Committee adopted its Report (1999) to the Council for Trade in Goods (G/L/327).

II. IMPLEMENTATION AND ADMINISTRATION

2.1 <u>Statements on Implementation and Administration of the Agreement (Article 15.2)</u>

Table 1 - Statements on Implementation and Administration of the Agreement received in 1999

Members	Date of entry into Force	Documents containing Members' Statements on Implementation and Administration of the Agreement
COSTA RICA	1 January 1995	G/TBT/2/Add.51
LATVIA	10 February 1999	G/TBT/2/Add.52
PANAMA	6 September 1997	G/TBT/2/Add.53
URUGUAY	1 January 1995	G/TBT/2/Add.54
ICELAND	1 January1995	G/TBT/2/Add.55
INDIA	1 January 1995	G/TBT/2/Add.56
JAMAICA	9 March 1995	G/TBT/2/Add.57

III. NOTIFICATIONS

3.1 <u>Notifications made in the current year and since entry into force of the Agreement by Members and by the relevant Articles of the Agreement</u>

In 1999, Members submitted 669 notifications (G/TBT/Notif.99/1-672/Corr.1). Since the entry into force of the Agreement on 1 January 1995 up to 31 December 1999, 2936 notifications have been made by 55 Members. Article 2.9, 2.10, 3.2, 5.6, 5.7, and 7.2 were specified in 2639, 98, 3, 185, 38 and 3 notifications respectively. Eighty seven notifications did not refer to any Articles.

Table 2 - Notifications made by Members and by relevant Articles of the Agreement in the current year and since entry into force of the Agreement

Number of		N	umber of no	otifications m	ade under t	he relevant A	articles in 1	999	Total number of
Members	notifications made in 1999	2.9	2.10	3.2	5.6	5.7	7.2	not specified	notifications made since 1 January 1995
Argentina ⁴	16	4	3	0	0	10	0	0	18
Australia	21	18	3	0	0	0	0	0	88
Austria	1	0	0	0	1	0	0	0	4
Belgium	22	22	0	0	0	0	0	0	148
Benin	0	0	0	0	0	0	0	0	2
Bolivia	2	0	2	0	0	0	0	0	22
Botswana	0	0	0	0	0	0	0	0	1
Brazil	16	11	4	0	0	0	0	1	103
Canada	24	19	0	0	5	0	0	0	109
Chile ⁵	30	21	1	0	9	0	0	0	41
Colombia	3	1	0	0	2	0	0	0	37
Costa Rica	3	3	0	0	0	0	0	0	11
Cyprus	0	0	0	0	0	0	0	0	1
Czech Republic ⁶	29	27	0	0	2	0	0	1	62
Denmark	27	16	0	0	0	0	0	11	130
El Salvador	11	9	1	0	0	0	0	1	43
Egypt	0	0	0	0	0	0	0	0	6
European Communities ⁷	35	28	1	0	4	0	0	3	168
Fiji	0	0	0	0	0	0	0	0	1
Finland	2	2	0	0	0	0	0	0	19
France ⁸	19	18	3	0	0	4	0	1	57
Germany	1	1	0	0	0	0	0	0	8
Hong Kong, China	4	3	0	0	1	0	0	0	23
Iceland	1	1	0	0	0	0	0	0	1

⁴ Member notifying under more than one article.
⁵ Member notifying under more than one article.
⁶ Member notifying under more than one article.
⁷ Member notifying under more than one article.
⁸ Member notifying under more than one article.

	Number of	N	umber of no	otifications m	ade under t	he relevant A	Articles in 1	999	Total number of
Members	notifications made in 1999	2.9	2.10	3.2	5.6	5.7	7.2	not specified	notifications made since 1 January 1995
India	1	0	0	0	1	0	0	0	45
Israel	0	0	0	0	0	0	0	0	55
Jamaica	9	9	0	0	0	0	0	0	22
Japan ⁹	30	29	0	0	8	0	0	0	177
Republic of Korea ¹⁰	21	19	0	0	6	2	0	0	65
Latvia	2	2	0	0	0	0	0	0	2
Macau	0	0	0	0	0	0	0	0	4
Malaysia ¹¹	98	98	0	0	11	0	0	0	158
Mexico	34	30	4	0	0	0	0	0	154
Netherlands	47	47	0	0	0	0	0	0	483
New Zealand	13	13	0	0	0	0	0	0	24
Norway	2	2	0	0	0	0	0	0	52
Peru	1	1	0	0	0	0	0	0	1
Philippines	19	16	0	0	3	0	0	0	42
Poland	0	0	0	0	0	0	0	0	1
Senegal	0	0	0	0	0	0	0	0	1
Singapore	1	1	0	0	0	0	0	0	15
Slovak	0	0	0	0	0	0	0	0	30
Republic Slovenia	2	2	0	0	0	0	0	0	2
South Africa	10	10	0	0	0	0	0	0	35
Spain	9	9	0	0	0	0	0	0	34
Sri Lanka	0	0	0	0	0	0	0	0	1
Sweden ¹²	8	8	0	0	1	0	0	0	78
Switzerland	19	19	0	0	0	0	0	0	62
Thailand	21	19	2	0	0	0	0	0	99

⁹ Member notifying under more than one article.
¹⁰ Member notifying under more than one article.
¹¹ Member notifying under more than one article.
¹² Member notifying under more than one article.

Members	Number of	N	umber of no	otifications ma	nde under tl	he relevant A	rticles in 19	999	Total number of
	notifications made in 1999	2.9	2.10	3.2	5.6	5.7	7.2	not specified	notifications made since 1 January 1995
Trinidad & Tobago	3	3	0	0	0	0	0	0	6
Tunisia	1	1	0	0	0	0	0	0	1
Turkey	0	0	0	0	0	0	0	0	4
United Kingdom	5	5	0	0	0	0	0	0	10
United States	45	44	0	0	0	0	0	1	169
Uruguay	1	1	0	0	0	0	0	0	1
TOTAL	669	592	24	0	54	16	0	19	2936

3.1.1 Objectives and Rationales stated in Notifications¹³

Table 3 - Objectives and Rationales stated in Notifications in 1999

Objectives and Rationales	Notifications received in 1999
National Security Requirements	1
Consumer Information, Labelling	27
Prevention of Deceptive Practices and consumer protection	50
Protection of Human Health or Safety	212
Protection of Animal or Plant Life or Health	4
Protection of the Environment	46
Quality Requirements	26
Harmonization	50
Adaptation to New Domestic Law and Technology	62
Lowering or Removal of Trade Barriers	10
Trade Facilitation	2
Cost Saving and Increasing Productivity	5
Others	173
Not specified	1
Total	669

3.1.2 Observation of the Recommended Comment Period by Members in the current year¹⁴

The Committee has recommended 60 days as a minimum length of time to be allowed for the presentation of comments from other Members (G/TBT/1/Rev.6). In 1999, Members allowed an average of 46.5 calendar days for comments. Ninety-eight notifications did not specify a comment period, stated as non-applicable or had a lapsed comment period. The table on the following pages gives the relevant data on the observation of the recommended comment period by each Member in 1999.

 $^{^{13}}$ The following classification of objectives and rationales is based on the criteria laid down in document G/TBT/W/18.

¹⁴Calculated on the basis that the date of issue of the notification (in English) by the WTO Secretariat is the first day.

Table 4 – Observation of the recommended comment period by Members in 1999

	Nur	nber of notificat	ions made with o	comment period		
Members	Less than 45 days	45-59 days	60 days or more	Not specified, lapsed, or stated as non- applicable	of which notified under Articles 2.10 and 5.7	Total
Argentina	0	0	0	16	13	16
Australia	4	11	4	2	3	21
Austria	1	0	0	0	0	1
Belgium	0	0	0	22	0	22
Bolivia	0	0	0	2	2	2
Brazil	5	0	0	11	4	16
Canada	8	11	5	0	0	24
Chile	1	26	2	1	1	30
Colombia	1	0	0	2	0	3
Costa Rica	0	0	3	0	0	3
Czech Republic	16	6	7	0	0	29
Denmark	11	2	6	8	0	27
El Salvador	3	6	1	1	1	11
European Communities	1	1	32	1	0	35
Finland	0	0	2	0	0	2
France	1	3	13	2	4	19
Germany	0	0	1	0	0	1
Hong, Kong, China	0	1	3	0	0	4
Iceland	0	0	1	0	0	1
India	0	0	0	1	0	1
Jamaica	0	5	3	1	0	9
Japan	9	15	6	0	0	30
Republic of Korea	13	3	0	5	2	21
Latvia	1	0	0	1	0	2
Malaysia	87	11	0	0	0	98
Mexico	28	2	0	4	2	34
Netherlands	15	24	5	3	0	47
New Zealand	3	10	0	0	0	13

Number of notifications made with comment period							
Members	Less than 45 days	45-59 days	60 days or more	Not specified, lapsed, or stated as non- applicable	of which notified under Articles 2.10 and 5.7	Total	
Norway	1	0	0	1	0	2	
Peru	1	0	0	0	0	1	
Philippines	4	7	8	0	0	19	
Singapore	1	0	0	0	0	1	
Slovenia	1	1	0	0	0	2	
South Africa	0	0	0	10	0	10	
Spain	3	2	1	3	0	9	
Sweden	2	0	6	0	0	8	
Switzerland	3	10	6	0	0	19	
Thailand	1	2	18	0	0	21	
Trinidad and Tobago	3	0	0	0	0	3	
Tunisia	1	0	0	0	0	1	
United Kingdom	0	1	4	0	0	5	
United States	13	6	25	1	0	45	
Uruguay	1	0	0	0	0	1	
TOTAL	243	166	162	98	32	669	

3.2 <u>Notifications under Article 10.7 - Bilateral or Multilateral Agreements reached by Members on issues related to Technical Regulations, Standards or Conformity Assessment Procedures</u>

In 1999, 10 notifications were made under Article 10.7 (G/TBT/10.7/19-28), notifying the bilateral or multilateral agreements reached by Kenya, Uganda and Tanzania; United States and the European Community; Latvia, Lithuania and Estonia; Thailand and Australia; Australia and the European Community; Australia, Iceland, Liechtenstein and Norway; Switzerland and Canada; and New Zealand and the European Community.

IV. PUBLICATIONS AND ENQUIRY POINTS

4.1 <u>Publications used to announce that work is proceeding on draft technical regulations and conformity assessment procedures under Articles 2.9.1 and 5.6.1 of the Agreement</u>

Relevant information is contained in documents G/TBT/2 and addenda.

- 4.2 <u>Publications in which the final texts of technical regulations and conformity assessment procedures are published under Articles 2.11 and 5.8 of the Agreement</u>
 - Relevant information is contained in documents G/TBT/2 and addenda.
- 4.3 Enquiry points under Article 10 of the Agreement

Updated lists of WTO TBT Enquiry Points under Articles 10.1 and 10.2 of the Agreement are contained in documents G/TBT/ENQ/1-15.

V. TECHNICAL ASSISTANCE AND SPECIAL AND DIFFERENTIAL TREATMENT

In 1999, the Secretariat held TBT Seminars jointly with the ISO, ITC, EAC and OAS in Japan, Tanzania, the United States and South Africa for groups of countries from East and South-East Asia, East Africa, Latin America and SADC region respectively. Funds were provided by the Japanese Industrial Standards Committee (JISC), the Japanese Standards Association (JSA) and the WTO-U.K. Trust Fund to finance participants attending the Seminars in Japan and South Africa.

VI. DISPUTE SETTLEMENT UNDER ARTICLE 14

Dispute	Request for Consultations	Request to Join Consultations	Mutually Agreed Solution	Panel Established Circulated	Panel Report	Notice of Appeal	Appellate Report Circulated
European	3 June 1998	12 June 1998		25 November			
Communities-	Canada	Brazil		1998			
Measures	(WT/DS135/1)	(WT/DS135/2)					
Affecting	(G/TBT/D/15)						
Asbestos and							
Products							
containing							
Asbestos							
European	8 June 1998						
Communities-	India						
Restrictions on							
Certain Import	(G/TBT/D/16)						
Duties on Rice							
European	24 June 1998						
Communities	Canada						
Measures	(WT/DS137/1)						
Affecting	(G/TBT/D/17)						
Imports of							
Wood of							
Conifers from							
Canada							
United States-	29 Sept. 1998						
Certain	Canada						
Measures	(WT/DS144/1)						
Affecting the	(G/TBT/D/18)						
Import of Cattle,							
Swine and Grain							
from Canada							

Dispute	Request for Consultations	Request to Join Consultations	Mutually Agreed Solution	Panel Established Circulated	Panel Report	Notice of Appeal	Appellate Report Circulated
United States-	25 Nov. 1998	8 Dec. 1998					
Measures	European	Dominican					
Affecting	Communities	Republic					
Textiles and	(WT/DS151/1)	(WT/DS151/2)					
Apparel	(G/TBT/D/19)						
Products (II)		8 Dec. 1998					
		Hong Kong,					
		China					
		(WT/DS151/3)					
		9 Dec. 1998					
		Pakistan					
		(WT/DS151/4)					
		(**1755131/4)					
		7 Dec. 1998					
		Honduras					
		(WT/DS151/5)					
		7 Dec. 1998					
		Japan					
		(WT/DS151/6)					
		7 Dec. 1998					
		Switzerland					
		(WT/DS151/7)					
		10 Dec. 1998					
		El Salvador					
		(WT/DS151/8)					

VII. CODE OF GOOD PRACTICE FOR THE PREPARATION, ADOPTION AND APPLICATION OF STANDARDS

Overall, since the entry into force of the Agreement, 115 standardizing bodies from 79 Members have adhered to the Code of Good Practice. Notifications of acceptance of the Code by standardizing bodies are contained in documents G/TBT/CS/N/1-115. Document G/TBT/CS/2/Rev.6 contains the complete list by Member of standardizing bodies that have accepted the Code since 1 January 1995.

In 1999, 9 standardizing bodies from 5 Members accepted the Code. A list by Member of all standardizing bodies that have adhered to the Code in 1999 is in document G/TBT/CS/1/Add.4.

The fifth WTO TBT Standards Code Directory has been published by the ISO/IEC Information Centre in January 2000.

VIII. WORKING AND SPECIAL DOCUMENTS ISSUED DURING THE CURRENT PERIOD

Document reference	Date of issue	Title and content of documents	Number of pages
G/TBT/W/72/Rev.1	29 Sept 1999	A List of International Guides and Recommendations Related to Conformity Assessment Procedures - Note by the Secretariat	9
G/TBT/W/75/Rev.1	17 June 1999	Transparency in International Standards - Draft U.S. Proposal for Decision	2
G/TBT/W/87/Rev.1	30 Sept 1999	The Conditions for Acceptance and Use of International Standards in the Context of the TBT Agreement - Note from the European Community	3
G/TBT/W/103	25 January 1999	Technical Barriers to the Market Access of Developing Countries – Background note by the Secretariat	9
G/TBT/W/104	12 February 1999	Response from the European Commission to Comments by the United States and Canada concerning Notification 97.766 – Communication from the European Community	3
G/TBT/W/105, and Suppl. 1-2	19 February 1999 12 May 1999 1 September 1999	Survey on the Electronic Facilities Available in National Enquiry Points – Paper by the Secretariat	7 3 3
G/TBT/W/106	26 March 1999	Information provided by Bodies involved in the Preparation of International Standards (Information Session – 19 November 1998) – Synthesis paper by the Secretariat	17
G/TBT/W/107	8 March 1999	Response from the European Commission to Comments by Indonesia on Proposal for a European Parliament and Council Directive amending Council Directive 92/23/EEC relating to Tyres for Motor Vehicles and their Trailers and to their Fitting – Communication from the European Commission	1
G/TBT/W/108	11 May 1999	Communication from Mexico	1
G/TBT/W/109	11 May 1999	Communication from Georgia	1
G/TBT/W/110	8 June 1999	Code of Good Practice for the Preparation, Adoption and Application of Standards by Standardizing Bodies – Communication from Thailand	2
G/TBT/W/111	8 June 1999	Conformity Assessment Procedures – Note from Thailand	1
G/TBT/W/112 and Rev.1	8 June 1999	Equivalency of Technical Regulations and Standards – Note from Thailand	1
G/TBT/W/113	15 June 1999	Issues concerning International Standards and International Standardization Bodies – Submission from Japan	2
G/TBT/W/114	14 June 1999	Reply by the European Commission to the Comments prepared by the United States on the proposed European Community Regulation on Used Aircraft (Notification G/TBT/Notif.99.75) – Communication from the European Community	2
G/TBT/W/115	17 June 1999	Genetically Modified Agricultural and Food Products – Submission from the United States	2

Document reference	Date of issue	Title and content of documents	Number of pages
G/TBT/W/116	17 June 1999	EC Remarks concerning Japanese Legislation on Fishing Vessels Implications for Trade in Commercial Marine Engines – Communication from the European Community	2
G/TBT/W/117	14 July 1999	Voluntary Service Standards for TBT Enquiry Points – Communication from Canada	2
G/TBT/W/118	14 Sept. 1999	Code of Good Practice for the Accreditation of Conformity Assessment Bodies – Contribution from Australia	5
G/TBT/W/119	29 Sept. 1999	EC Regulation 881/98, "Traditional Terms" – Submission by the United States	2
G/TBT/W/120	30 Sept. 1999	Communication from Albania	1
G/TBT/W/121 and	7 October 1999	Amendment of the TBT Agreement – Proposal by	4
Corr.1	9 December 1999	Japan	1
G/TBT/W/122	21 October 1999	Communication from Mexico	1
G/TBT/W/123	10 Nov. 1999	Communication from Mexico	1
G/TBT/W/124	22 Dec. 1999	Implementation of Article 10.6 of the Agreement – Note by the Secretariat	2
G/TBT/SPEC/9	18 February 1999	Working Programme and Schedule of Meetings for 1999 (Draft) – Note by the Secretariat	1
G/TBT/SPEC/10	8 March 1999	Proposals relating to the Meetings on Procedures for Information Exchange held on 14 September 1998	2
G/TBT/SPEC/11	22 March 1999	Submissions by Delegations on Elements related to the Work Programme of the First Triennial Review of the Agreement – Stock-taking paper by the Secretariat	19
G/TBT/SPEC/12	25 March 1999	Information Session on Conformity Assessment Procedures 8-9 June (Draft Programme) – Note by the Secretariat	12
G/TBT/SPEC/13	1 September 1999	Report (1999) of the Committee on Technical Barriers to Trade (Draft) – Note by the Secretariat	2